RHYTHMS, SLEEP AND HOMEOSTASIS

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Abbreviations

| 3V | Third ventricle |
|--------|-------------------------------------|
| 4thVep | Fourth ventricle ependyma |
| 5-HT | Serotonin |
| AAV | Adreno-associated virus |
| ACC | Anterior cingulate cortex |
| ACSF | Artificial cerebral spinal fluid |
| AgRP | Agouti-related peptide |
| AMY | Amygdala |
| AP | Area postrema |
| Arc | Arcuate nucleus |
| ATII | Angiotensin II |
| AVP | Arginine vasopressin |
| BAT | Brown adipose tissue |
| BBB | Blood brain barrier |
| BNST | Bed nucleus of the stria terminalis |
| BSL | Baseline |
| СВ | Cerebellum |
| СС | Central canal |
| ССК | Cholecystokinin |
| ChP | Choroid plexus |
| СР | Capillary plexus |
| Cry | Cryptochrome |
| CSF | Cerebrospinal fluid |
| CSF | Cerebrospinal fluid |
| CSKδ | Casein kinase δ |
| CSKε | Casein kinase ε |
| СТ | Circadian time |
| CVO | Circumventricular organs |
| CZ | Central zone |
| Dbp | D-box binding protein (DBP), |

| DD | Constant dark |
|--------|---|
| DMH | Dorsomedial hypothalamus |
| DMV | Dorsal motor nucleus of the vagus nerve |
| DVC | Dorsal vagal complex |
| EB | Evans Blue dye |
| ECF | Extra-cellular fluid |
| EEG | Electroencephalogram |
| EGR1/3 | Early growth response factor 1/3 |
| EMG | Electromyogram |
| EPO | Erythropoietin |
| FAA | Food anticipatory activity |
| FEO | Food entrainable oscillator |
| FSK | Forskolin |
| GABA | Gamma-aminobutyric acid |
| GFAP | Glial fibrillary acid protein |
| GHSR | Growth hormone secretagogue receptor |
| GLP-1 | Glucagon-like 1 peptide |
| Glu | Glutamate |
| GLUT2 | Glucose transporter type 2 |
| GRP | Gastrin-releasing peptide |
| GSK3β | Glycogen synthase kinase 3β |
| h | Hour |
| HBSS | Hanks balanced salt solution |
| HLF | Hepatic leukemia factor |
| HPC | Hippocampus |
| IC | Insular cortex |
| IGL | Intergeniculate leaflet |
| ir | Immunoreactivity |
| LD | Light dark |
| LDT | Laterodorsal tegmental |
| LH | Lateral hypothalamus |

| LHb | Lateral habenula |
|-----------|--|
| luc | Luciferase |
| LZ | Lateral zone |
| MBH | Medial basal hypothalamus |
| ME | Median eminence |
| MHb | Medial habenula |
| min | Minutes |
| MnPO | Median preoptic nucleus |
| MUA | Multi-unit activity |
| NAc | Nucleus accumbens |
| NFIL3 | Nuclear factor, interleukin-3 regulated |
| NO | Nitric oxide |
| NPY | Neuropeptide-Y |
| NREM | Non-rapid eye movement sleep |
| NTS | Nucleus tractus soltarius |
| OB | Olfactory bulb |
| OC | Optic chiasm |
| OS | Outer shell |
| OVLT | Organum vasculosum laminae terminalis |
| OXA | Orexin A |
| PAS | Period-Arnt-Single-minded |
| PBN | Parabrachial nucleus |
| PBS | Phosphate buffered saline |
| Per | Period |
| PER2::LUC | PERIOD2::LUCIFERASE |
| РК2 | Prokineticin 2 |
| POMC | Pro-opiomelanocortin |
| PPARα | Peroxisome proliferator-activated receptor $\boldsymbol{\alpha}$ |
| РРТ | Pedunculopontine |
| PRF1 | Perforin 1 |
| PVN | Paraventricular nucleus |

| REM | Rapid eye movement sleep |
|-------|---|
| RF | Restricted feeding |
| RHT | Retinohypothalamic tract |
| RM | Repeated measures |
| ROI | Region of interest |
| RRE | REV response elements |
| rRPA | Rostral raphe pallidus |
| RT | Room temperature |
| SCN | Suprachiasmatic nuclei |
| SCNx | SCN lesion |
| sCVO | Sensory circumventricular organ |
| SD | Standard deviation |
| SDP | Sleep deprivation |
| SEM | Standard error of the mean |
| SFO | Subfornical organ |
| SFR | Spontaneous firing rate |
| sMUA | Spontaneous multi-unit activity |
| SON | Supraoptic nucleus |
| SPVz | Subparaventricular zone |
| SWA | Slow-wave activity |
| SWE | Slow-wave energy |
| THAL | Thalamus |
| TTFL | Transcriptional translational feedback loop |
| TTx | Tetrodotoxin |
| VC | Ventromedial core |
| VHC | Ventral hippocampal commissure |
| VIP | Vasoactive intestinal polypeptide |
| VLM | Ventrolateral medulla |
| VLPO | Ventrolateral preoptic area |
| VMH | Ventral medial hypothalamus |
| vSPVz | Ventral subparaventricular zone |

- ZO-1 Zona occludens-1
- ZT Zeitgeber time

Abstract

Mammals display 24 hour oscillations in physiology and behaviour, ranging from blood pressure and body temperature rhythms to eating and drinking patterns. These rhythms are a product of an internal timing system that has evolved to enable organisms to align themselves with the 24 h nature of the external environment. In mammals these 24 h patterns, circadian rhythms, are primarily generated by the suprachiasmatic nuclei (SCN), a bilateral structure in the brain's ventral hypothalamus. The SCN is synchronized by the external light:dark cycle as well as from non-photic stimuli such as periodic arousal, exercise and feeding. However, emerging evidence is demonstrating the importance of systemic and local oscillators separate to the SCN in regulating circadian physiology and behaviour.

Sleep and energy balance involve interacting processes and neural substrates. When rodents can only access food in their inactive phase, they demonstrate disrupted sleepwake behaviour patterns in order to robustly anticipate misaligned food. This process is driven by a food entrainable oscillator outside of SCN control, however, little is known about what drives this anticipatory activity and how it effects sleep homeostasis. Here, I demonstrate that during daytime restricted feeding, sleep homeostasis is generally maintained but sleep drive is reduced. This hints at an evolutionary adaption ensuring animals are more likely to wake when food is only available out of sync with typical behaviour patterns.

Homeostatic behaviours involved in fluid and energy balance display circadian rhythmicity, yet little is known how this occurs. Through my studies, I discovered novel oscillators in the forebrain and hindbrain in the sensory circumventricular organs and the nucleus of the solitary tract; areas well-known for regulating fluid and energy balance. I demonstrated the properties and rhythm maintenance mechanisms of these extra-SCN oscillators in fluid balance areas. Furthermore, my results provide hints at the functional characteristics of the hindbrain oscillators in daily regulation of responses to metabolic signals and temporal changes in permeability to circulating factors. Collectively, these results reveal that systemic and local oscillators outside of the SCN are also important in regulating homeostatic systems and behaviours that display temporal variation.

Declaration

No portion of the work referred to in the thesis has been submitted in support of an application for another degree or qualification of this or any other university or other institute of learning.

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Chapter 1: Background Literature

1.1 Circadian Rhythms

1.1.1 Introduction

Predictable light to dark cycles in our environment arise from the rotation of the earth about its axis. Almost all organisms have evolved clock-like internal timekeeping systems in order to predict and adapt to the nature of these periodic changes. Wherever these phenomena appear over 24 hour (h) cycles, they are termed circadian rhythms, from the Latin "circa" (about) and "dian" (day).

Circadian rhythms occur in every cell, tissue and whole-body system ranging from single celled organisms such as the fungus *Neurospora*, to nucleus-lacking red blood cells, to mammals (O'Neill & Reddy, 2011; Baker *et al.*, 2012). These internal biological rhythms need to be kept in sync with their environment and thus can be entrained by recurring external factors often referred to as zeitgebers (German for "time-giver"). A vast array of zeitgebers have been discovered to help entrain these circadian systems. In mammals the primary zeitgeber is light, however there are many other non-photic zeitgebers including food availability, physical exercise and arousal which need to be integrated by the whole system for sufficient entrainment.

Diurnal species, such as humans, require heightened cognition and arousal during the daytime, therefore neural mechanisms regulating these are upregulated accordingly. In contrast, activation of sleep-dependent pathways occurs specifically at night. Conversely, nocturnal species require the opposite phasing of these mechanisms, highlighting the diverse role of biological clocks across organisms. It is therefore a strong evolutionary advantage to have a robust circadian system in order to compartmentalise behaviours and physiological functions within the correct time frame for each individual. Indeed, disruption of the central circadian system can have far reaching consequences. As shown in mice and humans, circadian misalignment can lead to a multitude of metabolic, neurological and systemic diseases (Roenneberg & Merrow, 2016). The focus of experimental research into circadian rhythms has been to elucidate the molecular and physiological mechanisms

governing these rhythmic outputs and how they impact on disease, with the ultimate aim of developing new therapies to entrain or alter our biological timing system.

1.1.2 Molecular clockwork

The 2017 Nobel Prize in Physiology or Medicine was awarded to J. Hall, M. Rosbash and M. Young for their work involving the discoveries of the molecular machinery behind the generation of circadian rhythms. This award highlights the achievement of discovering the genes behind the regulation of such complex behaviours and their importance in the healthy regulation of cellular processes to whole animal physiology.

Circadian rhythms are underpinned by a constant cycle of self-sustained transcriptionaltranslational feedback loops (TTFLs) composed of forward and negative arms of multiple transcription factors and subsequent protein interactions which take roughly 24 h to complete. BMAL1 and CLOCK are basic helix-loop-helix transcription factors that form the positive arm of the clock, dimerising in the cytoplasm through interaction at Period-Arnt-Single-minded (PAS) domains before translocating to the nucleus (Bunger et al., 2000; Gekakis et al., 1998). Once in the nucleus they bind to E-box enhancer sequences upstream of a set of rhythmic genes that encode the repressor proteins period (PER1/2/3) and cryptochrome (CRY1/2) and activate their transcription (Bae et al., 2001; Yoo et al., 2004). This occurs during the day in mice leading to the build-up of cytoplasmic Per and Cry proteins in the late afternoon (Lee et al., 2001), after which they dimerise and translocate back to the nucleus to inhibit the activity of CLOCK-BMAL1, thus repressing their own transcription (Griffin et al., 1999; Sato et al., 2006). The stability and temporal precision of PER and CRY is enhanced by phosphorylation from casein kinase ε and δ (CSK ε/δ) proteins and Glycogen synthase kinase 3β (GSK3 β) which target these proteins for degradation by ubiquitin dependent pathways (Busino *et al.*, 2007; Reischl *et al.*, 2007).



Figure 1.1 The mammalian TTFL.

BMAL1 and CLOCK (or NPAS2) drive the transpiration of Per1/2 and Cry1/2 at E-box elements in the primary loop, and Rev-erb α/β and Dbp of the accessory loops. Per1/2 and Cry1/2 dimerise in the cytoplasm and feedback to repress their own transcription. Rev-erb α/β represses while Ror α/β activates transcription of Bmal1 and Nfil3 in a rhythmic manner at RRE binding sites. These transcribed proteins go on to rhythmically transcribe or repress clock-controlled genes (CCGs) that contain E-Box, D-box or RRE binding elements in their promoters. Figure adapted from Takahashi, 2017.

A secondary negative arm of the TTFL is driven by CLOCK-BMAL1 activating Ror α , Rev-erb α and Rev-erb β (encoded by *Nr1d1* and *Nr1d2* respectively) which act to inhibit the transcription of *Bmal1* through binding at REV response elements (RREs) (Preitner *et al.*, 2002). This leads to an anti-phasic relationship between *Per* and *Rev-erb\alpha/\beta* transcript rhythms. Furthermore, there is a third transcriptional loop driven by CLOCK-BMAL1 involving factors including D-box binding protein (Dbp), hepatic leukemia factor (HLF) and thyrotroph embryonic factor (TEF). These proteins interact at D-box binding sites with the repressor nuclear factor, interleukin-3 regulated (Nfil3) which is in turn driven by the REV-ERB loop (Gachon *et al.*, 2004). Taken together, these interlocking factors create highly regulated cycles of protein transcription and degradation, acting on initial downstream target genes containing E-box and RRE sequences enabling cells to temporally coordinate their activities (Sato *et al.*, 2006; Cho *et al.*, 2012). The TTFL is summarised in **Figure 1.1**.

The clock genes integral to the TTFL are designed with a great deal of redundancy; single knock-outs of one gene do not fully disrupt circadian behaviours as their function is taken over by paralog genes compensating within the SCN network (Liu *et al.*, 2007). For example, *Per1* and *Per2* have partial compensation between themselves, as a double knockout mouse of these genes is immediately arrhythmic compared to either single *Per1* or *Per2* knockout which become arrhythmic over time (Bae *et al.*, 2001). Likewise, *NPAS2*, a paralogue for *CLOCK*, is sufficient to drive peripheral and central rhythms in *CLOCK* deficient mice (DeBruyne *et al.*, 2006; Landgraf, Wang, *et al.*, 2016). The only gene knockout which eliminates clock function in the SCN and peripheral tissues, is *Bmal1*; the knockout mice for which demonstrate a complete lack of behavioural and molecular rhythms along with poor metabolic control (McDearmon *et al.*, 2006).

1.1.3 The master pacemaker

In the mammalian brain, the coordination of 24 h rhythms is conferred by a principal internal clock that resides in the hypothalamus termed the suprachiasmatic nuclei (SCN). The primary location of the SCN was discovered in the early 1970's when two groups simultaneously published discovered the importance of the suprachiasmatic nuclei (SCN) located in the hypothalamus, which appeared fundamentally important for controlling hormonal and other physiological rhythms (Moore & Eichler, 1972; Stephan & Zucker,

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1972). Ablation of the SCN in hamsters resulted in a complete loss of rhythmical activity which could be restored through the transplantation of donor SCN explants, producing rhythms which matched the period length of the mutant donor (Ralph *et al.*, 1990). The SCN received its status as a master pacemaker through its ability to synchronise other central and peripheral oscillators, as well as maintain a robust rhythm in the absence of all other external stimuli (Reppert & Weaver, 2002).

1.1.4 The SCN networks

The SCN is composed of a dense collection of cells forming two nuclei located immediately dorsal to the optic chiasm, either side of the third ventricle (Abrahamson & Moore, 2001). Each nuclei is composed of roughly 42,000 neurons per nucleus in humans (Hofman *et al.*, 1996) and 10,500 in mice (Abrahamson & Moore, 2001). The structure of the SCN is simplistically considered as a 'core' and 'shell' model; the ventrolateral core is deconstructed from the dorsomedial shell as the core receives direct retinal innervation and they contain phenotypically different cell types (Card & Moore, 1984; Tanaka *et al.*, 1997). The majority of early SCN anatomy studies were performed in rats and hamsters, however in mice, this core and shell region is less well defined (Miller *et al.*, 1996). The murine SCN retains much of the delineation in neuropeptide expressing cells, but direct retinal information is conveyed to the whole of the structure (Fernandez *et al.*, 2016). The typically retinorecipient neuropeptides are found in the "core" and are primarily vasoactive intestinal polypeptide (VIP) and gastrin-releasing peptide (GRP). The most abundant are vasopressin (AVP) expressing cells, primarily found in the "shell" region (Abrahamson & Moore, 2001) (**Fig 1.2**).

The majority of cells in the SCN display individual oscillations in firing rate and gene expression (Belle *et al.*, 2009; Webb *et al.*, 2009). These cell-autonomous rhythms are weak in individual culture, yet whole SCN cultures can maintain rhythms with incredible precision (Patton *et al.*, 2016). Thus, what must make the SCN such a powerful oscillator is the robust synchrony of these oscillating neurons. The majority of neurons in the SCN are GABAergic, but also co-express neuropeptides (Moore & Speh, 1993), and GABA can resynchronise and phase shift SCN clock cells (Liu & Reppert, 2000; Evans *et al.*, 2013), highlighting the role of GABAergic transmission in SCN cellular synchrony. VIP is considered to be pivotal for

maintaining synchrony within the SCN, being able to phase shift SCN directed behaviour *in vivo* (Piggins *et al.*, 1995), and mice lacking VIP or its receptor, VPAC₂, display highly disrupted circadian behaviour and abnormal responses to light (Colwell *et al.*, 2003; Cutler *et al.*, 2003; Hughes & Piggins, 2008). A sophisticated set of experiments demonstrated that paracrine VIP signalling from a wild-type graft SCN separated by semi-permeable membrane was sufficient to restore cellular synchrony and amplitude in SCN explants from VIP deficient host mice (Maywood *et al.*, 2011). This effect was predominantly down to VIP signalling but was also augmented by the other SCN neuropeptides AVP and GRP, indicating that the paracrine signalling helps determine circuit level pacemaking (Maywood *et al.*, 2011).

Intercellular electrical signalling is required for maintenance of SCN neuronal synchrony as treatment with the sodium channel inhibitor tetrodotoxin (TTx) decreases the amplitude and abolishes phase-coupling between individually oscillating SCN neurons (Yamaguchi *et al.*, 2003; Schmal *et al.*, 2018). PER2 peaks at the start of the circadian night, whilst neuronal calcium signalling and electrical activity peak in the middle of the subjective day, driving *Per1* and *Per2* transcription enabling temporal phasing of these different processes (Brancaccio *et al.*, 2013). Furthermore, advances in clock gene reporters and imaging technologies revealed the SCN to exhibit spatiotemporal waves of clock gene expression and electrical activity (Brancaccio *et al.*, 2013; Enoki *et al.*, 2017). This wave of PER2 stars in the ventral core of the SCN and spreads to the shell lasting roughly 3 h, a phenomenon that becomes more pronounced during long day LD cycles (Evans *et al.*, 2013). It is not yet known why the SCN demonstrates this spatiotemporal wave, perhaps to denote a patterning to specific efferent pathways allowing a more precise temporal output signal.



Figure 1.2 SCN anatomy and outputs.

The SCN core (grey shaded) is the primary retinorecipient area of the SCN, defined by VIP (red) and GRP (green) neuropeptide expressing neurones, which connect to the shell (yellow shaded). The shell contains mainly AVP expressing neurones and connects with the core. Efferent neurons from the core (mainly GABAergic, VIP and GRP) and the shell (mainly GABAergic, AVP and prokineticin 2 (PK2) project to the main SCN output sites the subparaventricular zone (SPVz), the dorsomedial hypothalamus (DMH) and lateral hypothalamus. These sites integrate rhythmic SCN outputs and go on to regulate autonomic, neuroendocrine, sleep and homeostatic systems.

1.1.5 SCN outputs

The SCN is adapted to receive photic information from the retina to relay information to the rest of the body in sync with the external light-dark cycle. Direct photic signals are received via glutamatergic signalling through the retinohypothalamic tract (RHT), carried by intrinsically photosensitive retinal ganglion cells (Brown *et al.*, 2013). A secondary nonphotic input to the SCN is from gamma-aminobutyric (GABA) and neuropeptide-Y (NPY)

containing neurons projecting from the intergeniculate leaflet (IGL) and serotonin (5-HT) projections from the dorsal raphe nucleus (Edelstein & Amir, 1999; Morin *et al.*, 2006).

A key output of the SCN for driving daily physiological rhythms is its spontaneous firing rate (SFR), with increased firing rate occurring during the middle of the day as demonstrated *in vivo* and *in vitro* (Belle *et al.*, 2009; Houben *et al.*, 2014). Daytime elevation in firing rate occurs regardless of diurnal or nocturnality (Houben *et al.*, 2009; Sato & Kawamura, 1984), indicating that the behavioural outputs determining these states is regulated downstream of the SCN. The firing rate is modulated by glutamatergic signals from the retina depolarising the retinorecipient core neurons in the SCN which then propagate the signal to the shell (Ebling, 1996). Specific manipulation of peak firing rate resets the phase of the molecular clock demonstrating how the SFR mediates the phase of electrical rhythms in correspondence to external light (Jones *et al.*, 2015).

The most dense SCN efferent connections are to the adjacent subparaventricular zone (SPVz), and other hypothalamic structures including the paraventricular nucleus (PVN), the medial preoptic area, the dorsomedial hypothalamus (DMH) and the lateral hypothalamic area (Watts *et al.*, 1987; Saper, Lu, *et al.*, 2005) (**Fig 1.2**). The SCN projects rostrally to the preoptic area (including the organum vasculosum laminae terminalis (OVLT)), the lateral septum, the subfornical organ (SFO) and the bed nucleus of the stria terminalis (Abrahamson & Moore, 2001; Deurveilher *et al.*, 2002) and caudally to the lateral geniculate nucleus and nucleus of the solitary tract (NTS) (Kriegsfeld *et al.*, 2004; Buijs *et al.*, 2014).

As well as efferent neuronal connections, the SCN is thought to convey phase through a number of neurochemicals, including AVP, VIP and prokineticin 2 (PK2) (**Fig 1.2**). The AVP receptor is present in many SCN output sites and pronounced daily rhythms are observed in the synthesis of AVP in the SCN as well as circadian rhythms of AVP concentration in the cerebral spinal fluid (Uhl & Reppert, 1986; Reppert *et al.*, 1987; Kalsbeek *et al.*, 2010). PK2 is a protein that induces smooth muscle contractions in the periphery (Li *et al.*, 2001) but also has been shown to be rhythmically produced in the SCN. The phase of this synthesis rhythm is phase shifted by light pulses and microinjection of PK2 into the brain directly affects locomotor activity *in vivo* (Cheng *et al.*, 2002), indicating direct circadian effects.

PK2 receptor expression is dense in many known SCN efferent areas (Cheng *et al.*, 2002, 2006). Therefore, through a potential combination of neuronal, peptidergic and volume transmission, the SCN is able to impart time of day information to the rest of the brain in order to mediate its effects on physiology and behaviour.

1.1.6 Circadian entrainment and behaviour

Mammals display 24 h rhythms in physiology and behaviour. These rhythms are primarily driven by the SCN, however their outputs also feedback and influence SCN activity resulting in continuous feedback loops of regulation. As described above, the phase of the SCN is set by the external light dark (LD) cycle, which then goes on to set the phase of SCN output processes ensuring the whole system remains in phase with the external environment.

Most mammals display an endogenous free-running clock period (Tau) that deviates slightly from 24 h. Humans have a slightly long Tau of 24.3 (Czeisler *et al.*, 1999), while mice display a shorter Tau of around 23.6 h (Daan & Pittendrigh, 1976). As such, entrainment through photic and non-photic zeitgebers are necessary for resetting of the endogenous clock to exactly 24 h. For nocturnal rodents housed in constant darkness (DD), administration of brief pulses of light (5-10 minutes) during the early part of their subjective night delays the onset of subsequent activity rhythms the next day, and conversely, a light pulse given in the latter half of their subjective night subsequently advances activity the next day. In comparison, light exposure in the middle of the subjective day induces no such phase shifts. Experiments such as these enable the generation of phase response curves, therefore one can study the phase shifting effects of a certain zeitgeber in otherwise constant conditions (Daan & Pittendrigh, 1976; Schwartz & Zimmerman, 1990). It is worth noting that some behaviour can be mistaken for entrainment to light but is actually a phenomenon called negative masking. Negative masking is light inducing direct suppression of locomotor activity, hiding the natural rhythm of the animal (Mrosovsky, 1999). For example, Cry1/2 double knockout mice are completely arrhythmic in DD yet confine all their activity to the dark cycle in LD, thus their locomotor activity is masked by light (Okamura *et al.*, 1999). Likewise VPAC2, knockout mice (*Vipr2^{-/-}*) are masked by light, shown by their by significantly advanced metabolic and feeding rhythms in LD and through disrupted wheel-running behaviour in DD (Bechtold *et al.*, 2008; Hughes & Piggins, 2008).

Non-photic zeitgebers are also able to entrain the circadian clock; the most prominent of which are feeding, locomotor activity and arousal. In contrast to photic stimuli, non-photic zeitgebers induce a phase response curve with opposite phasing, i.e. exercise towards the end of the subjective day advances the clock whereas exercise during the subjective night has no effect (Edgar & Dement, 1991; Marchant & Mistlberger, 1996). Feeding availability also has marked effects on circadian behaviour. In nocturnal rodents, restricting food access to the middle of day alters SCN control of daily activity allowing the development of anticipatory activity, thought to be controlled be a separate oscillator from the SCN. Animals thus become separately entrained to both light and food (Mistlberger & Antle, 2011), to be discussed further in *"1.3 Food entrainment"*. Locomotor activity and feeding are two of the main circadian outputs, while also being strong non-photic zeitgebers, highlighting the output/input feedback nature of the circadian clock at a behavioural level.

1.1.7 Extra-SCN oscillators

Centrally, it is not just the SCN that contains autonomous clocks, many other brain regions contain groups of cells capable of oscillating and generating circadian rhythms (Guilding & Piggins, 2007). The generation of transgenic animals models with fluorescent or luciferase reporters of core clock gene promotor activity has enabled easier study of these extra-SCN oscillators through long-term confocal microscopy or real-time bioluminescence monitoring of primary tissue explants (Yamazaki *et al.*, 2000; Yoo *et al.*, 2004). A comprehensive study by Abe *et al.* looked at oscillations in a range of brain areas from the *Per1*-luciferase (*Per1*-luc) rat and found 14 different areas to be rhythmic with at least one oscillation in culture from 27 different brain regions, with the most robust structures being the olfactory bulb (OB), the pituitary and the arcuate nucleus (Arc) (Abe *et al.*, 2002). Since then, there has been a multitude of studies investigating these extra-SCN oscillations using a variety of techniques to assess circadian variation in bioluminescence, *in vivo* gene expression, multi-unit activity (MUA) and whole-cell patch clamp recordings.

In mice, the two most well-known and arguably best studied independent oscillators outside of the SCN are the OB and the retina. The OB shows strong autonomous rhythms in bioluminescence (Miller *et al.*, 2014), c-fos (Granados-Fuentes *et al.*, 2006) and demonstrates phase matched MUA and *Per1* mRNA *in vivo* expression (Granados-Fuentes

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et al., 2004). Furthermore, the OB displays *in vivo* rhythms in animals with SCN lesions (Abraham *et al.*, 2005), thus providing convincing evidence of an independent circadian timer in this area. Circadian rhythms in the retina have been demonstrated in photoreceptors from lower vertebrates (Acuña-Castroviejo *et al.*, 2014) to mammals (Dkhissi-Benyahya *et al.*, 2013). The retinal clock has been shown to control rod-cone coupling with much increased coupling at night (Ribelayga *et al.*, 2008), and disrupting the molecular clock in mice results in ocular diseases and abnormalities (Yang *et al.*, 2016). An independent retinal clock is therefore clearly important for gating responses to LD signals and for the overall health in that area.

Rhythms in gene expression have been demonstrated in the prefrontal cortex of humans (Chen *et al.*, 2016) and animal models (Chun *et al.*, 2015), with a phase shift in gene expression between diurnal and nocturnal species highlighting local roles for such behaviours. The orbitofrontal cortex in humans displays rhythms in clock controlled genes and other transcripts involved in neuronal excitability (Chen *et al.*, 2016). These studies provide evidence for circadian control of cortical excitability, potentially playing an important role in balancing homeostatic sleep pressure with circadian-driven arousal (to be discussed in depth later in *"1.2.4 Circadian regulation of sleep"*).

As discussed, the SCN provides direct neuronal connections (primarily GABA, AVP and VIPergic) to the PVN. Clock gene mRNA oscillations in the rat PVN peak in antiphase with those of the SCN (Girotti *et al.*, 2009; Chun *et al.*, 2015). Interestingly, PVN clock gene phasing in the diurnal grass rat, *Arvicanthus niloticus*, are in line with that of the SCN, unless they are given access to a running wheel after which they become nocturnal and their PVN clock genes shift to become in antiphase with no phase change observed in the SCN clock genes (Martin-Fairey *et al.*, 2015). This indicates a role for the local PVN clock in dictating diurnal versus nocturnal activity rhythms as opposed to the SCN.

The medial basal hypothalamus (MHB) is an area implicated in metabolism and energy balance and is composed of the Arc, ventromedial hypothalamus (VMH) and the DMH. All of these MBH structures exhibit a degree of circadian rhythmicity in clock gene expression and MUA (Guilding *et al.*, 2009; Orozco-Solis *et al.*, 2016; Parekh *et al.*, 2018). The Arc is the strongest oscillator in this region, with *Per1/2* mRNA expression peaking early night in

nocturnal animals, yet interestingly this rhythmicity is lost in animals with food access limited to the light phase (Wang *et al.*, 2017). Many of the behavioural and physiological outputs of the Arc, such as regulating feeding behaviour, demonstrate circadian rhythms. Thus, it is possible that local clocks in these areas are in-part responsible for the temporal control of functional outputs.

The lateral habenula (LHb) is an important structure located in the epithalamus noted for its role in many neural processes including depression, addiction and sleep (Bianco & Wilson, 2009). Moderate circadian rhythms in clock gene expression, electrical activity and bioluminescence in the LHb have been extensively reported (Baño-Otálora and Piggins, 2017). The LHb receives input from both the SCN and the retina, thus its possession of an autonomous clock might act as an important gating mechanism in the extended circadian system.

Two structures of note for thesis have also been reported to contain autonomous clockwork, the OVLT and the NTS. The OVLT is primarily involved in fluid balance and has been previously been reported to display modest rhythms in *Per1* and Per2 bioluminescence (Abe *et al.*, 2002; Abraham *et al.*, 2005; Myung *et al.*, 2018) and *in vivo Per3* mRNA rhythms (Takumi *et al.*, 1998). The NTS is a hindbrain structure involved in visceral reflexes and energy balance (Grill & Hayes, 2012). Clock gene rhythms in *mRNA* were reported for this area showing altered phasing and amplitude in obese and hypertensive rats (Herichová *et al.*, 2007; Kaneko *et al.*, 2009), indicating that molecular rhythms in the NTS are responsive to disease states in its regulatory processes. In addition to the areas already mentioned, there are many other brain areas, including the hippocampus and the cerebellum, which also display circadian rhythmicity (Paul *et al.*, 2019). Extra-SCN oscillators are summarised in **Figure 1.3**.

Behavioural manipulations have been shown to alter the phase in culture of certain extra-SCN oscillators. For example, scheduling the daily availability of food availability or food deprivation itself alter the phase of median eminence/pars tuberalis, the hippocampus and the olfactory bulb, but not that of the SCN (Guilding *et al.*, 2009; Loh *et al.*, 2015; Pavlovski *et al.*, 2018). Additionally, in the nucleus accumbens (NAc), an area involved in reward processing, rhythms are less prevalent or damped in NAc cultures from animals resilient to a learned helplessness protocol (Landgraf, Long, *et al.*, 2016). This hints at separate role for these oscillators in preferentially aligning their phase to different zeitgebers or affecting oscillations through altered behaviour.



Figure 1.3 Extra-SCN oscillators.

Sagittal section of a rodent brain displaying a summary of the best known extra-SCN oscillators. Red filled ovals represent areas considered to be master oscillators. Blue filled ovals represent oscillatory areas to have displayed endogenous rhythmicity (i.e. rhythmic in culture when separated from the SCN). Blue lined ovals represent areas which displayed rhythms intact with the SCN (i.e. by *in vivo* mRNA or *in vivo* MUA). Dashed lines represent non-neuronal areas. AMY=amygdala, ARC=arcuate nucleus, BNST=bed nucleus of the stria terminalis, CB=cerebellum, ChP=choroid plexus, DMH=dorsomedial hypothalamus, HPC=rostral hippocampus, LC=locus coeruleus, LH=lateral hypothalamus, LHb=lateral habenula, ME=median eminence, MHb=medial habenula, NAc=nucleus accumbens, NTS=nucleus of the solitary tract, OB=olfactory bulb, OVLT=organum vasculosum lamina terminalis, PVN=paraventricular nucleus, SCN=suprachiasmatic nuclei, SPZ=subparaventricular zone, VLPO=ventrolateral preoptic area.

1.1.8 Non-neuronal cells and circadian timekeeping

In addition to a dense population of neurons, the SCN is contains a population of astrocytes and their role in circadian timekeeping has led to a string of publication detailing their relationship with the SCN and rhythmic behaviour. Conditional deletion of Bmal1 in astrocytes impacts wheel-running behaviour, and using genetic alterations to shorten the period of the TTFL specifically in SCN astrocytes also shortened the period of *in vivo* circadian rhythms (Barca-Mayo *et al.*, 2017; Tso *et al.*, 2017). Originally, it was thought that the astrocyte molecular rhythms were "slaves" to resetting by the SCN (Prolo *et al.*, 2005; Barca-Mayo *et al.*, 2017). However, Brancaccio et al. demonstrated that the re-introduction of *Cry1* into only the SCN astrocytes of *Cry1/2* knockout mice rescued rhythms in

bioluminescence and behaviour, indicating that the molecular clock in astrocytes alone is sufficient to drive circadian rhythms (Brancaccio *et al.*, 2019).

The choroid plexus (ChP) is a specialised monolayer of ependymal and epithelial cells found lining the ventricles of the brain, primarily involved in the production of cerebral spinal fluid (Brinker *et al.*, 2014). Bioluminescence imaging revealed the ChP to have strong and persistent oscillations *ex vivo*, which when co-cultured with the SCN, restored its typically long period observed in culture to that matching the behavioural period of the animal (Myung *et al.*, 2018). These results provide further evidence of the roles in non-neuronal cells as an integral component of the central circadian system.

1.1.9 Circadian rhythms and health

Maintaining phase alignment with the external environment is crucial for the optimal health and well-being of an individual. This was first demonstrated by epidemiology studies showing increased levels of cancer, metabolic disease and gastrointestinal disorders in shift workers (Tynes et al., 1996; Knutsson, 2003). Subsequently, it has been discovered that forced wakefulness outside your natural chronotype (i.e. waking up earlier on work days than you would naturally on free days), termed social jet lag, is associated with metabolic disease. The higher the degree of this social jetlag, the higher the risk of obesity and disease promoting behaviours such as nicotine addiction (Wittmann et al., 2006; Roenneberg et al., 2012). It is important to note that it can be hard to dissociate circadian misalignment from the effects of sleep deprivation. Sleep deprivation can cause multiple health problems, and so circadian misalignment and sleep deprivation could be considered to have overlapping pathological mechanisms (Roenneberg & Merrow, 2016). For example, acute sleep deprivation impairs glucose tolerance, while prolonged sleep disruption results in increased risk for obesity (Nedeltcheva & Scheer, 2014) Furthermore, diseases ranging from Alzheimer's disease to bipolar disorder report sleep and circadian disruption as one of the main presenting symptoms (Bradley et al., 2017; Saeed & Abbott, 2017). Thus, there is clear interaction between the circadian and sleep systems in the progression of these diseases.

One way in which circadian disruption can cause disease is proposed to arise from phase misalignment whereby peripheral oscillators are separated from the SCN. For example, the clock in the liver preferentially aligns its phase to meal times (Damiola *et al.*, 2000), and therefore one way by which to ensure robust circadian health could be through regular meal timing. Indeed, one landmark study showed that mice fed a high fat diet did not gain weight when food was only available during their active (dark) phase as well as showing improved metabolic characteristics such as increased insulin sensitivity (Hatori *et al.*, 2012). This research has been translated into clinical metabolic disease studies with similar effects on improved clinical outcomes even without weight loss (Sutton *et al.*, 2018), highlighting the potential impact of interventions aligning with the circadian system.

1.2 Sleep

1.2.1 Introduction

The majority of organisms exhibit some form of sleep like behaviour, spanning a wide range of vertebrate and invertebrate species. Up until the early 20th century, sleep was considered to be a consequence of low level activity in the brain and of little value to overall physiology (Scammell *et al.*, 2017). Yet perspectives have shifted rapidly, with a huge body research revealing sleep to be a heavily regulated and complex process involving many neurotransmitters and neural pathways, creating an altered brain state from waking that has many roles and benefits. Among many other functions, sleep has been shown to consolidate memories, enhance cognition and regulate mood and appetite (for review see During & Kawai 2017). In 1982, Borbely proposed a "two process model" of sleep regulation, whereby the homeostatic process (S) increases as a function of time spent awake and the circadian process (C) is determined by the timing of sleep and wakefulness (**Fig 1.4**) (Borbély, 1982; Borbély *et al.*, 2016)

There is an immensely vast body of sleep research investigating many aspects from neuroanatomy of sleep networks, synaptic mechanisms and global cortical regulation of behaviour. This section will focus primarily on the current understanding of sleep homeostasis and the circadian control of sleep.



Time

Figure 1.4 The two-process model of sleep regulation.

Diagrammatic representation of the two-process model of sleep regulation over two days. Process S accumulates with the time spent awake, while process C remains constant with peak SCN activity in the middle of the day. Peak sleep pressure is considered to be at the greatest distance from process S to process C. Simulated sleep deprivation (red line) increases sleep pressure in an exponential manner with no change in process C. White boxes represent wake, black filled boxes represent sleep. Adapted from Borbély (1982) and Deboer (2018).

1.2.2 Electroencephalogram

The gold standard approach for measuring sleep in mammals is the electroencephalogram (EEG) and the electromyogram (EMG). Using these recordings, it is possible to classify brain activity into three different states; wake, non-rapid eye movement sleep (NREM) and rapid eye movement sleep (REM). Furthermore, EEG recordings allows power spectral analysis which enables the in-depth investigation of cortical activity based on its frequency range. Delta rhythms (frequency range 0.5-4 Hz) are commonly referred to as slow-wave activity (SWA) and are most prominent during NREM sleep, associated with memory consolidation and cognitive performance (Marshall *et al.*, 2011; Ngo *et al.*, 2013). SWA is also used as a measure of preceding wake history for sleep homeostasis. Theta rhythms (4-9 Hz) are evident predominantly during REM sleep and also can be observed during wake. They are thought to be regulated by the hippocampus and have roles in episodic memory formation and synaptic plasticity (Vertes, 2005). Theta power also increases as a result of active wake and following sleep deprivation (Vyazovskiy & Tobler, 2005; Vassalli & Franken, 2017), and

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is viewed as a hallmark of wake propensity (Borbély *et al.*, 2016). Alpha rhythms (9-15 Hz) are associated with quiet wakefulness and originate from thalamo-cortical networks modulated by brainstem cholinergic input (Başar, 2012). Beta rhythms (15-30 Hz) are a key hallmark of quiet waking but are also present during heightened states of alertness (Engel & Fries, 2010). Gamma rhythms (30-120 Hz) are typically seen as low amplitude rhythms in the EEG, associated with quiet wakefulness but are also enhanced with theta rhythms during active wake (Buzsáki & Wang, 2012).

1.2.3 Sleep homeostasis

Unsurprisingly, staying awake for prolonged periods of time leads to considerable changes in cortical network activity which must be offset by subsequent sleep or will lead to the cognitive deficits associated with sleep deprivation (Van Dongen et al., 2011; Bellesi et al., 2016). The drive to sleep can be conceptually described within the concept of homeostatic regulation (Borbély et al., 2016). Many animal species have been shown to display sleep homeostasis, suggesting that it is a fundamental phenomenon required for essential functions (Allada & Siegel, 2008). As such, 'Process S' is thought to operate like an hourglass timer, increasing sleep drive as a function of time spent awake (Fig 1.4). It is possible to assess the level of sleep-wake history through EEG delta power in NREM sleep, also referred to as SWA. SWA increases in proportion to the time spent awake and decreases exponentially during subsequent sleep (Tobler & Borbély, 1986; Vyazovskiy et al., 2007; Guillaumin et al., 2018). In addition to SWA, EEG theta power has been proposed to serve as a marker for sleep homeostasis from studies demonstrating that theta power increases during enforced and active wakefulness and could predict the rise of SWA in subsequent sleep periods (Finelli et al., 2000; Vyazovskiy & Tobler, 2005; Vassalli & Franken, 2017).

In addition to global sleep homeostasis, it has been shown that homeostasis can also occur at a "local" level whereby discrete cortical regions display SWA even though the animal is still awake (Vyazovskiy, Olcese, *et al.*, 2011; Einstein *et al.*, 2017). One study by Fischer and colleagues revealed a cortical and neuronal downstate occurring during wheel running in mice, and that the faster the animals wheel-ran, the further this decrease in neuronal activity (Fisher *et al.*, 2016). Furthermore, localised brain regions that display higher activity during wake, demonstrated increased SWA during subsequent sleep (Ferrarelli *et al.*, 2007; Vyazovskiy, Cirelli, *et al.*, 2011), highlighting the local control of sleep networks in the brain.

Sleep homeostasis is a fundamental process and appears to be robust to external perturbations. Chronic sleep fragmentation induced robust sleep homeostatic mechanisms in mice (Olini *et al.*, 2017), and computational models for process S dynamics were resilient to extrinsic factors (such as the introduction of complex wheels) in predicting real-world interactions (Guillaumin *et al.*, 2018). Furthermore, a 5-day chronic sleep restriction protocol in rats demonstrated an immediate loss of SWA during recovery sleep, which was then compensated for the following day, thus indicating intact sleep homeostasis (Leemburg *et al.*, 2010). Aging is related to cognitive decline as well as associated changes in sleep-wake architecture and sleep characteristics (Morrison & Baxter, 2012; Panagiotou *et al.*, 2017). Despite this, sleep homeostasis at the cortical and neuronal level appears to be robust in aging animals following sleep deprivation (McKillop *et al.*, 2018). There are some cases where homeostasis is not preserved, for example one group showed that a paradigm of chronic social stress in mice caused a decrease in SWA following deprivation, providing indications of why stress can lead to sleep disturbances (Olini *et al.*, 2017).

One candidate for the role of a chemical mediator in sleep homeostasis is adenosine. Adenosine increases in the basal forebrain following sleep deprivation and subsequently declines during recovery sleep (Porkka-Heiskanen *et al.*, 2000; Kalinchuk *et al.*, 2011). Further evidence for the role of adenosine is the wake promoting action of caffeine acting as an antagonist at the adenosine A₂ receptors (Huang *et al.*, 2005), thus highlighting a critical function for adenosine in the regulation of sleep homeostasis. Nitric oxide (NO) is also thought to be involved in the local regulation of sleep homeostasis, demonstrated by neuronal nitric oxide synthase knockout mice displaying reduced SWA following sleep deprivation (Morairty *et al.*, 2013) and that infusions of NO synthase inhibitors abolished NREM recovery sleep following sleep deprivation (Kalinchuk *et al.*, 2006).

1.2.4 Circadian regulation of sleep

As previously discussed, when left in constant conditions organisms from flies to humans display strong endogenous wake-sleep rhythms that maintain a relatively constant period

at roughly 24 h, highlighting the interaction between sleep and circadian rhythm processes. The circadian regulation of sleep, 'Process C', is simplistically the determination of sleep propensity across a 24h day regardless of the amount of prior wakefulness (Fig 1.4). Studies in humans subjected to a forced desynchrony protocol of a 28 h rest-activity cycle, demonstrated that the consolidated sleep only occurred when in phase with body temperature but that SWA did not change with circadian modulation (Dijk & Czeisler, 1994, 1995). Evidence for the role of the SCN in the control of circadian timing of sleep comes from initial SCN lesion (SCNx) studies where robust 24 h rhythms of wake and sleep were flattened and the animals displayed high levels of vigilance state transitioning between NREM, REM and sleep with limited consolidated episodes (Eastman et al., 1984; Mistlberger et al., 1987). SCNx studies in rats demonstrated that sleep homeostasis appeared to be intact with total sleep time remaining unchanged and SWA increasing as expected with previous wake duration (Eastman et al., 1984; Mistlberger et al., 1987). In contrast, studies of SCNx in squirrel monkeys (Edgar et al., 1993) and mice (Easton et al., 2004) showed these animals to have an increased total sleep time over 24 h, indicating that the SCN might display some control over the sleep homeostat through alterations in the balance of its output signal.

Many studies have been carried out using clock gene mutant mice in an attempt to dissect the role that the molecular clock plays in the regulation of sleep. An investigation by Wisor and colleagues on the *Cry1^{-/-}Cry2^{-/-}* double knockout mice revealed these mice to spend increased time in NREM, with longer consolidated episodes of NREM as well as an increase in SWA during baseline recordings and sleep deprivation. This result was surprising as increased time spent asleep should lower sleep pressure, yet these mice accrue higher levels of sleep pressure during wake in comparison to wild-types, indicating that the absence of Cry proteins leads to altered sleep homeostasis (Wisor *et al.*, 2002). In contrast, *Per1^{-/-}Per2^{-/-}* double knockout mice demonstrated no change in total sleep amount under LD and DD conditions, with also no alterations in SWA following sleep deprivation (Kopp *et al.*, 2002; Shiromani *et al.*, 2004). *Bmal1^{-/-}* knockout mice, displayed an increase in total sleep time with highly fragmented sleep patterning and an increased SWA during baseline conditions, but displayed a reduction in SWA following sleep deprivation (Laposky *et al.*, 2005), thus highlighting a strong role for *Bmal1* in sleep homeostasis. *Clock* mutant mice
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also have a sleep phenotype, with total sleep amount reduced and NREM sleep bout duration shortened both in LD and DD, but as with the *Cry1^{-/-}Cry2^{-/-}* double knockout mice, no change in SWA was observed following sleep deprivation (Naylor *et al.*, 2000). Mice deficient in the forebrain dominant analogue of *Clock*, NPAS2, have increased wake time during the dark period with changes in EEG delta activity and display a reduction in the amount of recovery sleep following sleep deprivation in male mice (Franken *et al.*, 2006). Therefore, the molecular clock is in part required for intact sleep homoeostasis.

Interestingly however, *Per1* and *Per2* mRNA was increased in the cortex following sleep deprivation in mice (Wisor *et al.*, 2008), and *Per2* expression was increased in the forebrain in correlation with the amount of time spent awake with a proportional decrease in *Dbp* (Franken *et al.*, 2007). Thus, *Per2* expression in the cortex increased with sleep need. Conversely, *Per2* expression in the SCN is not affected by previous sleep-wake history or sleep deprivation (Masubuchi *et al.*, 2000; Curie *et al.*, 2015). The mechanism behind this change in clock gene expression in the cortex following sleep deprivation is thought to be due to *Per2* transcription by NPAS2 (a CLOCK homologue which is more prevalent in the cortex then in the SCN (Zhou *et al.*, 1997)), as *Npas2*^{-/-} knockout mice do not display an increase in *Per2* in the cortex following sleep deprivation to align with the sleep-wake distribution in the forebrain.

1.2.5 Neuroanatomy of sleep

Several discrete neuronal populations are thought to regulate arousal and the wakeassociated cortical desynchrony. Ascending pathways from the rostral pons to the thalamus via the forebrain mediate arousal and can be separated into two routes. The first branch initiates in acetylcholine producing cells in the pedunculopontine (PPT) and laterodorsal tegmental (LDT) nuclei, providing excitatory projections to the thalamus where they play a critical role in gating thalamocortical transmission (Hallanger *et al.*, 1987; Saper *et al.*, 2001). This pathway is most active during wakefulness and REM sleep indicating high levels of cortical activation during these states (Levey *et al.*, 1987; Strecker *et al.*, 2000). The second branch initiates from monoaminergic regions in the caudal hypothalamus and the upper brainstem including the dopaminergic ventral periaqueductal grey matter and the noradrenergic locus coeruleus, projecting to the cerebral cortex, lateral hypothalamus (LH) and basal forebrain (Saper, 1984; Jones, 2003). Typically, these neurons are primarily wake active, with minimal activity during NREM and are silent during REM (Aston-Jones & Bloom, 1981; Steininger *et al.*, 1999). In the LH, orexinergic neurons are arousal promoting and during wake increase the activity of arousal centres, while LH melanin concentrating hormone neurons feedback in an inhibitory manner on the monoaminergic ascending arousal pathways (Fuller *et al.*, 2006).



Figure 1.5 SCN influence in the sleep-wake cycle.

The SCN receives photic and non-photic information from the RHT and IGL. Efferent connections from the SCN to the ventral SPVz (vSPVz) relays connections to the DMH. Glutamatergic (Glu) projections from the DMH innervate the LH, which stimulates orexinergic transmission to mediate wakefulness and feeding. GABAergic efferents from the DMH then project to the VLPO, the sleep "switch". Adapted from Fuller *et al.* (2006).

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The ventrolateral preoptic nucleus (VLPO), characterised by a dense cluster of GABAergic neurones and a more diffuse extended area, is considered to be the "switch" for wake to sleep states, inhibiting the aforementioned arousal circuits during sleep (Sherin *et al.*, 1996; Szymusiak *et al.*, 1998). The extended area primarily contains outputs to the locus coeruleus and the dorsal raphe nucleus which are involved in regulating REM sleep, whereas the cluster region outputs to histaminergic neurones which are thought to help the transition between arousal and NREM sleep (Lu *et al.*, 2002; Ko *et al.*, 2003). The circadian influence in this system arises from the SCN relaying information to the DMH via the SPVz, which contains GABAergic neurons projecting to the VLPO and glutamatergic neurons projecting to the LH, thus influencing sleep and wake (Saper, Scammell, *et al.*, 2005). The SCN receives external cues such as photic information, locomotor activity and feeding and then integrates them in order to promote arousal or sleep (**Fig 1.5**).

1.3 Food Entrainment

1.3.1 Food anticipatory activity

In addition to photic entrainment through LD cycles and non-photic entrainment through exercise and arousal, there is one other primary entrainment stimulus that has a major impact on circadian behaviour; food. Moreover, the ability to predict the timing of food availability is paramount for survival and is a clear trait that has evolved in many species. In 1921 it was observed that when only fed once a day, rats exhibit increased locomotor activity prior to mealtime (Richter, 1922). In nocturnal rodents when food availability is restricted to their inactive phase, these animals become active several hours before mealtime; a phenomenon referred to as food anticipatory activity (FAA) (Stephan, 1997). As well as locomotor activity, FAA can be observed in anticipatory increases in body temperature rhythms, cortisol and in learnt behaviours such as lever pressing (Boulos *et al.*, 1980; Honma *et al.*, 1984; Nelson & Halberg, 1986). One important observation is that exposure of a running-wheel enhances the appearance of FAA, proposed to be due to increased recruitment of reward pathways (**Figure 1.6**) (Flôres *et al.*, 2016).



Figure 1.6 Different restricted feeding paradigms and activity measures.

A Double-plotted actogram of passive infra-red (PIR) activity monitoring of a C57BL6J male mouse undergoing a daytime restricted feeding paradigm through a gradual decrease of temporal food availability to 4 h a day in the middle of the light phase. Yellow shading indicates *ad lib* food availability, grey shading indicates lights off. **B** Double-plotted actogram of wheel running activity of a C57BL6J male mouse during a midday hypocaloric restricted feeding paradigm. Solid red line indicates administration of 70% of daily food intake, grey shading indicates lights off. Note in both examples the increased activity in front of food availability (FAA) and the subsequent decrease in night time activity. Also note the exaggerated FAA present due to hypocaloric feeding and the presence of a wheel. Unpublished data collected by RCN.

1.3.2 The food entrainable oscillator

FAA is thought to be controlled by a food entrainable oscillator (FEO), so-called as it possesses the properties of a true oscillator in that it 1) persists in constant conditions (i.e. fasting), 2) displays limits of entrainments and 3) demonstrates gradual shifts to phase changes in food availability (Davidson & Stephan, 1998; Davidson *et al.*, 2003; Mistlberger & Marchant, 1995). The FEO is an independent oscillator that can function without the SCN (Stephan *et al.*, 1979); restricted feeding (RF) experiments implemented under an LD cycle does not alter SCN dependent rhythms but instead generates rhythms outside of SCN control (Marchant & Mistlberger, 1997; Damiola *et al.*, 2000). Moreover, when RF paradigms are carried out under DD, SCN rhythms will free run through whilst also maintaining anticipatory alignment with the feeding schedule (Castillo *et al.*, 2004). In fact, SCN activity which is typically high during the day is thought to become suppressed during

food restriction allowing FAA to occur in the normally quiescent period (Dattolo *et al.*, 2016).

1.3.3. Neuroanatomical location

For the past few decades, there has been a myriad of studies attempting to find the location of the FEO. Neural ablation experiments attempted to find anatomical sites necessary for FAA, with specific interest in areas involved in metabolism and feeding behaviour. For example lesions of the NTS and area postrema (AP) in the hindbrain (Davidson *et al.*, 2001), the LH (Mistlberger & Rusak, 1988) and the Arc (Mistlberger & Antle, 1999) revealed no reduction in FAA. There have been some controversies as to whether the DMH is required for FAA. One group reported that cell specific lesions in the DMH attenuated FAA (Gooley *et al.*, 2006), however their findings could not be repeated by others (Landry *et al.*, 2007; Moriya *et al.*, 2009) highlighting the problems with lesion studies and variability in experimental design.

1.3.4 Clock gene involvement

Furthermore, it appears that the FEO does not necessarily require the canonical clock TTFL in order for it to function. Many studies to date have investigated the appearance of FAA in a variety of clock mutant mouse models, often with conflicting results. $Cry1^{-f'}/Cry2^{-f'}$ double knockout mice still displayed robust FAA despite being completely arrhythmic (lijima *et al.*, 2005). FAA has been displayed in many molecular clock mutant models including $Clock^{A19}$ mutant mice (Pitts *et al.*, 2003), $Per1^{-f'}/Per2^{-f'}$ double knockout mice (Storch & Weitz, 2009) and $Per1^{-f'}/Per2^{-f'}/Per2^{-f'}$ triple knockout mice (Pendergast *et al.*, 2012). There are discrepancies into the nature of Per2 and FAA; some groups reported that $Per2^{-f'}$ (Brdm1 line) (Feillet *et al.*, 2006; Mendoza *et al.*, 2010) and $Per2^{flox}$ (Chavan *et al.*, 2016) did not display FAA in their hands, while others demonstrated $Per2^{-f'}$ (in both the ldc and Brdm1 lines) did in fact demonstrate FAA (Storch & Weitz, 2009; Pendergast *et al.*, 2017). Likewise, there have been conflicting studies around the necessity of *Bmal1* for the development of FAA. A high-profile study in *Bmal1*^{-f'} mice observed no appearance of FAA during food restriction (Fuller *et al.*, 2008), however several studies since then have disputed this claim and demonstrated clear FAA in these animals (Mistlberger *et al.*, 2008;

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Storch & Weitz, 2009; Takasu *et al.*, 2012). One possibility for the lack of FAA in the original study is potentially due to these mice having poor overall health and the fact that there was no gradual introduction of food availability which could result in the animals being too unwell to demonstrate FAA.

There is some evidence for the necessity of the molecular in the development of FAA. Delezie et al. (2016) demonstrated that global and nervous system specific *Rev-erba*^{-/-} knockout mice had attenuated total FAA, while *Npas2*^{-/-} knockout mice showed a significant delay in the development of FAA (Dudley *et al.*, 2003). However, altogether the vast majority of studies demonstrate that the FEO operates outside the canonical clock TTFL.

1.3.5 Food anticipation and energy balance

When food availability is limited to the rest phase, rodents preferentially align the phase of their peripheral oscillators in organs such as the stomach, liver and pancreas to that of meal times, while the SCN phase remains to that of the LD cycle (Damiola et al., 2000; Stokkan et al., 2001; Schibler et al., 2003). The mechanism behind the separation of these peripheral rhythms from the SCN has been investigated by Chambon and colleagues. They showed that during daytime restricted feeding, peroxisome proliferator-activated receptor α (PPAR α) and glucagon receptors are activated by hyperinsulinemia leading to aberrant expression of *Per1* and *Per2* and resulting in an untimely repression of *Bmal1*. This then gives rise to a 12-hour shift in the phase of the peripheral clocks (Mukherji, Kobiita, & Chambon, 2015). As the SCN does not contain these metabolic sensing receptors, it is insensitive to the phase shifting effects of restricted feeding thus creating a misalignment between the SCN and peripheral clock rhythms. The same group also showed that long term RF during the inactive phase initiates many metabolic syndrome-like phenotypes in mice such as insulin insensitivity and hypercholesterolemia (Mukherji, Kobiita, Damara, et al., 2015). This highlights one possible mechanistic pathway of how shift-work and a misaligned feeding schedule leads to metabolic syndrome in humans; a phenomenon which has previously been poorly understood.

An interesting observation by Chavan *et al.* showed that the loss of *Per2* in the liver, not in the brain, was responsible for attenuated FAA, and that this could be rescued through the

peripheral injection of ketone bodies (Chavan *et al.*, 2016). This indicates a role for peripheral metabolic signals interacting with the clock for generation of FAA, although their results must be interpreted with caution due to findings from others demonstrating *Per2* is not required for FAA as previously discussed. Additionally, there has been interest in the role of metabolic hormones and receptors with leptin deficient mice (*ob/ob*^{-/-}) (Ribeiro *et al.*, 2011), melanocortin receptor knockout mice (*Mc4r*^{-/-}) (Sutton *et al.*, 2008) and melanin-concentrating hormone receptor knockout mice (*Mchr1*^{-/-}) (Zhou *et al.*, 2005) all displaying normal FAA. Some studies demonstrated that ghrelin receptor knockout mice (*Ghsr*^{-/-}) had attenuated FAA (Blum *et al.*, 2009; LeSauter *et al.*, 2009) whereas mice lacking the precursor for ghrelin, preproghrelin (Szentirmai *et al.*, 2010) and mice that lacked the ghrelin ligand (^{-/-}) (Gunapala *et al.*, 2011) displayed normal FAA. This indicates that ghrelin signalling has a potential role in modulating the expression of FAA.

Overall, FAA is a robust behavioural phenomenon enabling animals to seek food when limited to outside of typical feeding times. The current consensus is that the FEO is a true circadian oscillator, outside of SCN control, made up of a complex network of neural and peripheral metabolic signals.

1.4 The Lamina Terminalis

1.4.1 Introduction to the circumventricular organs

There are three sensory circumventricular organs (CVOs) in the brain: the subfornical organ (SFO) and the organum vasculosum lamimae terminalis (otherwise referred to as the vascular organ of the lamina terminalis; OVLT) are positioned along the wall of the third ventricle, while the area postrema (AP) is adjacent to the fourth ventricle (Oldfield & McKinley, 2015). The SFO and OVLT, together with the intermediary median preoptic nucleus, make up the lamina terminalis and are involved in the central control of water balance and drinking behaviours. The AP is located in the hindbrain and plays a role in cardiovascular functions and visceral reflexes, processes which will be discussed later in this section.

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CVOs are highly vascularised midline structures with specialised vascular arrangements and morphology with fenestrated endothelial cells due to a lack of tight junction complexes (Duvernoy & Risold, 2007; Morita & Miyata, 2012). As such, one of the main characteristics of CVOs is their lack of a blood brain barrier (BBB), and this allows bidirectional movement of circulating substances. Consequently, CVOs are often referred to as the "windows of the brain" due to their capacity to sense and interact with blood borne molecules (Gross et al., 1987). The ventricular surfaces of these structures contain specialised ependymal cells of elongated and columnar appearance (as opposed to the regular cuboidal shape on the rest of the ventricular surface), with a high density of tight junctions between the adjacent ependymal cells (Morita & Miyata, 2012). These specialised ependymal cells also display tanycyte-like properties due to their possession of long processes that reach the fenestrated capillary network (Fig 1.7) (Langlet et al., 2013). Circulatory injected marker molecules such as Evans blue dye or horseradish peroxidase are therefore contained within these CVOs, meaning that the BBB function of the capillary endothelium has been transferred to the ependyma (Krisch et al., 1978; Langlet et al., 2013). The 'sensory' CVOs are so called due to the presence of nerve cell bodies, while the 'secretory' CVOs; the pineal gland, subcommissural organ and median eminence, possess similar features to the sensory CVOs but are lacking in nerve cell bodies. Of note, the median eminence and area postrema contain fewer endothelial tight junctions than the other CVOs, allowing greater bidirectional movement of polar molecules from their interstitium (McKinley et al., 2003). The choroid plexus is also considered a secretory CVO and will be covered in more depth later in this section.



Figure 1.7 Anatomy of the OVLT and SFO.

The OVLT and SFO are located at either end of the lamina terminalis. The OVLT sits dorsal to the optic chiasm (OC), with specialised tannycyte-like cells surrounding the third ventricle (3V) with processes extending to the fenestrated capillaries in the capillary plexus (CP). Surrounding the CP is the lateral zone (LZ) characterised by extensive glial fibrillary acid protein (GFAP) positive astrocytes and capillaries with endothelial tight junction proteins. The SFO sits in the 3V, below the ventral hippocampal commissure (VHC). The SFO contains a ventromedial core (VC) with fenestrated capillaries, the lining to the third ventricle is composed of tanycyte-like cells with processes to the fenestrated capillaries. The outer shell (OS) is composed of GFAP positive astrocytes and capillaries with endothelial tight junction proteins. The SFO. White ovals represent capillaries, orange and blue lines indicate the presence of tight-junction proteins claudin-1, occludin, and zona occludens (ZO)-1.

1.4.2 The subfornical organ

The subfornical organ is located ventral to the hippocampal commissure on the anterior wall of the third ventricle and its ventral stalk connects the MnPO as part of the lamina terminalis. Here, the lateral and third ventricle choroid plexuses converge, and the choroid epithelium becomes continuous with the SFO ependyma (Pócsai & Kálmán, 2015). The vascular supply comes from branches of the subfornical artery and creates an extensive network of capillaries containing fenestrated and non-fenestrated endothelial cells, leading

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to a large peri-vascular space for the bidirectional movement of molecules (Duvernoy & Risold, 2007; Morita & Miyata, 2012). Broadly speaking, the SFO can be divided into two components, the ventromedial core and an outer shell (**Fig 1.7**). The shell contains the majority of the efferent fibres of the SFO, whereas the core neurons project mainly to the BNST (McKinley *et al.*, 2003). The subfornical organ is highly populated with perivascular glia, which play an important role in maintaining the glio-vascular-neuronal coupling that is integral to the function of the SFO (Pócsai & Kálmán, 2015). In particular, astrocyte endfeet form a matrix of processes which overlay the surface of the capillary endothelium to form a vital complex of the BBB (Kacem *et al.*, 1998), these glia are important in maintaining the barrier between the SFO and the rest of the hippocampal commissure (Morita *et al.*, 2016; Pócsai & Kálmán, 2015).

The majority of the afferent connections to the SFO originate from the MnPO (Saper & Levisohn, 1983) and the OVLT (Lind *et al.*, 1982); and key players in the central role of water homeostasis along with the SFO. Many other brain areas also display afferent connections to the SFO, of note two key areas involved in metabolic regulation, the mediobasal hypothalamus (Lind *et al.*, 1982) and the NTS (Kawano & Masuko, 2001), also project to the SFO.

There are three main efferent neuronal pathways from the SFO, connecting to the MnPO, OVLT and the supraoptic nucleus (SON) (Miselis *et al.*, 1979). In addition and of note, the SFO has been found to project to the PVN and the SCN (Kawano & Masuko, 2010; Swanson & Lind, 1986). The magnocellular neurones of the PVN and SON are the main sites of AVP secretion release into the blood via the anterior pituitary gland, a vital process in the maintenance of blood pressure and water homeostasis (Miselis, 1981).

1.4.3 The organum vasculosum laminae terminalis

The OVLT is located on the ventral part of the anterior wall of the third ventricle, immediately dorsal to the optic chiasm. The MnPO and the diagonal band of Broca are adjacent to its lateral edges, with the MnPO also located immediately dorsal. The OVLT forms the ventral pole of the lamina terminals, in continuum with the MnPO and then ending at the dorsal pole with the SFO (Oldfield & McKinley, 2015). The SFO and OVLT

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originate from the same neural tube during development, therefore these two structures share similar properties and features (Kiecker, 2018). The most prominent feature of the OVLT is a rich vascular plexus descending from the preoptic artery that compartmentalises the OVLT into an internal zone, the capillary plexus, and a lateral zone (**Fig 1.7**). As per the SFO, the OVLT contains many glial cells, neurons and capillary loops surrounded by perivascular spaces which extend to the ependyma (Prager-Khoutorsky & Bourque, 2015). The ventricle surface and boundaries of the OVLT are lined by specialised tanycyte-like ependymal cells which contain many tight junctions and act as the BBB (Langlet *et al.*, 2013).

The OVLT receives neuronal connections from the SFO and the MnPO within the lamina terminalis (Saper & Levisohn, 1983), as well as AVP fibres from the SCN (Buijs, 1978). Additionally, there are reciprocal efferent connections to the SFO and OVLT within then lamina terminalis (Gu & Simerly, 1997) and also direct neuronal connections from the OVLT to SON and PVN magnocellular neurons as part of the AVP secretion pathway in response to changes in osmolality (McKinley *et al.*, 2004).

1.4.6 The choroid plexus

The choroid plexus (ChP) is a non-neuronal secretory tissue responsible for the production of cerebrospinal fluid (CSF) in the brain. The ChP is a located in each ventricle of the brain and its development and integrity is essential to the maintenance of the central nervous system (Lehtinen *et al.*, 2013). As per other secretory CVOs, the ChP is a highly vascularised tissue filled with fenestrated capillaries, surrounded by a monolayer of cuboidal epithelial cells with extensive tight junctions, thus forming the blood-CSF barrier (Lun *et al.*, 2015). During sleep, the ChP increases production of flow rate of CSF and metabolite clearance is massively enhanced, providing a vital restorative process in healthy brain function (Xie *et al.*, 2013).

1.4.5 The SFO and OVLT in the central control of water balance

The SFO and OVLT play key roles in the central control of osmotic homeostasis. Thirst is perceived by the anterior cingulate cortex (ACC) and the insular cortex (IC) in the forebrain whenever there is an increase in extra-cellular fluid (ECF) sodium concentration or

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osmolality or a change in ECF volume that requires water intake to restore balance (Gizowski & Bourque, 2017). The unique position of the SFO and OVLT lacking a BBB enables them to detect circulating signals that correspond to alterations in water balance (Zimmerman *et al.*, 2017) and then signal to the ACC and IC via thalamic relay neurons to promote thirst (**Fig 1.8**) (Hollis *et al.*, 2008). Neurons in the SFO and OVLT express sodium concentration sensitive cation channels which mediate alterations in excitability (Watanabe *et al.*, 2000; Amin *et al.*, 2005; Miller & Loewy, 2013) with the SFO being the main region in the brain for the control of salt intake behaviour (Hiyama *et al.*, 2004). Glial cells in the SFO and OVLT contain the Na_x sodium sensing channel, and increased levels of sodium activate metabolic pathways in the glial cells to produce more lactate which subsequently activates neurons (Shimizu *et al.*, 2007; Nomura *et al.*, 2019).

In terms of osmotic thirst determined by ECF osmolality, lesions studies identified the OVLT and MnPO (Buggy & Jonhson, 1977; Mangiapane *et al.*, 1983) but not the SFO (Kucharczyk *et al.*, 1976) in the lamina terminalis as a crucial site for the stimulation of thirst following a hyperosmotic stimulus, despite neurons in the SFO demonstrating intrinsic osmosensitivity (Anderson *et al.*, 2000) and increased c-fos expression in response to systemic hypertonicity (Hollis *et al.*, 2008). This indicates that the SFO is involved in osmotic thirst but, unlike the OVLT, it is not essential for its induction. Furthermore, an fMRI study in humans demonstrated that systemic hypertonicity activated the ventral lamina terminalis and the other previously identified thirst networks, the medial thalamus projecting to the ACC and IC (Farrell *et al.*, 2011).

Thirst can also be induced by hypovolaemia, which is most likely to occur through haemorrhage or chronic water restriction leading to a decrease in ECF volume (Russell *et al.*, 1975; Morgan *et al.*, 2004). Baroreceptors and volume receptors found in the peripheral vasculature walls play well defined mechanisms in the detection of changes in blood pressure and blood volume, leading to compensatory mechanisms to induce or suppress thirst as needed (Stocker *et al.*, 2002; Stricker & Sved, 2002). This link from vascular detection of blood volume alteration to the neural thirst circuitry is unknown, but it is thought to involve neurones in the NTS, parabrachial nucleus (PBN) and the ventrolateral medulla (VLM) as they receive afferent inputs from peripheral pressure receptors and then project axons to the MnPO and medial thalamus (Badoer *et al.*, 1992; Thunhorst *et al.*,

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1998; Dampney *et al.*, 2003). Evidence for the involvement of the lamina terminalis in this response comes from these nuclei expressing c-fos following volume depletion (Badoer *et al.*, 1992; Potts *et al.*, 2000), and from optogenetic inhibition of the MnPO leading to the suppression of thirst following 48 h water depletion (Allen *et al.*, 2017). Furthermore, renin released from the kidney in response to blood pressure decrease leads to an increase in circulating angiotensin II (ATII) which results in a well described spectrum of responses that induces potent vasoconstriction and reabsorption of water and sodium into the blood (Coble *et al.*, 2015). Circulating ATII also has a central action through the stimulation of neurons in the SFO to stimulate thirst (Simpson & Routtenberg, 1973) (**Fig 1.8**).

In rodents the majority of water is consumed is before, during and after the consumption of food (Fitzsimons & Le Magnen, 1969), whereby post-prandial thirst is mediated by the direct osmolality changes that accompany food digestion (Mandelblat-Cerf *et al.*, 2017), inducing central thirst pathways as already discussed. Prandial and pre-prandial thirst is indicative of an anticipatory response as it relies on a subconscious pathway before any food has been ingested to alter osmolality. One pathway that this could be mediated by, is through the activation of SFO thirst promoting neurons, which are immediately activated in response to food and stimulation of the oral cavity before any changes in osmolality occur (Zimmerman *et al.*, 2016).

Dehydration can occur during sleep due to fluid losses from breathing and urine not being counteracted by water intake. This is in part prevented due to increased renal reabsorption of water during sleep (Firsov *et al.*, 2012) but also due to anticipatory drinking prior to the sleep period which is regulated by the circadian system (Spiteri, 1982; Johnson *et al.*, 2003). This circadian thirst regulation was shown to be induced by AVP neurons in the SCN directly activating OVLT neurons (**Fig 1.8**) during this anticipatory drinking period (2 h before the light phase) via AVP release, which if inhibited resulted in dehydration subsequent dehydration during the light phase. Furthermore, optogenetic activation or silencing of OVLT neurons either induced or inhibit drinking during the anticipatory period but had no effect during the basal period (1 h before the light phase) (Gizowski *et al.*, 2016). This study indicates that the OVLT is therefore sufficient to mediate circadian anticipatory thirst.

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Figure 1.8 Thirst homeostasis central pathways.

Diagrammatic representation of three of the main pathways involved in the neural control of thirst homeostasis, Osmotic/naetremic thirst, volaemic thirst and circadian thirst. Activation of either pathway through peripheral or central signalling activates the core thirst circuit in lamina terminalis which innervate thalamic (THAL) relay neurons to stimulate the thirst perceiving brain centres; the IC and ACC. Adapted from Gizowski & Bourque, 2017.

1.5 The Dorsal Vagal Complex

The dorsal vagal complex (DVC) is located in the dorso-caudal brainstem and is composed of the AP, the NTS and dorsal motor nucleus of the vagus nerve (DMV) (**Fig 1.9**). Together, this complex is involved in processing major autonomic reflexes and metabolic signals. Due to my research primarily investigating the effects of metabolic signalling and possible circadian involvement, this section will primarily focus on these aspects.

1.5.1 The area postrema

As previously discussed, the AP is a sensory CVO. The AP is located along the fourth ventricle in the dorso-medial medulla oblongata in the hindbrain, immediately dorsal to the NTS. Like the other CVOs, the AP has specialised tanycyte-like ependymal cells with high concentrations of tight junctions lining the ventricle side and also possesses a rich

vasculature with fenestrated capillaries. A specialised diffusion barrier exists between the AP and the NTS, characterised by high density immunoreactivity of glial fibrillary acid protein (GFAP; a marker for astrocytes and tanycytes), zona occludens-1 (Z01) and Claudin-5 (tight junction proteins), helps regulate the entry of blood borne molecules into the brain (**Fig 1.9**) (Wang *et al.*, 2008; Morita & Miyata, 2012; Langlet *et al.*, 2013)

The main afferent input to the AP originates from the PVN and the DMH (Larsen *et al.*, 1991). The majority of the efferent neurons from the AP connect to the NTS, and are primarily Ad2 adrenergic and NA2 noradrenergic neurons (Cunningham *et al.*, 1994). The other main efferent neuronal population projects to the lateral parabrachial nucleus (Herbert *et al.*, 1990), an area responsible for relaying viscerosensory information (Aicher *et al.*, 2013).



Figure 1.9 Dorsal vagal complex anatomy.

Diagram of a coronal view of the medial DVC, located in the brainstem. The AP is made up of the perivascular zone, the highly vascularised central zones (CZ) and the lateral zone (LZ). The LZ is continuous with the glial border between the AP and NTS containing primarily claudin-5 and ZO-1 tight junction proteins. The medial NTS is represented here NTS surrounding the AP and dorsal to the DMV and central canal (CC).

1.5.2 The nucleus of the solitary tract

The NTS is considered the main integratory site for receiving sensory information from the viscera, and thus plays vital roles in many cardiovascular, respiratory and digestive reflexes and process. In addition, the NTS contains a heterogonous population of neurones expressing a wide range of peptides, such as somatostatin and glucagon-like 1 peptide (GLP-1), which play key roles in the maintenance of energy balance (Larsen et al., 1997). The NTS is composed of multiple nuclei, through which runs the solitary tract containing fibres of the vagus nerve, the glossopharyngeal nerve and the facial nerve which carry a variety of mechanical, chemical and osmotic information to the NTS. The differing regions of the NTS can roughly be attributed to their function whereby the rostral NTS primarily receives information regarding gustatory processing, the dorsomedial portion is involved in cardiovascular control, while respiratory afferents are found mid-ventrally and the caudal part is primarily involved in digestive processes (Andresen & Kunze, 1994). The information received from the visceral afferent signals along with information from circulating hormones is processed by integration with other brainstem areas, primarily the DMV, AP and PBN, as well as some limbic and hypothalamic areas (primarily the PVN) and the intermediolateral cell column of the spinal cord. These areas are able to reciprocally interact with the NTS and modulate the its own input in control the of specific actions associated with these signals (Travagli & Anselmi, 2016). The BBB lacking AP lies immediately dorsal to the medial NTS and provides information about circulating factors such as leptin (Fig 1.9) (Oldfield & McKinley, 2015). Efferent NTS neurons projecting to the DMV are primarily involved in gastric emptying reflexes, while projections to the caudal ventrolateral medulla mediate baroreceptor neurocircuits and projections to the hypothalamus are involved in the central regulation of energy homeostasis (Browning & Travagli, 2014).

1.5.3 The dorsal motor nucleus of the vagus nerve

The DMV is a cranial nerve nucleus for the vagus nerve, located ventral to the fourth ventricle, the efferent fibres for which form synaptic contacts with the vagus nerve and

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regulate gastric motility and respiratory functions (**Fig 1.9**). In humans, the DMV subnuclei and neurons can be differentiated based on their cytoarchitecture however in rats and mice DMV neurons tend to be differentiated based on where they project (Huang *et al.*, 1993; Gao *et al.*, 2009). Synaptic neurons from the NTS to the DMV regulate vasovagal reflexes of which GABAergic transmission is the most critical in regulating vagal tone (Sivarao *et al.*, 1998). DMV neurons are also activated by circulating neurons such as GLP-1 and cholecystokinin (CCK), as well as input from synaptic projections from the AP and PVN (Travagli & Anselmi, 2016).

1.5.4 The role of the DVC in metabolism and energy homeostasis

In addition to mediating visceral reflexes, the DVC is a major hub for energy balance control, with the NTS arguably receiving the greatest number of neuronal and circulatory signals regarding energy status. The NTS receives information regarding energy status and feeding via three pathways; vagal nerve afferents following mechanical distortion in the gastrointestinal (GI) tract after ingestion, circulatory endocrine signals from peripheral organs and nutrient sensing of circulatory signals such as glucose (**Fig 1.10**) (Grill & Hayes, 2012).

Following ingestion, satiety is induced via vagal sensory mechanoreceptors in the stomach detecting stretch, however the primary mechanism is through serotonin (5-HT) secretion from gastric endochromaffin cells exciting peripheral dendritic terminals of vagal afferents in the stomach (Hayes *et al.*, 2004; Mazda *et al.*, 2004). Furthermore, a cocktail of satiety signals is released from the intestines including CCK, GLP-1, 5-HT and neuropeptide YY (PYY). Typically, these signals are not released into circulation in quantities large enough to reach the brain, therefore are communicated to the NTS in a paracrine manner via vagal afferent neurons on the *nodose ganglia* (**Fig 1.10**) (Chaudhri *et al.*, 2006; Hayes *et al.*, 2010). Chemical blockade or surgical lesions of the vagus failed to induce inhibitory food intake following peripheral administration of CCK and GLP-1 (Mazda *et al.*, 2004; Kanoski *et al.*, 2011).

Leptin is a satiety factor produced predominantly in white adipose tissue, and its production is increased in proportion to the size of adipocytes and adipose depots

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(Frederich *et al.*, 1995). Leptin signalling in the NTS is widely considered necessary for its well-known effects on energy balance. Fourth intracerebroventricular injections of leptin reduced food intake (Grill *et al.*, 2002) and leptin acting at the NTS is also implicated in thermogenic control through brown adipose tissue activation (Skibicka & Grill, 2009; Zhang *et al.*, 2011). Furthermore, the NTS is able to integrate multiple signals to potentiate satiety, for example, leptin in the NTS amplifies the satiety induced by vagal gastric distention signals (Huo *et al.*, 2007). Amylin is a polypeptide satiety hormone co-synthesised and secreted with insulin from pancreatic β cells, and primarily exerts its effects of reducing meal size by direct action at the AP (Lutz, 2013). In addition, amylin interacts with other metabolic signals to alter their response, for example addition of amylin sensitises neuronal activation in the AP by leptin (Smith *et al.*, 2016), however the precise actions of leptin activating AP neurons are still unknown (Kanoski *et al.*, 2012).

Circulating ghrelin, an orexigenic gastric secreted peptide, also has actions on the NTS through its receptor the growth hormone secretagogue receptor (GHSR) (Cornejo *et al.*, 2018). Direct application of ghrelin to the DVC increased food intake (Faulconbridge *et al.*, 2003). Additionally, ghrelin inhibits the activation effects of visceral afferents in catecholaminergic neurons in the NTS (Cui *et al.*, 2011). Thus, as satiety signals such as gastric distention (Willing & Berthoud, 1997) increase afferent vagal signals to the NTS, the inhibitory action of ghrelin on these signals is one pathway for which it could stimulate food intake.

Neurons in the DVC have been shown to be responsive to glucose deprivation (Balfour *et al.*, 2006). Hindbrain neurons in the NTS and DMV express glucose transporter type 2 (GLUT2), a marker for central glucose sensing neurons (Arluison *et al.*, 2004). Likewise, astrocytes in these hindbrain areas also express GLUT2 thus potentially playing a role in glial-neuronal activation during glucose sensing (Marty *et al.*, 2005). Furthermore, the catecholaminergic population of neurons in the NTS are glucose sensitive (Roberts *et al.*, 2017) and the projections of these neurons include the PVN and other brainstem nuclei (Balcita-Pedicino & Rinaman, 2007), thus they could contribute to altered feeding behaviours in correspondence with blood glucose levels.

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As previously discussed, CCK effects on reducing appetite are primarily though afferent vagal input to the NTS. CCK is also produced in the brain, including the NTS (Herbert & Saper, 1990; Garfield *et al.*, 2012) however, little is known regarding the function of this source. CCK-expressing neurons in the NTS neurons are primarily located in the mid extent of the NTS in conjunction with the AP, and specific activation of these neurons consistently reduced food intake (D'Agostino *et al.*, 2016). Furthermore, it appears that CCK NTS neurons control appetite through direct connections with the hypothalamic PVN and the PBN in the brainstem (D'Agostino *et al.*, 2016; Roman *et al.*, 2017). These data indicate that the direct action CCK on NTS neurons plays an important but poorly understood role in energy balance.

Pro-opiomelanocortin (POMC) neurons (well established mediators of satiety in energy homeostasis) in the NTS are activated by CCK in conjunction with vagal input (Appleyard *et al.*, 2005) and direct activation of POMC NTS neurons inhibits feeding (Zhan *et al.*, 2013). POMC neurons NTS primarily receive inputs from hindbrain and brainstem regions whilst Arc POMC neurons receive inputs from the hypothalamus, however both receive inputs from the PVN and central amygdala suggesting similar coordination by these upstream regulators (Wang *et al.*, 2015; Zhan, 2018). NTS POMC neuron activity appears to modulate Arc energy balance circuitry as direct antagonism of these NTS POMC neurons reduces leptin induced feeding inhibition (Zheng *et al.*, 2010).

Orexin is a neuropeptide produced in the LH that has well known roles in arousal and energy balance. Roughly one quarter of orexinergic fibres project to the hindbrain, including the NTS, and these fibres are in close proximity to medial NTS neurons which are activated following gastric distention (Ciriello *et al.*, 2003). Direct stimulation of LH neurons leads to feeding behaviour in fed rats (Zheng *et al.*, 2005), and one study demonstrated that LH stimulation inhibited medial NTS neurons and that this stimulation could attenuate the excitation induced by gastric distention in NTS neurons (Jiang *et al.*, 2003).

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Figure 1.10 DVC regulation of energy balance.

Simplistic diagram of brainstem circuits in energy balance. Vagal afferents sense gut hormones and mechanical distention activate connections with the nodose ganglia to the NTS, the NTS then relays these signals to PVN circuits to mediate satiety. Orexin efferents from the LH the NTS inhibits satiety signalling from the NTS to mediate food intake. Circulating signals act on the DVC to induce satiety (leptin, amylin, glucose) or inhibit satiety (ghrelin) signalling circuits to mediate feeding. POMC neurons from the NTS interact with leptin signalling in the ARC to modulate satiety signalling.

1.5.9 Circadian rhythms in cardiovascular physiology

The DVC plays a vital role in the autonomic regulation of cardiovascular processes including the baroreflex and cardiac tone. As this thesis is investigating the circadian regulation of

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homeostatic process, in particular those controlled by the DVC, I will now briefly discuss some of what we know about the circadian influence on cardiovascular physiology.

Cardiovascular physiology displays prominent circadian variation, however the exact mechanism(s) behind these are unresolved. In humans, blood pressure dips at night before undergoing a steep increase in the morning, with a characteristic peak in the afternoon (Millar-Craig *et al.*, 1978). This lack of a blood pressure "dip" has been related to increased risk of chronic kidney disease and adverse cardiovascular events (Fagard *et al.*, 2009; Hermida *et al.*, 2010). In fact, it has been observed for a while that the incidence of myocardial infarction is highest in the early day (Muller *et al.*, 1985), suggesting a circadian involvement in cardiovascular pathology.

In mice, various clock gene knockout models show significant variation in blood pressure, highlighting the role of the molecular clock in the generation of healthy blood pressure rhythms (Curtis et al., 2007; Sei et al., 2008; Xie et al., 2015). Clock gene mutant mice also display disrupted circadian variation in heart rate, proposed to be related alterations in the sympathoadrenal system (Curtis et al., 2007). Furthermore, circadian disruption protocols have significant effects on heart rate rhythms and cardiac function (West et al., 2017) and mice with disrupted SCN signalling (*Vipr2^{-/-}* mice) display flattened rhythms in blood pressure and heart rate (Sheward et al., 2010), implying that coherent SCN output is required for the maintenance of these physiological rhythms. Rodents with SCN lesions show a dampening in blood pressure circadian rhythms (Janssen et al., 1994) and blunted heart rate daily rhythms (Scheer et al., 2001). In addition, following treatment with metaraminol, an α_1 -adrenergic receptor antagonist, SCNx animals show heightened changes in blood pressure indicating that the SCN is involved in gating the acute response to blood pressure challenges. These authors also demonstrated a significant reduction in SCN neuronal activation following peripheral metaraminol administration in NTS lesioned animals, hinting that the NTS is relaying blood pressure information to the SCN (Buijs et al., 2014).

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1.6 Concluding Remarks

As demonstrated in this literature review, the circadian system has many overlapping regulatory roles in homeostatic processes, from sleep regulation, to fluid balance and metabolism. There are still many unanswerable questions as to how these processes are regulated in a circadian manner, with current understanding primarily pointing towards SCN involvement. However, in the following chapters, I provide evidence for how autonomous circadian clocks and interactions outside of direct SCN control might play a role in the regulation of certain homeostatic systems.

1.7 Aims and Objectives

The overall aim of my PhD was to make a significant contribution to the understanding of circadian regulation and its interactions with homeostatic systems. The common thread throughout this thesis is how processes separate from the SCN might impart temporal information and regulation to key homeostatic systems. Daytime food restriction (Chapter 2) generates anticipatory behaviour outside of SCN control to enable arousal during quiescent periods in order to seek food. This results in changes in the temporal partitioning of rest-activity rhythms, with unknown effects on homeostatic and circadian sleep regulation. Drinking behaviour and fluid balance exhibits clear circadian variation (Chapter 3), as does energy balance and cardiovascular regulation (Chapter 4) yet the exact mechanisms behind this temporal control are unknown. My research aims to address the following questions and provide the foundation for future investigations to further this understanding.

- How does entrainment to daytime restricted feeding alter sleep-wake patterning and does this impact on sleep homeostasis? (Chapter 2)
- What changes are observed in sleep homeostasis and sleep architecture during natural arousal for food in comparison to forced wakefulness? (Chapter 2)
- Do brain areas involved in fluid balance demonstrate evidence of endogenous clockwork? (Chapter 3)
- How do these oscillators compare to the master clock, and how might their rhythms be maintained? (Chapter 3)
- What evidence is there for endogenous oscillations in the brainstem? What are the properties of these rhythms and how do they interact with each other? (Chapter 4?)
- How might the brainstem clock contribute to circadian variation in metabolism? (Chapter 4)
- What evidence is there for the role of non-neuronal cells in localised circadian regulation in the brainstem? (Chapter 4)

1.8 Alternative Format

This thesis is presented in the alternative format in compliance with the rules and regulations of the University of Manchester. The following results are presented in manuscript format, in the style suitable for the chosen journals for submission. However, some elements have been reformatted to ensure continuity and additions have been made in places where more detail was required. Below are the details of each manuscript, journal of submission and the contribution of each author to the work.

1.8.1 Chapter 2

Title: Sleep homeostasis during daytime food entrainment in mice

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Journal of submission: Manuscript submitted to *SLEEP* in February 2019. Currently the manuscript is back with the authors for minor revisions.

Author contributions: This work was performed at the University of Oxford as part of an external research placement funded by the MRC during the course of my PhD. The experiments were designed by me, Vladyslav Vyazovskiy and Hugh Piggins with expert advice from Stuart Peirson and David Bechtold. I performed the majority of the EEG implantation surgeries under the instruction and guidance of Laura McKillop who

implanted one animal herself. The data acquisition, animal behavior, sleep scoring and data analysis were all carried out by me, with excellent tuition and guidance from VV. Yige Huang assisted in animal behavior and technical set up. VV and I wrote the manuscript together with comments and advice from all the authors.

1.8.2 Chapter 3

Title: Time for a drink? Novel oscillator properties in the thirst centres of the brain

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Journal of submission: Manuscript to be submitted to FASEBJ in April 2019

Author contributions: The experiments in this manuscript were designed by me, Alun Hughes and Hugh Piggins. Alun Hughes instructed me in the bioluminescence recordings and analysis. I performed the vast majority of experiments and data analysis, with assistance in bioluminescence set up from AH and Lukasz Chrobok. LC also assisted me with bioluminescence data analysis. Cheryl Petit provided expert advice and assistance for the qPCR experiments. The manuscript was written by me with comments and edits from all the authors.

1.8.3 Chapter 4

Title: Keeping time in hindbrain

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Journal of submission: Manuscript submitted to PNAS in April 2019

Author contributions: The work in this manuscript was done in conjunction with Lukasz Chrobok. Experiments were designed by me, LC and Hugh Piggins. I performed the majority of the bioluminescence experiments. LC and I performed the bioluminescence analysis. qPCR experiments and analysis were performed primarily by me with assistance from LC with technical advice and assistance from Peter Cunningham and Cheryl Petit. The permeability studies and analysis were performed together by me and LC. LC performed the multi-electrode array recordings and analysis, with assistance from me. The manuscript was written by me, LC and HDP.

Chapter 2: Sleep Homeostasis During Daytime Food Entrainment in Mice

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Keywords: sleep homeostasis, food entrainment, food anticipatory activity, sleep deprivation, slow-wave energy, circadian rhythms, behaviour, electroencephalography

2.1 Abstract

24 h rhythms of physiology and behaviour are driven by the environment and an internal endogenous timing system. Daily restricted feeding (RF) in nocturnal rodents during their inactive phase initiates food anticipatory activity (FAA) and a reorganisation of the typical 24 h sleep-wake structure. Here, we investigate the effects of daytime feeding, where food access was restricted to 4 h during the light period ZT4-8 (zeitgeber time; ZT0 is lights on), on sleep-wake architecture and sleep homeostasis in mice. Following 10 days of RF, mice were returned to *ad libitum* feeding. To mimic the spontaneous wakefulness associated with FAA and daytime feeding, mice were then sleep deprived between ZT3-6. While the amount of wake increased during FAA and subsequent feeding, total wake time over 24 h remained stable as the loss of sleep in the light phase was compensated by an increase in sleep in the dark phase. Interestingly, sleep which followed spontaneous wake episodes during the dark period and the extended period of wake associated with FAA, exhibited lower levels of slow-wave activity (SWA) when compared to baseline or after sleep deprivation, despite a similar duration of waking. This suggests an evolutionary mechanism of reducing sleep drive during negative energy balance to enable greater arousal for food seeking behaviours. However, the total amount of sleep and SWA accumulated during the 24 h was similar between baseline and RF. In summary, our study suggests that despite substantial changes in the daily distribution and quality of wake induced by RF, sleep homeostasis is maintained.

2.1.1 Statement of significance

Nocturnal rodents are able to robustly anticipate daytime meals, resulting in gross disruption of typical sleep-wake patterns. It is currently unknown how changes in sleep, in particular sleep drive, are affected during this process of food anticipation and whether or not the characteristics of wake have changed. We performed chronic recordings of cortical activity during a typical daytime restricted feeding paradigm in mice to investigate how sleep homeostasis and sleep/wake characteristics are affected by food anticipation. Unexpectedly, we found that sleep homeostasis was maintained and that sleep drive appeared to be reduced during restricted feeding, suggesting an evolutionary flexibility in sleep control allowing animals to more readily wake and seek food.

2.2 Introduction

Daily patterns in behavioural and physiological state emerge through the actions of an intrinsic circadian timekeeping system and its synchronisation to recurrent environmental signals or zeitgebers (Hastings *et al.*, 2018). Variation in environmental light is the dominant zeitgeber where photic information is conveyed from the eye, via the retinohypothalamic tract (RHT), to the master circadian pacemaker in the brain's suprachiasmatic nuclei (SCN) (Lucas *et al.*, 2012). Photic input evokes glutamate release from RHT terminals which entrains the molecular clock contained within individual SCN cells, thereby synchronising this master oscillator to the external world. Rhythmic electrical and neurochemical output of the SCN imparts time of day information throughout the brain and body, driving day-night/circadian variation in body temperature, hypothalamic-pituitary-adrenal axis, as well as behavioural and neural states (Pilorz *et al.*, 2018).

Two key processes heavily influenced by the SCN are the onset of sleep and patterns of food intake (Deboer, 2018; Pilorz *et al.*, 2018). For nocturnal animals, this partitions rest and infrequent feeding activity to the day, while waking and frequent feeding are mostly confined to the night. In addition, both food intake and sleep are subject to strong homeostatic control that ensure appropriate amounts of daily feeding, wake duration and sleep intensity. Moreover, SCN control on sleep-wake and feeding cycles can be overriden by restricting daily food availability to the light phase of the day such as during typical restricted feeding (RF) paradigms (Mistlberger, 2011). In rodents, this activates arousal centres to trigger wakefulness and food-seeking behaviour, which can be readily measured as an increase in locomotor activity and body temperature preceding expected meal time, termed food anticipatory activity (FAA) (Webb *et al.*, 2014). This emergence of FAA is highly conserved. Many anatomical, functional and genetic ablation studies have attempted to disrupt FAA with limited success, highlighting the evolutionary importance and dominance of food seeking behaviours over other behavioural rhythms. However, it is still unclear if and how homeostatic regulation of sleep is influenced by RF and FAA.

Traditionally, the need for sleep is viewed as building up progressively during wakefulness and diminishing during subsequent sleep (Borbély *et al.*, 2016). Electrophysiologically, sleep-wake history is reflected in the levels of electroencephalogram (EEG) slow-wave activity (SWA; 0.5-4Hz), which increases as a function of preceding wake duration and decreases during ensuing sleep, and as such is widely used as an objective measure of sleep homeostasis (Borbély, 1982; Franken et al., 2001). Waking is typically assumed to be a homogenous process, which is associated with a continuous increase of sleep pressure largely irrespective of ongoing behaviour or activities (Vyazovskiy, Walton, et al., 2017; Guillaumin et al., 2018). However, this view is likely too simplistic. For example, waking induced by FAA (a strongly motivated seeking behaviour) may be qualitatively different to typical spontaneous and exploratory waking, particularly with respect to brain activity and accumulation of sleep pressure. Brain activity during awake states is largely regulated by ascending activating influences from specific subcortical wake-promoting areas and ongoing behaviour (Jones, 2005; Brown et al., 2012). This is reflected in the wake EEG which consists of faster oscillations, and which is distinguished from sleep by the absence of slow waves (~0.5-4 Hz). Importantly, the same neuromodulatory systems are crucially involved in locomotion and other active behaviours (Wu et al., 1999; Mileykovskiy et al., 2005; Constantinople & Bruno, 2011; Polack et al., 2013), and arousal-promoting neuromodulators are implicated in motivated behaviours, such as foraging (Willie et al., 2001; Arrigoni et al., 2018; Eban-Rothschild et al., 2018). Therefore, we hypothesize that the nature of wake behaviour and the underlying drive for staying awake, such as FAA versus spontaneous exploration, will influence brain activity during wakefulness and the characteristics of subsequent sleep.

Here, we investigated the influence of timed food restriction on the amount, distribution and quality of waking and sleep in mice. To investigate the effects of food restriction on waking and sleep, as well as sleep regulatory mechanisms, we recorded EEGs from two cortical derivations. Specifically, we assessed how and whether waking associated with FAA differs from exploratory wakefulness with respect to EEG spectral power, as well as its effects on subsequent sleep.

2.3 Materials and Methods

2.3.1 Animals and recording conditions

Male C57BL/6J mice (Envigo, n=7) aged 10-12 weeks underwent EEG and electromyogram (EMG) recordings. For the duration of the experiment mice were housed individually in custom-made clear plexiglass cages (20.3 x 32 x 35 cm) on a 12:12 h light-dark (12:12 LD) cycle. Cages were housed in ventilated and sound-attenuated Faraday chambers (Campden Instruments, Loughborough, UK, with two cages per chamber). An LED lamp illuminated each chamber at approximately 200 lux during the light phase of and 0 lux during the dark phase of the 12:12 LD cycle. Room temperature and relative humidity were maintained at $22 \pm 1^{\circ}$ C and $60 \pm 10\%$, respectively. Water was available *ad libitum* throughout the study. All procedures were performed in accordance with the United Kingdom Animals (Scientific Procedures) Act of 1986 and the University of Oxford Policy on the Use of Animals in Scientific Research (PPL 70/7483). All experiments were approved by the University of Oxford Animal Welfare and Ethical Review Board.

2.3.2 Surgical procedure and experimental design

Custom-made EEG and EMG headmounts were implanted during cranial surgery. Each headmount was composed of three stainless steel screw electrodes and two stainless steel wires (shaft diameter 0.86 mm, InterFocus Ltd, Cambridge, UK), soldered before implantation to an 8-pin surface mount connector (8415-SM, Pinnacle Technology Inc, Kansas, USA). Surgical procedures were carried out under isoflurane anaesthesia (5% for induction, 1.5 – 2.5% for maintenance) using aseptic surgical techniques. Animals were head-fixed using a stereotaxic frame (David Kopf Instruments, California, USA) throughout the procedure, and Viscotears liquid gel (Alcon Laboratories Limited, Hemel Hempstead, UK) was applied at regular intervals to protect the eyes. Along the midline of the head, an incision was made using a scalpel to reveal the skull, which was then cleaned with 3% hydrogen peroxide and saline. For EEG screw implantation, the skull was drilled using a high-speed drill (carbon burr drill bits, 0.7 mm, InterFocus Ltd) after which two of the headmount screws were implanted epidurally over the frontal (motor area, M1, anteroposterior (AP) +2 mm, mediolateral (ML) 2 mm) and occipital (visual area, V1, AP -

3.5-4 mm, ML +2.5 mm) cortical regions (**Fig 2.1A**). To act as a reference, an additional screw was implanted over the cerebellum, and for stability of the head implant an anchor screw was attached to the skull contralaterally to the frontal screw. Two stainless steel wires were inserted either side of the nuchal (back neck) muscle to record EMG. All screws and the headmount wires were secured in place using dental cement (Associated Dental Products Ltd, Swindon, UK). Overall, this recording configuration provided two EEG derivations [frontal (Fro) vs. cerebellum and occipital (Occ) vs. cerebellum] and one EMG derivation. Throughout the surgery and immediate recovery period animals were administered saline and maintained on thermal support. Analgesics were administered preoperatively (Metacam 1-2 mg/kg, meloxicam, Boehringer Ingelheim Ltd, Bracknell, UK). A minimum two-week recovery period was permitted prior to cabling the animals for recording. Four days of habituation to the recording cables was allowed before recordings were used in analyses.

2.3.3 Restricted feeding paradigm

A standard restricted feeding (RF) paradigm was used (Mistlberger, 2011). After obtaining a stable baseline 24 h recording with food provided ad libitum (defined from here as baseline condition, BSL), food was removed at ZT12 (zeitgeber time; ZT0 = lights on, ZT12 = lights off), and provided for 6 h at ZT4-10 the next day, and then during a 4 h interval at ZT4-8 for the following 10 days (Fig 2.1B). This paradigm enabled a gradual introduction to the food restriction window, as similarly seen in previous studies and is considered the standard for testing food entrainment (Dudley et al., 2003; Flôres et al., 2016; Pendergast & Yamazaki, 2018). Food was administered in custom made wired cages through insertion at the back of the housing unit, with a removable plexiglass cover designed to be easily inserted to block access to food with minimal disturbance to the animals. Animals were weighed daily at the end of the feeding session (Fig 2.1C). At the end of RF paradigm, food was provided *ad libitum* and the animals were recorded further for an additional four days, including the day with sleep deprivation (Fig 2.1B) (see section 2.3.4 Sleep deprivation). Torpor bouts can be observed in mice undergoing food restriction (Mitchell et al., 2015), however we wanted to exclude torpor bouts from our studies due to their atypical influence on EEG characteristics (Vyazovskiy, Palchykova, et al., 2017). As such, one representative day of food entrainment was selected from days 7-10 of the RF protocol for each animal and carried forward for detailed analyses. Selection of this was based on established FAA and no obvious bout of torpor. Torpor bouts (**SFig 2.1**) occurred sporadically in three out of seven animals; identified as periods with lowered peripheral body temperature recorded using thermal imaging cameras (Optris Pi 200, Berlin, Germany), decreased EEG amplitude, flat EMG, lowered heart rate and absence of distinct REM sleep episodes (Vyazovskiy, Palchykova, *et al.*, 2017).



Figure 2.1 Experimental design and baseline measurements.

A Schematic diagram of electrode placement for chronic EEG recordings. **B** Schematic of the standard food entrainment paradigm used for this experiment. Each row represents 24 h, which are repeated for the time shown. Yellow shading represents food availability, black diagonal lines represents lights off and light grey shading represents sleep deprivation. **C** Relative body weight loss over the RF paradigm, where day 0 is BSL day, data are means ± SEM (Friedman test, p>0.05). **D** Average EEG spectra for frontal (left panel) and occipital (right panel) derivations for BSL day, data are means ± SEM. A=anterior, AP=Anterior posterior, BSL=baseline, Fro=frontal, ML=medial lateral, Occ=occipital, P=posterior, RF=restricted feeding, SDP=sleep deprivation.

2.3.4 Sleep deprivation

To investigate whether extended waking associated with FAA differs from active exploratory waking, all animals were sleep deprived with *ad libitum* access to food for 3 h between ZT3-ZT6 to mimic the duration of spontaneous waking during RF. Polysomnographic recordings were performed continuously, and the animals were under constant visual observation. SDP was performed in the animal's home cage, where they were regularly provided with various objects, which elicited exploratory behaviour, to mimic the naturalistic conditions of wakefulness in an ethologically relevant manner (Tobler & Borbély, 1986; Palchykova *et al.*, 2006; Vyazovskiy *et al.*, 2008). The objects included nesting and bedding material, wooden blocks, small rubber balls, plastic, metallic, wooden, or paper boxes and tubes of different shape and colour. Subsequently, the animals were left undisturbed for the rest of the 24 h period and analysed as the recovery period.

2.3.5 Statistics

Statistical analyses were performed with GraphPad Prism version 7. Data were tested for normality using the Sharpiro-Wilk test, otherwise the appropriate non-parametric test was used. Statistically significant effects of restricted on hourly vigilance states across 24 h were detected by repeated measures (RM) two-way ANOVA. Cumulative curves were tested for significance through comparison of day vs night slope % and total 24 h accumulation was compared with paired t-test or RM one-way ANOVA. Since EEG spectral power values are not normally distributed, the statistical comparisons were performed on log-transformed data (Vyazovskiy *et al.*, 2002). Error bars represent standard error of the mean (SEM).

2.3.6 Signal processing

Data acquisition was performed using the Multi-channel Neurophysiology Recording System (TDT, Alachua FL, USA) as previously (Fisher *et al.*, 2016; Guillaumin *et al.*, 2018; McKillop *et al.*, 2018). EEG and EMG data were collected at a sampling rate of 256.9 Hz (filtered between 0.1 – 100 Hz), amplified (PZ5 NeuroDigitizer pre-amplifier, TDT Alachua FL, USA) and stored locally. Data were resampled offline at a sampling rate of 256 Hz. Signal conversion was performed using custom-written MatLab (The MathWorks Inc, Natick,

Massachusetts, USA) scripts and was then transformed into European Data Format (EDF) using Neurotraces software. For each 24 h recording, EEG power spectra were computed by a Fast Fourier Transform (FFT) routine for 4-s epochs, with a 0.25 Hz resolution (SleepSign Kissei Comtec Co, Nagano, Japan).

2.3.7 Scoring of vigilance states

Scoring of vigilance states occurred offline by visual inspection of consecutive 4-s epochs (SleepSign, Kissei Comtec Co, Nagano, Japan). Two EEGs (frontal and occipital) and EMG were simultaneously displayed to aid scoring. Vigilance states were classified as wake (low voltage, high frequency irregular EEG pattern, dominated by theta-activity (6-9 Hz) and with phasic or high-level EMG activity), non-rapid eye movement sleep (NREM; a signal of a high amplitude and low frequency denoting slow waves, typically lower level EMG activity), or rapid eye movement sleep (REM; low voltage, higher frequency EEG with thetadominant activity in the occipital derivation, accompanied by a low level of EMG activity). Some epochs were contaminated with artefacts (16.8 ± 3.8 % SEM of all recordings, $99.4 \pm$ 0.3 % of which were during wake) due to movements such as chewing and were removed from subsequent spectral analysis. The onset of individual NREM sleep episodes was defined by the first occurrence of slow waves (0.5 - 4 Hz) in at least one of the two EEG channels, accompanied by the absence of phasic EMG activity recorded from the nuchal muscle. For sleep episode analyses, we included NREM sleep episodes, which were at least 1 min long. For wake episode analyses, we included consolidated periods of waking lasting at least 10 min.

2.4 Results

2.4.1 Entrainment to scheduled feeding

Analysis of EEG spectra recorded during waking, NREM sleep and REM sleep (**Fig. 1D**) revealed a distribution of spectral power across frequencies in the frontal and occipital derivations that is characteristic for mice (Guillaumin *et al.*, 2018). During *ad libitum* feeding baseline conditions (BSL), all animals showed the typical 24 h LD distribution of vigilance states (**Fig 2.2A**, top panel), with wakefulness predominantly occurring during the dark phase and sleep mostly occurring during the light phase (day: 25.1 ± 0.01 % of time spent awake; night: 66.7 ± 0.01 % of time spent awake). After habituation and the establishment of stable baseline EEG recordings, a standard RF paradigm was introduced, whereby daily food availability was restricted to 4 h during the middle of the light phase (Zeitgeber time; ZT4-8) (**Fig 2.2A**, bottom panel) for 10 consecutive days. To evaluate how animals adapted to this temporal perturbation in food availability, the amount of waking in the 2 h preceding the presentation of food was quantified over 10 successive days of RF to encompass the period where animals typically showed FAA. Wake time in this 2 h increased over the 10 days, indicating that animals adapted and displayed robust FAA, particularly after 7+ days of this limited food availability (**Fig 2.2B**).

We next compared vigilance state parameters across a 24 h cycle in which the mice exhibited robust FAA (from RF day 7-10; see 2.3.3 Restricted feeding paradigm) with the BSL day immediately prior to the start of RF. The amount of wake significantly increased before food presentation and during subsequent feeding in RF, with a corresponding reduction of both NREM and REM sleep (**Fig 2.2C**). Notably, while waking was increased and sleep decreased by RF during the light phase, this was reversed during the latter half of the dark period (~ZT16-24). Accordingly, there were clear differences in the rate of vigilance state accumulation over the 24 h, yet despite this temporal reorganisation during RF, total time spent in wake, NREM and REM remained the same over the 24h cycle (BSL vs RF; wake 661.4 ± 7.11 vs 685.7 ± 36.15 min p=0.51, NREM 622.8 ± 7.29 vs 622.9 ± 26.00 min p=0.98, REM 110.1 ± 5.98 vs 93.5 ± 10.51 min p=0.27) (**Fig 2.2D**). Specifically, wake time during RF increased at a faster rate during the lights-on phase but was significantly slower during the lights-off phase compared to BSL (**SFig 2.2A**; slope analysis of the
cumulative curves). The opposite was apparent for NREM and REM sleep (**SFig 2.2B,C**) with a reduced rate in accumulation during the day and an increased rate during the night. Taken together, these data suggest that despite gross reorganisation of the daily pattern of sleep and waking, the amount of vigilance states over 24 h is maintained after >7 days of exposure to temporally restricted food availability.





A Example hyponograms, displaying SWA over time, for BSL (top panel) and example RF day (bottom panel). Note the prolonged wake prior to food availability (FAA) on the RF day. Yellow shading indicates food availability. SWA is plotted in 4s epochs and is color-coded according to the vigilance state (waking: blue, NREM sleep: green, REM sleep: red). **B** Amount of FAA (wake 2 hours prior to the food restriction window) for each day of the scheduled feedings paradigm where day 0 is BSL day, data are means ± SEM. Friedmans test, Dunn's multiple comparisons compared to RF Day 0; *=p<0.05. **C** Time course of hourly Wake, NREM and REM (left to right panels respectively) for BSL and example RF day, data are means ± SEM. Two-way RM ANOVA (factors experiment day and hour), Sidak's multiple comparisons; *=p<0.05, **=p<0.01, ****=p<0.0001. **D** Time course of cumulative Wake, NREM and REM over 24 hours for BSL and chosen RF day (left to right panels respectively) for BSL and example RF day, data are means ± SEM. Subsequent comparison of 24h total accumulation of vigilance state was by paired T-test; ns. Yellow shading indicates food availability on the RF day. Open and closed bars indicate lights on and lights off respectively. BSL=baseline, FAA=food anticipatory activity, ns=non-significant, RF=restricted feeding, RM=repeated measures.

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Changes in the nocturnal distribution of vigilance state in response to RF could arise from reduced duration or reduced frequency of wake bouts at night. We found that in comparison to BSL, wakefulness under RF was characterised by a reduction in the number of consolidated (>10 min) wake episodes during the dark period (9.86 ± 0.55 vs 6.71 ± 0.61 , p<0.05) (Fig 3.3A), while their average duration remained unchanged (45.84 ± 3.19 vs 50.63) ± 8.55 min, p=0.93) (Fig 3.3B). Next, we investigated SWA during NREM sleep episodes occurring immediately following the cessation of nocturnal consolidated wake bouts. Interestingly, SWA was significantly lower during RF compared to BSL (Fig 3.3C). Plotting SWA against the duration of the preceding wake episode reveals a significant positive correlation under BSL conditions, indicating that increased wake duration increases subsequent SWA (Fig 3.3D) as previously shown (Tobler & Borbély, 1986; Vyazovskiy et al., 2006). However, this relationship was lost under RF conditions (Fig 3.3D), suggesting that either sleep drive overall is reduced during RF, or that nocturnal wake state in RF is qualitatively different than wake state under BSL conditions and is associated with reduced accumulation of sleep pressure. To evaluate whether sleep drive is reduced under RF, we examined the distribution of SWA and the accumulation of SWA (slow wave energy; SWE) over 24 h. SWA in RF was reduced significantly compared to BSL in the first 3 h prior to the feeding window during FAA after which it increased rapidly and then subsequently declined for the remainder of the 24 h (Fig 3.3E). BSL SWA values decreased during the light phase and increased during the dark phase (Fig 3.3E) so that overall there was no difference in total 24 h accumulation of SWE between RF and BSL in the frontal (Fig 3.3F) and occipital derivations (data not shown). These results indicate that SWA homeostasis was maintained, thus we next tested the hypothesis that the reduction in SWA post nocturnal wake bouts in RF (Fig 3.3C,D) is due to a change in wake quality. To this end, we compared the relative change of wake spectra over 24h for RF versus BSL (Fig 3.3G, left panel) and observed a redistribution of power in the occipital spectrum within the theta frequency range (5-10 Hz). This indicates a change in wake state or behaviour during RF. Additionally, there was a significant decrease in faster NREM frequencies in RF compared to BSL (Fig **3.3G**, right panel), including the spindle-frequency range. This is an interesting observation as sleep spindle activity is under both circadian and homeostatic regulation (Dijk et al., 1993; Vyazovskiy et al., 2004) thus, this attenuated spindle activity is indicative of RF weakening circadian regulation.





A The number of wake episodes (>10 minutes) during the dark period (ZT12-ZT24) in BSL and RF conditions, (paired t-test, *=p<0.05) and (**B**) the length of these wake episodes (Wilcoxon signed rank, ns). **C** SWA of sleep episodes in the frontal derivation following prolonged wake episodes during the dark period (>10 minutes; paired t-test, *=p<0.05) and (**D**) SWA plotted against previous individual wake episode length for BSL and RF (linear regression analysis; p<0.0001 and p=0.75 respectively). **E** Time course of hourly SWA in the frontal derivation during BSL and RF, data are means ± SEM. Two-way RM ANOVA (factors experiment day and hour), Sidak's multiple comparisons; *=p<0.05, **=p<0.01, ***=p<0.001, ****=p<0.0001. **F** Time course of slow wave energy (SWE) during BSL and RF in the frontal derivation, data are means ± SEM. Subsequent comparison of 24h total accumulation of vigilance state was by paired t-test; ns. **G** EEG power spectra for RF as percentage of BSL for wake (left panel) and NREM (right panel), data are means ± SEM. Two-way ANOVA (factors experiment day and frequency), Sidak's multiple comparisons; solid black line= p<0.05 for frontal vs BSL, solid grey line=p<0.05 for occipital vs BSL. Open and closed bars indicate lights on and lights off respectively. Yellow shading indicates food availability on the RF day. All error bars represent ± SEM. SUA-sale measures, SWA=slow wave activity, SWE=slow wave energy, ZT=zeitgeber time.

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2.4.2 The initial response to scheduled feeding

As demonstrated above, homeostatic regulation of sleep was fully established during stable food entrainment; however, this was not the case during the initial days of the RF paradigm. Specifically, during the first day of RF (Day 1 RF), food was removed at ZT12 (Fig 2.4A, left panel), and although the rate of NREM increase during the light period accumulated at the same rate as that seen under BSL conditions, it dropped to a lower rate during the dark period (SFig 2.3A). This pattern was sustained on Day 3 RF, the first day food was available for 4h (Fig 2.4A, right panel), where the nocturnal rate of NREM accumulation significantly decreased during the night (Fig 2.4B & SFig 2.3A). Despite these changes in the nocturnal rate of accumulation, the amount of NREM over 24h was not significantly different for Day 1 or Day 3 RF (BSL vs Day 1 RF vs Day 3 RF; 622.8 ± 7.29 vs 586.1 ± 14.17 vs 595.3 ± 18.42 min, p=0.19) (Fig 2.4B). However, when accumulation of SWE over 24h was examined, a decrease in the rate of accumulation during the night (Fig 2.4C & SFig 2.3B) and an overall decrease in total SWE was revealed for both Day 1 and Day 3 RF (Fig 2.4C). Thus, during the initial adaptation to temporally limited food availability animals exhibit a change of rate in NREM accumulation but no overall change in the total amount of NREM. Moreover, nocturnal sleep drive appears to be suppressed during the initial days of scheduled feeding. Specifically, although the number of nocturnal wake episodes are reduced compared to BSL by Day 3 RF (BSL vs Day 1 RF vs Day 3 RF; 9.86 ± 1.46 vs 8.14 ± 0.51 vs 4.83 ± 0.46, BSL vs Day 3 RF p<0.01) (Fig 2.4D), these wake episodes are significantly longer (BSL vs Day 1 RF vs Day 3 RF; 45.84 ± 3.19 vs 62.85 ± 7.93 vs 100.8 \pm 12.39 min, BSL vs Day 3 RF p<0.01) (Fig 2.4E; evident in the example hypnogram, Fig 2.4A right panel). Taken together these data indicate that SWE and nocturnal waking episodes during the first few days of the RF paradigm do not exhibit robust homeostatic control and that sleep drive can be in part overridden by metabolic pressure induced by RF.



Figure 2.4 Adapting to the restricted feeding paradigm.

A Example hyponograms, displaying slow wave activity over time, for Day 1 RF (left panel) where food is removed at ZT12 and Day 3 RF (right panel) the first day where food is available for 4h ZT4-ZT8. Note the prolonged wakefulness in the dark period in Day 3 RF vs Day 1 RF. Yellow shading indicates food availability. SWA is plotted in 4 s epochs and is color-coded according to the vigilance state (waking: blue, NREM sleep: green, REM sleep: red). **B** Time course of cumulative NREM and (**C**) time course of frontal derivation SWE hourly over 24h for BSL, Day 1 RF and Day 3 RF, data are means ± SEM. Subsequent comparison of 24 h total accumulation of vigilance state was by RM one-way ANOVA, Dunnett's multiple comparison test, *=p<0.05 BSL VS Day 1 RF, ##=p<0.01 BSL vs Day 3 RF. **D** Number of consolidated wake episodes during the dark period (>10 mins) and (**E**) the length of these episodes for BSL, Day 1 RF and Day 3 RF. RM one-way ANOVA, Dunnett's multiple comparison test, **=p<0.01. All error bars represent ± SEM. BSL=baseline, ns=non-significant, RF=restricted feeding, RM=repeated measures, SWA=slow wave activity, SWE=slow wave energy, ZT=zeitgeber time.

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2.4.3 Restricted feeding versus timed sleep deprivation

To address whether the build-up of sleep pressure after FAA is qualitatively different to more active exploratory waking, we next performed sleep deprivation in ad libitum fed mice mimicking the same time of day animals spent in prolonged wakefulness during FAA and subsequent eating (Fig 5.5A). Importantly, the total amount of consolidated wakefulness was not significantly different during food anticipation (RF day) versus sleep deprivation (SDP) day (RF vs SDP; 182.7 vs 193.4 min, p=0.59) (Fig 5.5B), and the total daily amount of waking and the hourly distribution of wake was also not significantly different between the two days (Fig 5.5C). Despite this however, qualitative differences in this prolonged wake state were apparent; the theta peak in the occipital EEG spectra was reduced in amplitude and displaced to lower frequencies during the RF day compared to SDP day (Fig 5.5D). Higher theta activity is typically associated with active and/or exploratory wakefulness (Vyazovskiy et al., 2006; Fisher et al., 2016), which is related to a faster increase in sleep pressure (Huber et al., 2007; Vassalli & Franken, 2017). Consistent with this, in the frontal derivation we observed a higher rebound of sleep SWA during the 1st hour of sleep following enforced SDP as compared to spontaneous sleep measured after a similar duration of waking associated with FAA (Fig 5.5E). Similarly, the rate of SWE increase in the 5-6h following sleep perturbation (i.e. daytime SDP or FAA/feeding) and the total amount of SWE over 24 h were higher during SDP day when compared to RF condition (Fig 5.5F). These results indicate an adaptive response during entrainment to RF resulting in a lower build-up of sleep pressure despite being continuously awake for the same amount of time.

Thus, our study highlights the extensive reorganisation of the 24 h distribution of wakefulness and sleep, as well as sleep and wake quality caused by timed restricted feeding. Furthermore, our data indicate that wake associated with FAA differs from active waking in animals fed *ad libitum* and may be associated with a slower build-up of sleep pressure. Nevertheless, our findings suggest that despite the substantial changes in wake and sleep distribution induced by RF, overall sleep homeostasis is maintained.

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Figure 2.5 Sleep deprivation challenge.

A Representative hypnograms for the first 8 hours of the RF day (top panel) and SDP day (bottom panel). SWA is plotted in 4 s epochs and is color-coded according to the vigilance state (waking: blue, NREM sleep: green, REM sleep: red). **B** Amount of consolidated wake from 2h before meal time or during SDP until the first prolonged sleep episode (paired t-test; ns). **C** Time course of hourly wake for RF day and SDP day. Two-way RM ANOVA (factors experiment day and hour), ns. **D** Average EEG spectra for the prolonged wake episodes during SDP or RF in the occipital derivation. Two-way RM ANOVA (factors day and frequency), Sidak's multiple comparisons; solid black line = p<0.05. **E** EEG power spectra for NREM in the first hour post RF or SDP prolonged wakefulness as percentage of BSL in the frontal derivation. Two-way ANOVA (factors day and frequency), Sidak's multiple comparisons; solid black line=p<0.05 for RF vs SDP comparison. **F** Time course of cumulative SWE during the RF day and SDP for the frontal derivation. Data are mean ± SEM, Subsequent comparison of 24h total accumulation of vigilance state was by paired t-test, **p<0.01. Light grey shading indicates the sleep deprivation period on the SDP day. Yellow shading indicates food availability on the RF day. Open and closed bars indicate lights on and lights off respectively. BSL=baseline, RF=restricted feeding, RM=repeated measures, ns=non-significant, SDP=sleep deprivation.

2.5 Discussion

Sleep timing is regulated in a circadian manner, and sleep deprivation impacts the expression of core clock genes in the cortex (Mongrain et al., 2011; Curie et al., 2013; Franken, 2013), suggesting an existence of a bi-directional interaction between sleep regulatory systems and canonical clock mechanisms. Restricting food availability to the light phase leads to anticipation of food through wakefulness and arousal, even in the absence of the SCN, yet the neurophysiological substrates of this phenomenon remain obscure (Pendergast & Yamazaki, 2018). One of the most profound effects of FAA is the behavioural reorganisation and consequent changes in the timing of sleep and wakefulness (Mieda et al., 2004; Szentirmai et al., 2010; Castro-Faúndez et al., 2016). This implies that the regulatory mechanisms governing wake-sleep control are strongly influenced by metabolic need and/or food entrainment specifically, and that such a reorganisation of sleep may be an integral part of the development of FAA. In this study, we demonstrated a profound effect of RF on the amount and distribution of EEG and EMG defined sleep/wake cycles in mice. Initially, during day 1 and day 3 of RF, sleep was decreased in the dark phase with the length of wake episodes also increased, as similarly reported by others (Mieda et al., 2004; Szentirmai et al., 2010; Castro-Faúndez et al., 2016). Furthermore, we found that despite these gross changes in sleep wake distribution, there was no overall change in the total amount of sleep and wake vigilance states over 24 h, which is supported by other studies using mice (Mieda et al., 2004; Szentirmai et al., 2010).

We found that RF not only affected the distribution of sleep and wake across 24 h, but also wake and sleep quality, as manifested in region-specific changes of the EEG spectra. Specifically, wake EEG spectra under RF showed signs of decreased arousal, associated with reduced rebound SWA during subsequent sleep. Nevertheless, a striking observation was that sleep homeostasis remained intact whereby the overall daily amount of wake and sleep, as well as the total daily amount of SWA were maintained. Homeostatic regulation of sleep and wake during RF was not an immediate phenomenon as this took time to develop, appearing in parallel with FAA, suggesting that entrainment to food may require robust homeostatic control of sleep or vice versa.

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Previous studies showed that anatomical or functional ablation of the SCN in rodents does not have a marked effect on the total amount of sleep and wake or the homeostatic response to sleep loss (Mistlberger et al., 1983; Tobler et al., 1983; Easton et al., 2004). All knock-out mouse models, in which specific core clock genes were deleted, still sleep, yet with a range of effects on sleep dynamics and sleep homeostasis (reviewed in Deboer, 2018). Additionally, the need for a functional molecular clock in the manifestation of FAA is proving difficult to unravel as some clock genes such as such as Cry1/Cry2 alter the appearance of FAA (Takasu et al., 2012) and others such as Rev-erba (Delezie et al., 2016) are required for true FAA development (reviewed in Pendergast & Yamazaki, 2018). As the neural substrates activated by RF are unclear, their relationship with sleep regulation remains to be determined; however, our results of preserved sleep homeostasis during FAA suggest the possibility of an interaction between the mechanisms responsible for the development of both these phenomena. This hypothesis remains to be tested directly, for example, by investigating whether increasing sleep pressure attenuates FAA. On the other hand, it is possible that the interaction between food entrainment and sleep homeostasis occurs at the level of specific sleep-wake promoting nuclei, which monitor and integrate the energy status of the organism and sleep need (Kosse & Burdakov, 2014; Kosse et al., 2015). It is clear that peripheral metabolic signals related to feeding/fasting state contribute to the expression of FAA (Chavan et al., 2016) and to the phase entrainment of peripheral clocks to daytime feeding regimens (Mukherji et al., 2015). Peripheral metabolic signals also have a potential role in modulating sleep homeostasis (Chikahisa et al., 2008, 2014), and may therefore represent a coordinating signal for the reorganisation of sleep cycles and sleep homeostasis during RF.

The homeostatic regulation of sleep is reflected in a progressive increase in sleep pressure during waking which decreases within the sleep state (Borbély, 1982). The best characterised physiological indicator of sleep-wake history is the level of cortical SWA, which is high in early sleep and after sleep deprivation but decreases progressively to reach low levels in late sleep (Franken *et al.*, 2001). We also demonstrated that SWA was reduced immediately following prolonged wakefulness from FAA in comparison to matched sleep deprivation in *ad libitum* fed animals. This may be due to differences in the waking behaviours during the food restriction and sleep deprivation conditions, in which sleep

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deprivation may involve more exploratory behaviours. This is supported by previous evidence which showed the specific context of waking behaviours to influence subsequent sleep characteristics (Fisher *et al.*, 2016; Vassalli & Franken, 2017). Additionally, RF and SDP has been shown to alter clock genes in the cortex (Wakamatsu *et al.*, 2001; Franken *et al.*, 2007; Wisor *et al.*, 2008). This indicates a role for the circadian clock in integrating feeding with cortical arousal allowing animals to interact with their environment. We surmise that reduced SWA after night time wake episodes during RF (relative to BSL) is related to an elevated activity of arousal-promoting regions, such as the orexigenic system, which is activated by food restriction (Mieda *et al.*, 2004). SCN activity can be attenuated during RF (Dattolo *et al.*, 2016), therefore it is likely that this weakened circadian drive allows the emergence of altered sleep/wake patterning. FAA is a highly conserved evolutionary mechanism, enabling survival through behavioural flexibility in arousal, thus facilitating adaption to changes in food availability. Therefore, we propose that this apparent decrease in sleep drive during food entrainment is part of an evolutionary survival mechanism, enabling animals to more readily wake and anticipate food availability.

We observed that not only NREM sleep SWA, but also faster frequencies, notably including the spindle-frequency range, were reduced during RF. This finding is interesting, as existing evidence in both humans and rodents suggests that sleep spindles undergo both circadian and homeostatic regulation (Dijk et al., 1993; Vyazovskiy et al., 2004). This observation therefore indicates that RF is associated with a weakened circadian drive, which results in an attenuation of spindle activity. A reduction of spindle activity may also be expected if the preceding wake state is qualitatively different in the RF condition. Consistent with this possibility, we observed a left-ward shift of the theta peak and a drop of spectral power in higher theta-frequencies during wake over 24 h. Theta-activity is viewed as a hallmark of a highly active awake state in rodents (O'Keefe & Recce, 1993; Buzsáki, 2002), and may also reflect preceding sleep-wake history, both in animals and humans (Finelli et al., 2000; Vyazovskiy & Tobler, 2005; Vassalli & Franken, 2017). The possibility remains that the slowing of theta-peak during RF not only reflects a reduced amount of nocturnal exploratory waking, but also mild hypothermia (Deboer, 2002), which can be expected in conditions of food restriction (Szentirmai et al., 2010). However, earlier studies showing a lack of relationship between cortical temperature and SWA after SDP (Franken et al., 1991)

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and our findings that the effects of RF on the EEG was not uniform across the brain but differed between cortical regions speak against this possibility.

In summary, here we show that food restriction had a profound impact on the daily sleepwake architecture, as well as wake and sleep quality. However, despite the substantial changes in the daily distribution and quality of wake and sleep induced by RF, sleep homeostasis during stable food entrainment was generally maintained. While sleep amount and regulation are believed to have a strong genetic component, the role of ecological factors is becoming increasingly recognised. We propose that the reorganisation of sleep timing and acute sleep characteristics around mistimed food availability highlights a wider system of homeostatic control, responsible for the regulation and coordination between vital biological needs, including feeding and sleep, and the interaction of the organism with the environment.

2.6 Supplemental Material



Supplemental Figure 2.1 Simultaneous recording of body temperature and brain activity during torpor in an individual C57BL/6J mouse.

A A photograph of the thermal imaging camera. **B** Representative thermal image of a mouse acquired with the camera. **C** Representative traces of the EEG recorded from the frontal cortex, and EMG during NREM sleep (top) and torpor (bottom). Note a reduced EEG amplitude and low heart rate during torpor. **D** Peripheral body temperature trace acquired by the thermal imaging camera and EEG slow-wave activity (0.5-4Hz, SWA) during the 12 h dark period with an episode of fasting-induced torpor in an individual mouse. SWA is plotted in 4s epochs and is color-coded according to the vigilance state (waking: blue, NREM sleep: green, REM sleep: red). The curve at the top is corresponding to body temperature. Note the decrease in body temperature during the episode of torpor. EMG=electromyogram, SWA=slow wave activity.



Supplemental Figure 2.2 Slopes of cumulative curves for restricted feeding versus baseline.

Slope angle of cumulative (A) wake, (B) NREM, (C) REM and (D) frontal derivation SWE for BSL vs RF, where day is ZT0-ZT12 and night is ZT13-ZT24. Error bars represent \pm SEM. RM one-way ANOVA, Tukey's multiple comparisons test, *=p<0.05, ****=p<0.0001. BSL=baseline, RF=restricted feeding, SWE=slow wave energy.



Supplemental Figure 2.3 Slopes of cumulative curves for the initial days of restricted feeding.

Slope angle of cumulative (**A**) NREM and (**B**) frontal derivation SWE for BSL vs Day 1 RF and Day 3 RF, where day is ZT0-ZT12 and night is ZT13-ZT24. Error bars represent ± SEM. RM one-way ANOVA, Tukey's multiple comparisons test, *=p<0.05, ***=p<0.001, ****=p<0.0001. Error bars represent ± SEM. BSL=baseline, RF=restricted feeding, SWE=slow wave energy.

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Chapter 3: Time for a Drink? Novel Oscillator Properties in the Thirst Centres of the Brain

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3.1 Abstract

Drinking behaviour and osmotic regulatory mechanisms exhibit clear 24 h variation which is necessary for maintaining homeostatic osmolality. In mammals, the master clock in the brain's suprachiasmatic nuclei (SCN) has long been held as the main driver circadian rhythms in physiology and behaviour. However, rhythmic clock gene expression in other brain sites raises the possibility of local circadian control of neural activity and function. The subfornical organ (SFO) and the organum vasculosum laminae terminalis (OVLT) are two sensory circumventricular organs (sCVOs) that play key roles in the central control of thirst and water homeostasis, but the extent to which they are subject to intrinsic circadian control remains undefined. Using a combination of ex vivo bioluminescence and in vivo gene expression, we report for the first time that the SFO contains an unexpectedly robust autonomous clock of core and non-core clock gene expression, with unusual spatiotemporal characteristics of clock gene bioluminescence. Further, putative single cell oscillators in the SFO and OVLT are strongly rhythmic and require action potential dependent communication to maintain synchrony. Our results reveal that these thirstcontrolling sCVOs possess intrinsic circadian clocks and raise the possibility local timekeeping in daily regulation of drinking behaviour.

3.1.1 Statement of significance

In mammals, drinking behaviour demonstrates clear 24 h variation. There are specific areas in the brain that are important in coordinating drinking behaviour in response to peripheral fluid balance signals. We demonstrate for the first time a key fluid balance brain area to have endogenous oscillatory properties. Using bioimaging and molecular techniques we characterise such oscillatory properties and provide hints at how rhythms are generated in these thirst regulating brain areas, demonstrating that they are similar to those of the master oscillator. Our findings reveal important implications into a new avenue of regulatory properties in these key homeostatic brain areas.

3.2 Introduction

Robust daily rhythms of physiology and behaviour are crucial for optimal health and wellbeing (Masri & Sassone-Corsi, 2018; Shan *et al.*, 2018; Wefers *et al.*, 2018). In mammals, these 24 h rhythms are driven by a master clock in the suprachiasmatic nuclei (SCN), a highly cell dense region in the hypothalamus that receives direct retinal input thereby enabling SCN entrainment to the external light-dark cycle (Reppert & Weaver, 2002; Lucas *et al.*, 2012). Individual SCN neurons rhythmically express core clock genes and display daily rhythms in neuronal activity (Belle *et al.*, 2009). These single cell oscillators thus require intercellular signalling to ensure robust rhythmic output of neuronal and neuropeptide signals to convey circadian information to the rest of the brain (Hastings *et al.*, 2018).

Brain areas outside of the SCN, such as the olfactory bulb, mediobasal hypothalamus and the lateral habenula, also express rhythms in circadian clock genes, and these molecular oscillations are thought to help regulate the specific functions of those areas (Guilding & Piggins, 2007; Guilding *et al.*, 2009, 2010; Miller *et al.*, 2014; Sakhi *et al.*, 2014; Pavlovski *et al.*, 2018). The generation of clock gene reporter constructs, in particular the PERIOD2::LUCIFERASE (PER2::LUC) mouse, has enabled the real-time visualisation of clock gene oscillations *ex vivo* to study tissue-level spatiotemporal dynamics, as well as the behaviour of single cell oscillators and their interactions (Yoo *et al.*, 2004; Yan *et al.*, 2007). Importantly, visualisation of bioluminescence signals allows the investigation of potential timekeeping in smaller brain areas than would otherwise be undetectable using non-image based luminometry.

Similar to many homeostatic processes, drinking behaviour demonstrates clear circadian variation (Spiteri, 1982). Two key brain areas known for their roles in the central control of thirst homeostasis are the subfornical organ (SFO) and the organum vasculosum laminar terminalis (OVLT) (Miselis *et al.*, 1979; Ramsay *et al.*, 1983; Zimmerman *et al.*, 2017). These are sensory circumventricular organs (sCVOs); midline structures along the third ventricle characterised by their lack of a blood-brain barrier and extensive vascularisation (McKinley *et al.*, 2003). The SFO and OVLT respond to circulating fluid balance signals and plasma hypertonicity to drive thirst-related neural pathways resulting in altered drinking behaviour

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(Gizowski & Bourque, 2017; Zimmerman *et al.*, 2016). The SCN has direct neural connections with both the SFO and OVLT (Abrahamson & Moore, 2001a), and vasopressin neurons of the SCN drive a period of anticipatory thirst through excitation of OVLT neurons (Gizowski *et al.*, 2016). Despite these clear observations of circadian variation in drinking behaviour, there are currently no reports of endogenous circadian activity in the SFO, while circadian oscillations in the OVLT oscillations are not extensively defined (Abe *et al.*, 2002; Abraham *et al.*, 2005; Myung *et al.*, 2018)

Here, we used a combination of *ex vivo* PER2::LUC bioluminescence imaging and *in vivo* gene expression to comprehensively assess circadian rhythmicity of the SFO and OVLT. We provide the first description of a highly robust circadian clock in the SFO, evaluating its single cell oscillator properties in comparison to those of the OVLT and the SCN. Using pharmacological and mechanical manipulations we reveal unique characteristics to the maintenance of these rhythms. In addition, a comprehensive screening of circadian related gene expression in the SFO revealed robust *in vivo* molecular rhythms. Conclusively, our results show the SFO and OVLT to possess intrinsic timekeeping capabilities at whole tissue and individual cellular level.

3.3 Materials and Methods

3.3.1 Animals

Mice were housed under 12:12 h light-dark conditions unless stated otherwise, with food and water available *ad libitum*. Mice used for bioluminescence experiments were bred in house by the University of Manchester Biological Services Facility, except for qPCR studies for which C57BL6J mice were used provided by Charles River, Kent, UK. All experiments and procedures were carried out in keeping with the UK Animal (Scientific Procedures) Act 1986 and with approval from the Research Ethics committee of the University of Manchester.

3.3.2 Bioluminescence imaging

3.3.2.1 Culture preparation

For all bioluminescence imaging experiments, adult male PER2::LUC mice (Yoo *et al.*, 2004) aged 10-24 weeks were used. Animals were culled between ZT2-4 (where ZT0 is lights on) and brains were carefully extracted and immediately submerged in ice cold Hanks Balanced Salt Solution (HBSS; Sigma, Poole, UK) supplemented with 0.01 M HEPES (Sigma) and 1 mg/ml penicillin-streptomycin (Gibco Invitrogen Ltd, Paisley, UK). For coronal slices, brains were mounted onto the stage of the vibroslicer (Camden Instruments, Leicester, UK) in icecold HBSS before being cut into 250 μ m thick slices. Coronal slices containing maximal bioluminescence for the OVLT were located from 0.62 to 0.38 mm from bregma, and -0.46 to -0.82 mm from bregma for the SFO. Sagittal slices of the SFO were taken from -0.10 mm to 0.15 mm from lateral (sagittal suture). Anatomical references are in accordance with Paxinos and Franklin (2001). The desired brain areas were then dissected into explants which were then placed on sterile culture inserts (Milipore Ltd, Watford, UK) in 35 mm culture dishes (Fluorodish, World Precision Instruments Ltd, Stevenage, UK) containing 1.4 ml of sterile recording media composed of Dulbecco's Modified Eagle's Medium (DMEM; D-2902, Sigma) supplemented with 3.5 g/L D-glucose (Sigma), 1 mg/ml penicillinstreptomycin (Gibco), B27 (Invitrogen), 0.035% sodium bicarbonate (Sigma), 10 mM HEPES buffer (Sigma) and 0.1 mM luciferin. Finally, the prepared culture dish is sealed with vacuum grease (Dow Corning Ltd, Coventry, UK) and a glass coverslip.

3.3.2.2 Forskolin and tetrodotoxin treatments

Forskolin (10 μ M; Sigma) treatments were performed as fresh media changes on day 5 or day 7 of the recordings at CT6. Tetrodoxin (TTx; Tocris, Bristol, UK) experiments were performed with 0.5 μ M TTx in the media for the duration of the recordings.

3.3.2.3 Data acquisition and analysis

Cultures prepared as above were immediately transferred to the heated stage (37 °C) of the bioluminescent imaging system Luminoview LV200 (Olympus, Japan) fitted with a cooled Hamamatsu ImageEM C9100-13 EM-CCD camera and a 20 x 0.4 NA Plan Apo objective (Olympus). Darkness was maintained throughout the recordings, gain was kept consistent within the structures and exposure time was 60 minutes for all recordings. Images were analysed in ImageJ, using a region of interest selection tool to outline putative single cells or whole brain areas for measuring relative bioluminescence over time. Raw data were subject to a 3 h running average smooth and the first 12 h of all recordings were excluded prior to analysis. Peaks and troughs of individual bioluminescence traces were determined manually. At least three peak to peak and two peak to trough measurements were used to calculate period and amplitude respectively. Damping rate was determined as relative to the amplitude of the peak on day 2 for baseline or day 0 of forskolin treatment. Rayleigh plots of peak phase and their corresponding *r* values were created using El Temps (University of Barcelona, Spain).

3.3.2.4 Statistics

All statistical analyses were performed using Prism 7 (GraphPad Software, USA). To compare single cell oscillation periods and the standard deviation of these periods, t-test, paired t-test or one-way ANOVA followed by Tukey's multiple comparisons were used where appropriate. To assess differences in Rayleigh values and relative amplitude, two-way repeated measures (RM) ANOVA followed by Sidak's multiple comparison test was used. To assess desynchronisation or relative damping rates, linear regression model was used. Data are presented as mean ± SEM.

3.3.3 Quantitative Real-Time PCR

3.3.3.1 Tissue preparation and RNA extraction

10 week old male C57BL6J mice (n=19) were culled at four time points across the circadian cycle: CT0, CT6, CT12, CT18 (n=4-5) after being placed in constant darkness for 36-48 h. Brains were removed and flash frozen in dry ice. 20 µm sections containing the SFO were cut onto PEN-membrane slides (Leica Biosystems, Germany) using a cryostat (Leica CM3050 S). For laser-capture microdissection (LCM), sections were stained with 1% cresyl violet (Sigma) in 70% ethanol before regions of interest were extracted on a laser-capture microscope system (Leica DM6000 B) and stored in lysis buffer (Promega). Immediately following, the dissected tissue underwent RNA extraction using the ReliaPrep RNA Tissue Miniprep System (Promega, USA), performed according to the manufacturer's instructions. Reverse-transcription was performed using the High-Capacity RNA-to-cDNA Kit (Applied Biosystems, USA).

3.3.3.2 RT² Profiler PCR Array

cDNA from above was amplified using the RT2 PreAMP Pathway Primer Mix and the RT2 PreAMP PCR Mastermix (category number: PBM-153Z, Qiagen, UK) in accordance with the manufacturers protocol. The resulting product was then loaded onto a RT2 Profiler PCR Array (category number: PAMM-153Z), a PCR plate preloaded with qPCR primers of genes related to circadian rhythms (**Supplemental Table 1.**). Thermal cycling and data collection were performed using an Applied Biosystems 7900HT Fast Real-Time PCR thermocycler.

3.3.3.3 Data and statistical analysis

Data analysis was performed in accordance with the manufacturer's guidelines using the $\Delta\Delta$ CT method, whereby GAPDH was used as the housekeeping gene with CTO values used as the relative target gene expression. Due to non-Gaussian distribution of values in most groups, the Kruskal-Wallis test was used to assess temporal variation in gene expression. All data are shown as means for each time point ± SEM.

3.4 Results

3.4.1 The SFO and OVLT exhibit robust oscillations in PER2::LUC

The SFO and OVLT are two small, midline brain sCVOs implicated in drinking homeostasis and behaviour. Previous studies have reported weak bioluminescence rhythms in the OVLT (Abe et al., 2002; Myung et al., 2018) while currently there is no evidence of circadian rhythmicity in the SFO. Using sensitive imaging systems, we were able to visualise for the first time PER2::LUC bioluminescence in coronal brain slices containing the SFO (Fig 3.1Aa) or the OVLT (Fig 3.1Ab). Moreover, it was possible to track bioluminescence in oscillating putative single cells, thereby enabling the study of their integral rhythmic properties within the culture explant. Examination of single cell traces from 6 day recordings in the SFO (Fig **3.1Ba**) and OVLT (Fig 3.1Bb) revealed that within each structure, individual cells exhibited robust oscillations which maintained relatively high amplitude and synchrony for at least 4-5 days. These single cells oscillators visibly desynchronised as shown through Rayleigh vector (r) analyses at a similar rate in both structures (SFO; r slope = -0.15 ± 0.006 , OVLT; r slope = -0.12 ± 0.018 , p=0.32) (Fig 3.1C). Surprisingly, whole area bioluminescence in the SFO was significantly less damped in relative amplitude by day 4 compared to the OVLT (p<0.01) (Fig 3.1D), indicating that SFO oscillators are better able to maintain their amplitude over time. The mean periods of the single cell oscillators were similar in both structures (SFO 23.31 ± 0.25 h, OVLT 23.58 ± 0.30 h; p=0.51) (Fig 3.1E) as was the variability of these cellular periods (Fig 3.1F).





False-coloured representative image of bioluminescence expression in the (**Aa**) SFO and (**Ab**) OVLT from coronal brain slice explants, where warmer colours indicate higher expression. White bars depict 100 μ m. Note the increased density of putative single cells in the SFO vs the OVLT. Example traces of putative single cell bioluminescence from the (**Ba**) SFO and (**Bb**) OVLT, and their corresponding Rayleigh plots showing peak phase clustering of these individual cellular oscillations on day 2 and day 4 of the recording. Circles represent peak bioluminescent phases for the individual oscillating cells in **B** (blue=SFO; red=OVLT), the arrow indicates the phase vector and the arrow length represents significance with respect to the inner dashed circle detailing the (p=0.05) significance threshold. Variance is represented by the black box adjacent to the arrow head. **C** Phase clustering of individual cells for peaks 2-4 (calculated using the Rayleigh *r* value) decreases over time and does not vary between the structures. Data are means ± SEM. **D** Damping (relative to the amplitude of the peak in day 2) is lower in the OVLT than the SFO by day 4. Two-way RM ANOVA, Sidak's multiple comparisons test **p<0.001. **E** The period of single cell oscillations and the (**F**) standard deviation (SD) of these individual cellular periods does not vary between the structures. t-test, ns. Black horizontal lines represent the mean value. ns=not significant, RM=repeated measures.

In addition, we performed baseline bioluminescence imaging recordings of coronal SCN explants to compare single cell oscillatory properties in the brain's master clock with those of the SFO and OVLT. We observed that the OVLT and SFO became more desynchronised over time in comparison to the SCN (SCN vs OVLT, day 3 p<0.001 day and 4 p<0.0001; SCN vs SFO, day 4 p<0.001) (**SFig 3.1A**). Interestingly, the SFO damped at similar rate to the SCN, while the OVLT which was significantly more damped than the SCN by day 3 and 4 (SCN vs OVLT, day 3 p<0.05 and day 4 p<0.001) (**SFig 3.1B**). Initially, all three structures peak at similar times (CT; SCN 8.21 \pm 0.46, SFO 6.88 \pm 0.79, OVLT 8.28 \pm 0.50 h, p=0.84) (**SFig 3.1C**) and the periods of individual oscillatory cells in the SFO and OVLT did not differ from those of the SCN (SCN 23.31 \pm 0.25h, SFO 23.58 \pm 0.30h, OVLT 23.64 \pm 0.14h, p=0.62) (**SFig 3.1D**). However, the periods of these individual cells in SFO and OVLT were much more variable than those of the SCN (SCN vs SFO p<0.0001, SCN vs OVLT p<0.01) (**SFig 3.1E**).

3.4.2 In vivo rhythmic gene transcript expression in the SFO

The above investigations indicate that, ex vivo, explants of SFO and OVLT exhibit circadian oscillations in clock gene expression. To investigate whether circadian clock gene expression in an exemplar sCVO varied over 24h in vivo, we placed mice in constant dark and sampled the SFO every 6 h across the circadian cycle, starting at CTO (STable 3.1). Subsequently we used a pre-loaded qPCR plate with genes related to circadian rhythms and determined if and how these genes changed in their expression in the SFO over the circadian cycle. We discovered that 8 out of 16 circadian clock gene transcripts involved in the transcriptional-translational feedback loop (TTFL; Takahashi, 2017) showed significant variation over time. These were Arntl (Bmal1; p<0.01), Dbp (DBP, D-box binding protein; p<0.001), Per1 (PER1; p<0.05), Per2 (PER2; p<0.001), Nfil3 (NFIL3, nuclear factor interleukin-3 regulated; p<0.01), Nr1d1 (Rev-erbα; p<0.001), Nr1d2 (Rev-erbβ; p<0.05), and Npas2 (NPAS2; p<0.05) (Fig 3.2). Dbp, Nr1d1, Nr1d2, Per1 and Per2 were in antiphase with Arntl, Nfil3 and Npas2. The other transcripts from the circadian screen that demonstrated significant temporal variation included three circadian regulated transcription factors Egr1 (EGR1, early growth response 1; p<0.01), Egr3 (EGR3; p<0.01) and *Epo* (EPO, erythropoietin; p<0.05), and three commonly circadian regulated genes *Htr7* (5-HT7, 5-hydroxytryptamine receptor 7; p<0.05), Prf1 (PRF1, perforin 1; p<0.01) and

Slc9a3 (NH3E, p<0.05) (**Fig 3.2**). These data provide further evidence of an autonomous clock in the SFO *in vivo*, as well as hints at processes that this clock could be regulating.



Figure 3.2 *In vivo* gene expression of genes showing temporal variation in the SFO.

Temporal variation of genes related to the generation and maintenance of circadian rhythms sampled every 6 h across the circadian cycle, relative to CTO. Kruskal-Wallis test, *p<0.05, **p<0.01, ***p<0.001. TTFL=transcriptional translational feedback loop, TFs=transcription factors.

3.4.3 Forskolin treatment re-synchronises individual cellular oscillations and generates long lasting whole tissue rhythms in the SFO and OVLT

The adenylate cyclase activator forskolin resets and resynchronizes cellular and whole tissue rhythms of bioluminescence in the SCN and many extra-SCN areas (Guilding et al., 2010). To assess if sCVO rhythms are similarly reset, forskolin (10 μ M) was applied to SFO and OVLT explants whose PER2::LUC rhythms had visibly damped following 7 days in culture. As expected, treatment with forskolin induced high amplitude oscillations which subsequently decreased in relative amplitude but remained clearly rhythmic for over 14 days post-treatment (n=5 SFO, n=4 OVLT) (Fig 3.3A). Similarly, individual cells whose rhythms had become damped and desynchronised were strongly resynchronised by forskolin, remaining rhythmic for up to 6 days post forskolin treatment, after which peaks and troughs from individual cells became difficult to reliably distinguish (Fig 3.3B). Synchrony was high amongst these single cell oscillators immediately after forskolin treatment which subsequently decreased over time (Fig 3.3C). No significant difference was observed in the rate of desynchrony between the SFO and OVLT (SFO; r slope = -0.06 \pm 0.014, OVLT; r slope = -0.10 \pm 0.002, p=0.067) with the SFO trending to decrease at a slower rate. Relative bioluminescence damping did not vary over 9 days following forskolin treatment (Fig 3.3D). In the SFO and OVLT, the average periods of single cell oscillations were similar pre- versus post- forskolin (SFO; 23.38 ± 0.80 h vs 23.28 ± 0.39 h, OVLT; 23.66 \pm 0.74 h vs 23.25 \pm 0.67 h) (Fig 3.3E). The variability of the single cell oscillator periods was trending to decrease for the SFO following forskolin treatment (SD; pre- 2.22 ± 0.78 vs postforskolin 1.16 \pm 1.16, p=0.06) (Fig 3.3Fa), but not in the OVLT (SD; pre- 1.72 \pm 0.27 vs postforskolin 1.48 ± 0.27, p=0.78) (Fig 3.3Fb).





Whole area bioluminescence rhythms before and after forskolin treatment for the (Aa) SFO and (Ab) OVLT, demonstrating long lasting synchrony and clear oscillations up to 14 days post-forskolin treatment. Individual cellular rhythms revived and synchronised post-forskolin treatment in the (Ba) SFO and (Bb) OVLT. C Phase clustering of individual cells post-forskolin treatment, the synchrony did not vary between the structures. D Damping relative to the first peak after forskolin treatment (day 0) showed no change between the structures. E The mean period of single cell oscillations did not change pre- and post-forskolin treatment, nor did the (F) variability of these individual oscillator periods. Paired T-test, ns. Duration of forskolin treatment is denoted by the filled grey bar. FSK=forskolin.

3.4.4 Tetrodotoxin treatment abolishes single cell oscillator synchrony

Tetrodotoxin (TTx) is a voltage-gated sodium channel inhibitor and thus prevents action potential dependent communication between neurons. Treatment with TTx in SCN cultures reduces the amplitude of PER2 oscillation and synchrony among single SCN neurons (Yamaguchi et al., 2003). To assess whether circadian PER2::LUC oscillations in the SFO and OVLT also require sodium channel-dependent action potential mechanisms, we cultured coronal explants of the SFO and OVLT in the presence of 0.5 µM TTx. In comparison to baseline conditions, TTx visibly damped whole area bioluminescence rhythms in both the SFO and OVLT (Fig 3.4A). In TTx, individual cells of both structures sustained rhythmicity (Fig 3.4B and SFig 3.2) but lacked synchrony. TTx treatment caused a significant decrease in amplitude compared to control recordings in both the SFO (p<0.05) and OVLT (p<0.01) in whole area bioluminescence rhythms (Fig 3.4C). SFO TTx single cell oscillations were significantly less synchronised on days 2 and 3 (day 2 and 3; p<0.05) compared to controls with was no change by day 4 (p=0.25) (Fig 3.4Da). Additionally, in the SFO there was no significant difference for the TTx treated versus control in the mean cellular periods (SFO; 23.31 ± 0.25 h vs 22.49 ± 0.30 h, p=0.074) (Fig 3.4Ea) or the variability of these periods (p=0.19) (Fig 3.4Fa). In comparison, the OVLT r values were significantly desynchronised by TTx treatment for days 2, 3 and 4 (all days; p<0.0001) versus control recordings (Fig 3.4Db). As with the SFO, TTx did not alter the mean periods of the single cell oscillators in the OVLT (OVLT; 23.58 ± 0.74 h vs 23.24 ± 0.43 h; p=0.53) (Fig 3.4Eb), however there was a significant increase in the variability of these cellular periods (p<0.001) (Fig 3.4Fb). This indicates that the individual cellular oscillations in the OVLT are more reliant on action potential dependent neuronal communication than SFO cells.



Figure 3.4 Tetrodotoxin treatment decreases single cell synchrony.

Representative mean traces of individually oscillating single cells during baseline and recordings in the presence of TTx for the (**Aa**) SFO and (**Ab**) OVLT and their corresponding individual cell traces (**Ba**; SFO, **Bb**; OVLT) during the TTx recordings. Note that individual cells are still oscillating, just not in synchrony with one another. Whole area bioluminescence amplitude is significantly decreased for baseline vs TTx treatment in the (**Ca**) SFO (T-test *p<0.05) and the (**Cb**) OVLT (Mann-Whitney test **p<0.01). TTx treatment decreases the synchrony of individually oscillating cells during days 2 and 3 of the recording in the (**Da**) SFO and (**Db**) days 2-4 in in the OVLT. Two-way RM ANOVA, Sidak's multiple comparisons test *p<0.05, ****p<0.0001. Data are means \pm SEM. The mean period of the single cell oscillations is not affected by TTx treatment in the (**Ea**) SFO and (**Eb**) OVLT, with the (**F**) variability of these cellular periods being greater in the (**Fb**) OVLT. Unpaired T-test, ***p<0.001. Duration of TTx treatment is denoted by the filled grey bar. Black horizontal lines represent the mean value. RM=repeated measures. TTx=tetrodotoxin.

3.4.5 SFO oscillations are not synchronised longitudinally

The SCN exhibits different clock gene distribution when imaged on different anatomical planes (Riddle *et al.*, 2017). To determine if the SFO also shows spatiotemporal differences in clock gene expression, we made sagittal brain sections and imaged bioluminescent signals of the SFO (**Fig 3.5A**) and the immediately posterior third ventricle choroid plexus (ChP; a robust and independently rhythmic structure as shown by Myung *et al.* (2018)). On the sagittal plane, bioluminescent cells could be visualised and tracked in the SFO (**Fig 3.5B** and **SFig 3.3**), however in comparison to recordings made on the coronal plane, these individual cellular oscillations were much less synchronised from the outset (day 2 and 3; p<0.0001, day 4; p<0.01) (**Fig 3.5C**). While the mean periods of the single cell oscillators did not vary between coronal and sagittal SFO explants (23.32 \pm 0.25 vs 22.3 \pm 0.75 h, p=0.13) (**Fig 3.5D**), their variability was increased on the sagittal plane (SD; 2.21 \pm 0.21 vs 3.85 \pm 0.67, p<0.05) (**Fig 3.5E**).

Additionally, imaging of the sagittal SFO revealed that it did not maintain a stable phase relationship with the strongly rhythmic ChP (**Fig 3.5F**). Initially, PER2::LUC expression in the sagittal SFO peaks 4-5 h before that of the ChP (CT; 11.25 ± 1.10 h vs 16.00 ± 1.30 h, p<0.05) (**Fig 3.5G**), and since the sagittal SFO has a significantly longer period than that of the ChP (24.3 \pm 1.16 h vs 22.67 \pm 0.72 h, p<0.05) (**Fig 3.5H**), their rhythms drift further out of phase. These data reveal spatiotemporal variation in timekeeping across the SFO and indicate that severing coronal anatomical connections within the SFO compromises synchrony between individual cell oscillations.





A False-coloured image of PER2::LUC bioluminescence in the SFO from a sagittal brain slice. Note the high amplitude bioluminescence in the posteriorly adjacent third ventricle choroid plexus (ChP). White bars depict 100 μ m. **B** Single cell bioluminescence traces from a sagittal SFO recording. **C** Single cell oscillations are highly desynchronised in recordings from sagittal SFO brain slice explants in comparison to coronal SFO recordings. Two-way ANOVA (factors 'structure' and 'day'), Sidak's multiple comparisons test **p<0.01, ****p<0.0001. Data are means ± SEM. **D** The periods of the single cell oscillations in the sagittal SFO are the same as the coronal SFO recordings but with increased (**E**) variability of these single cell periods. Unpaired T-test, p<0.05. Black horizontal lines represent the mean value. **F** Whole area relative bioluminescence of example traces from one slice containing the sagittal SFO and ChP (3rd ventricle choroid plexus). Note the black arrows depicting peak bioluminescence with the sagittal SFO peaking before the ChP. **G** The CT (circadian time) of peak bioluminescence in the sagittal SFO and the ChP, the sagittal SFO consistently peaks before ChP. Paired T-test, *p<0.05. **H** Periods of the whole structures for the sagittal SFO and the ChP, the ChP has a shorter period. Paired T-test, *p<0.05.
3.5 Discussion

Our study provides evidence of robust circadian rhythmicity at both the whole area and single cell level in the SFO and OVLT, sCVOs implicated in central water homeostasis. Notably, we reveal for the first time the SFO to exhibit intrinsic timekeeping *ex vivo*, with core and non-core clock gene expression in this structure varying over the circadian cycle *in vivo*. Circadian rhythms in the SFO and OVLT are exceptionally sustained in culture, lasting up to 21 days when resynchronised with forskolin. Moreover, the rhythms in these sCVOs require action potential dependent communication to facilitate cellular synchrony. These properties of SFO single cell oscillators depend on anatomical connections as sectioning on the sagittal plane compromises their ability to maintain synchrony and lengthens their period.

Circadian rhythms in the OVLT have previously been demonstrated in clock gene bioluminescence (Abe et al., 2002; Abraham et al., 2005; Myung et al., 2018) and in vivo Per3 (Takumi et al., 1998). We elaborate further on these rhythms in our study and demonstrate for the first time individual cell bioluminescence oscillations in the OVLT, which become desynchronised and reduced in amplitude over time contributing to the damping of whole area rhythms. To date, no studies have demonstrated circadian rhythmicity in the SFO, despite evidence of its responsiveness to SCN output signals such as prokineticin 2 and vasopressin (Smith & Ferguson, 1997; Washburn et al., 1999; Cottrell et al., 2004). The characteristics of individual cellular oscillations in the SFO were similar to those of the OVLT, and also to other extra-SCN oscillators (Guilding et al., 2009, 2010). In comparison to the SCN, single cell oscillators in the SFO were less synchronised and displayed greater variability in their individual cellular periods, yet the time of peak phase and average period of these structures did not differ. Clock gene rhythms in extra-SCN brain areas are often less robust than those of the master pacemaker (Guilding & Piggins, 2007; Paul *et al.*, 2019), thus it is surprising that the whole SFO oscillations were similar in relative amplitude over the first 4 days to the SCN, and higher than that of the OVLT. It is not clear why rhythms in the SFO are robust, but since this structure is enriched in non-neuronal glia cells (Langlet et al., 2013; Pócsai & Kálmán, 2015) and because astrocytes are important for circadian timekeeping in the SCN (Brancaccio et al., 2017, 2019; Tso et al., 2017) this

network of non-neuronal cells may imbue the SFO with enhanced capability of selfsustained oscillations.

Indeed, *in vivo*, we also show marked temporal variation in clock gene transcripts and other circadian regulated genes in the SFO. The core clock genes *Per1*, *Per2*, *Nr1d1* (Rev-erbα) and *Nr1d2* (Rev-erbβ) displayed temporal variation peaking in antiphase with *Arntl* (Bmal1), as expected (Takahashi, 2017). Interestingly, Npas2, a homologue of Clock (Gekakis et al., 1998), displayed significant variation over time with the absence of such variation seen in *Clock,* suggesting that *Npas2* is more dominant in the molecular clock in the SFO, which is also the case in the forebrain (Reick et al., 2001). The accessory core clock gene Dbp and its repressor Nfil3 also displayed temporal variation in antiphase to each other, as seen in other tissues (Mitsui et al., 2001). Additionally, the transcription factors Eqr1 and Eqr3 displayed temporal variation. Egr1 regulates genes such as Tnf- α and is involved in regulating the hepatic TTFL (Yao et al., 1997; Tao et al., 2015) and both Egr1 and Egr3 expression are induced by light in the rodent SCN (Morris et al., 1998; Guido et al., 1999). This indicates that the molecular clock in the SFO contains functional accessory loops. In addition to mediating fluid balance, the SFO plays a role in immune function through the detection of circulating cytokines (Ferguson, 2014), and therefore temporal variation in the expression of the immune-regulatory genes Prf1 and Nfil3 in the SFO is potentially of functional importance. Further insight into the regulatory role of the SFO clock is hinted at through temporal variation seen in 5-HT7R (*Htr7*) and NHE (*Slc9a3*). The SFO is reciprocally connected with serotonergic neurons from the dorsal raphe nucleus (Swanson & Lind, 1986) and serotonin has been implicated in sodium appetite regulation (Rouah-Rosilio et al., 1994; Lima et al., 2004), for which the SFO is a key driver. NHE is a sodium hydrogen exchanger whose expression is rhythmic in the kidney (Nishinaga et al., 2009), therefore it is intriguing that both these structures involved in water homeostasis display temporal regulation of this gene.

PER2 bioluminescence peak oscillations in the SFO were in phase with the SCN, however *Per2 in vivo* peaked a few hours following the reported *Per2* peak in the SCN (Bunger *et al.*, 2000). Further, the SFO *in vivo* peak of *Per2* was delayed relative to the peak in PER2::LUC *ex vivo*, thus indicating that phasing of clock gene expression varies from the *in vivo* to *ex vivo* setting. Alternatively, this suggests a degree of resetting of the SFO clock by the culture

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procedure as well as errors in the estimated of peak clock gene expression arising from the limited temporal resolution from the *in vivo* sampling.

Forskolin reactivates and synchronises damped rhythms in the SFO and OVLT to produce further oscillations for an unprecedented 21 days in culture. Studies of other extra-SCN oscillators have yet to demonstrate such long-term rhythms either spontaneously or following forskolin treatment (Abe et al., 2002; Guilding et al., 2009, 2010; Landgraf et al., 2016) This highlights the strikingly robust oscillatory properties of the SFO and OVLT and raises the possibility that they can function as autonomous circadian oscillators. Further, since TTx damped their bioluminescent rhythms, this indicates that similarly to the SCN (Yamaguchi et al., 2003; Sujino et al., 2018), intercellular synchrony in the SFO and OVLT is dependent on action potential communication. This is in contrast to some extra-SCN oscillators, such as those in the mediobasal hypothalamus and lateral habenula, which still display individual cell and whole area rhythms in the presence of TTx (Guilding et al., 2009, 2010). The lack of damping displayed in those studies might be attributable to the fact that they desynchronise more rapidly during baseline, making their dependency on action potential communication harder to determine. Alternatively, their rhythms are possibly generated through action potential independent mechanisms. Therefore, the SFO and OVLT display strong rhythmicity and rhythm maintenance properties that are similar to the SCN.

The SCN displays differential levels of clock gene expression across its rostro-caudal axis suggesting spatiotemporal variation in timekeeping function and capability (Riddle *et al.*, 2017). Here we also find anatomical variation in timekeeping within the SFO. When sectioned on the sagittal plane, SFO cells are less able to maintain synchrony than when this structure is sectioned coronally. This indicates that anatomical connections within the coronal plane are necessary for intercellular communication and synchrony but are presumably not present on the sagittal plane. Further, the clear phase difference in the third ventricle ChP and sagittal SFO raises intriguing questions about their physiological relationship and the role of these autonomous clocks. One possibility for this change in phase relationship is the differing roles of these areas. The ChP produces cerebral spinal fluid, which is increased during the behaviourally quiescent phase and is an important contributor to the brain metabolite clearing process during sleep (Xie *et al.*, 2013; Myung

et al., 2018). In contrast, the SFO is involved in regulating thirst behaviour, a process which occurs during the active phase (Zimmerman *et al.,* 2017). Therefore in mice, the SFO clock peaking late in the day and the ChP clock peaking late in the night could be preparing these structures for their primary functions.

Vasopressin neurons of the SCN directly innervate the OVLT to orchestrate the circadian regulation of late night anticipatory thirst which functions to preventing dehydration during the subsequent rest phase (Gizowski *et al.*, 2016). An endogenous clock in the OVLT could allow the structure to anticipate rhythmic input from the SCN, enabling energy conservation during periods where certain mechanisms are in less demand. The SFO also receives input from SCN vasopressin neurons (Abrahamson & Moore, 2001) and has reciprocal connections with the OVLT that are necessary for the central control of thirst behaviour (Miselis *et al.*, 1979; Miselis, 1981; Gizowski & Bourque, 2017) as well as the SCN (Swanson & Lind, 1986). This raises possibility that the SFO participates in anticipatory thirst and that its efferent signals feedback to the SCN as part of the circadian regulation of water homeostasis. *In vivo Per1* and *Per2* rhythms in the SFO peak at lights-off, coincident with the onset of drinking activity in mice (**Fig 3.6**). This is potentially indicative of the SFO anticipating the coming increase of drinking associated signals or even driving this nocturnal drinking activity



Figure 3.6 *Per1* in the SFO peaks at with drinking activity onset.

Schematic of relative *in vivo* gene expression of *Per1* in the SFO, overlaid with a 5 day average 24 h drinking profile of a WT C57BL6J male mouse. Grey shading represents lights off.

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In conclusion, our study characterises robust rhythms in the SFO and OVLT with stronger circadian properties than previously reported extra-SCN oscillators, displaying characteristics similar to the master clock. For the first time we report the SFO to have intrinsic oscillatory properties in whole area and single cells, with unique spatiotemporal patterning of clock gene expression. Our results highlight strong local clocks in thirst regulating areas which may play a role in the circadian regulation of drinking behaviour.

3.6 Supplemental Material



Supplemental Figure 3.1 Single cell properties of the SFO and OVLT versus the SCN.

A Phase clustering of individual cells for peaks 2-4, the SCN is more synchronised on day 3 vs the SFO and on day 4 for the SFO and OVLT. Two-way RM ANOVA, Tukey's multiple comparisons test SCN vs SFO ***p<0.001 ***p<0.0001, SCN vs OVLT###p<0.001. Data are means ± SEM. **B** Damping (relative to the amplitude of the peak in day 2) is reduced in the OVLT vs the SCN on day 3 and day 4. Two-way RM ANOVA, Tukeys's multiple comparisons test **p<0.001. Data are means ± SEM. **B** Damping (relative to the amplitude of the peak in day 2) is reduced in the OVLT vs the SCN on day 3 and day 4. Two-way RM ANOVA, Tukeys's multiple comparisons test **p<0.001. Data are means ± SEM. **C** Peak PER2::LUC expression in the SCN, SFO and OVLT occurs at the similar times in the projected circadian day (CT=circadian time). **D** The period of single cell oscillations does not differ between the SCN, SFO and OVLT, (**E**) yet the standard deviation (SD) of these individual cellular periods is significantly reduced in the SCN versus the SFO and OVLT. One-way ANOVA, Tukey's multiple comparisons test SCN vs SFO ****p<0.0001. SCN vs OVLT ##p<0.01. Black horizontal lines represent the mean value. RM=repeated measures.



Supplemental Figure 3.2 Single cell oscillations during TTx treatment.

Example traces of single cell oscillators during TTx treatment for the (**A**) SFO and (**B**) OVLT. Note they are out of phase yet still rhythmic. Duration of TTx treatment is denoted by the filled grey bar.



Supplemental Figure 3.3 Single cell traces from sagittal SFO recordings. Examples of single cell traces during sagittal SFO PER2::LUC bioluminescence recordings.

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| | Gene | P value | Summary | | Gene | P value | Summary |
|-----------|----------|---------|---------|-----------|--------|----------------------------|---------|
| TTFL | Arntl | 0.0063 | ** | Circadian | Alas1 | 0.1043 | |
| | Arntl2 | 0.7434 | | regulated | Egr1 | 0.0058 | ** |
| | Bhlhe40 | 0.4104 | | TFs | Egr3 | 0.0046 | ** |
| | Bhlhe41 | 0.1435 | | | Еро | 0.0441 | * |
| | Clock | 0.6078 | | | Esrra | 0.1299 | |
| | Cry1 | 0.9065 | | | Hlf | 0.2025 | |
| | Cry2 | 0.5378 | _ | | lrf1 | 0.726 | |
| | Dbp | 0.0002 | *** | | Myod1 | 0.6387 | |
| | Nfil3 | 0.0096 | ** | | Nkx2-5 | <dl< th=""><th></th></dl<> | |
| | Npas2 | 0.0248 | * | | Pax4 | 0.9415 | |
| | Nr1d1 | 0.0002 | *** | | Pou2f | 0.2025 | |
| | Nr1d2 | 0.0354 | * | | Ppara | 0.8007 | |
| | Per1 | 0.0213 | * | | Smad4 | 0.1565 | |
| | Per2 | 0.0009 | *** | | Sp1 | 0.9519 | |
| | Rora | 0.3812 | | | Srebf1 | 0.2219 | |
| | Rorb | 0.5867 | | | Stat5a | 0.3918 | |
| Circadian | Cartp | 0.8309 | | | Tef | 0.5867 | |
| regulated | Ccrn4l | 0.702 | | | Tfap2a | 0.0644 | |
| genes | Fbxl21 | 0.1514 | | | Tgfb1 | 0.8771 | |
| | Fbxl3 | 0.289 | | | Wee1 | 0.0623 | |
| | Hebp1 | 0.0631 | | | | | |
| | Htr7 | 0.0137 | * | | | | |
| | Ncoa3 | 0.0882 | | | | | |
| | Nms | 0.9415 | | | | | |
| | Nr2f6 | 0.8771 | | | | | |
| | Ppargc1a | 0.5553 | | | | | |
| | Prf1 | 0.0039 | ** | | | | |
| | Ptgds | 0.7728 | | | | | |
| | Slc9a3 | 0.0401 | * | | | | |

Supplemental Table 3.1

Kruskal-Wallis test; light orange=p<0.07, light green/*=p<0.05, green/**=p<0.01, dark green/***=p<0.001. <dl=below detection limits. TTFL=transcriptional translational feedback loop, TFs=transcription factors.

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Chapter 4: Keeping Time in the Brainstem

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4.1 Abstract

The area postrema (AP) and nucleus of the solitary tract (NTS) are brainstem nuclei regulating cardiovascular and metabolic functions; physiological processes which exhibit clear circadian variation. By convention, the brain's suprachiasmatic nuclei (SCN) are viewed as the major driver of these circadian rhythms, but circadian clock gene expression occurs in other brain sites, raising the possibility that circadian control can be devolved to local clocks. In this study, we use a range of molecular, electrophysiological, and histochemical approaches to investigate the intrinsic circadian timekeeping properties of these hindbrain centres. Here, we show that the AP/NTS express autonomous 24 h rhythms in ex vivo clock protein bioluminescence with clear spatiotemporal variation of PER2 expression. Additionally, robust 24 h variation in clock gene expression in vivo and neuronal firing ex vivo were observed. These structures exhibited enhanced nocturnal neuronal responsiveness to metabolic cues coincident with 24 h cycling in gene expression of their receptors. Moreover, this study provides evidence for increased nocturnal penetration of blood borne molecules to the NTS, coinciding with the downregulation of tight junction protein gene expression in the AP/NTS glial border. Altogether, our findings reveal these hindbrain structures to exhibit autonomous circadian oscillations potentially contributing to daily regulation of ingestive and metabolic processes.

4.1.2 Significance statement

Mammals display natural daily variation in physiological processes including food intake and subsequent production of metabolic signals. Both hypothalamic and hindbrain areas are key regulators for processing these cues. Using a range of bioimaging, electrophysiological and molecular techniques, here we show that two brainstem structures implicated in homeostatic regulation possess their own robust endogenous circadian clocks. These brainstem circadian oscillators contribute to day-night variation in neuronal excitability and influence neural response to feeding signals as well as the permeability of blood borne molecules into the brain through the temporal control of barrier protein gene expression. Our findings could have important implications for the role of the hindbrain clock in regulating metabolism and blood brain barrier permeability.

4.2 Introduction

Key parameters of energy balance and cardiovascular physiology vary rhythmically over 24 h (Asher & Sassone-Corsi, 2015; Baschieri & Cortelli, 2019; Reinke & Asher, 2019), but it is unclear how the body's intrinsic circadian timing system contributes to such daily variation. Conventionally, the suprachiasmatic nuclei (SCN) are schematized as the main circadian clock (Guilding & Piggins, 2007). Cells of the SCN contain an intracellular molecular feedback loop, of which the Period (Per1-2) and Cryptochrome (Cry1-2) genes are important components (Takahashi et al., 2018). The transcription and translation of these genes drives a 24 h cycle of protein accumulation and degradation leading to daily variation in SCN neuronal action potential discharge (Brown & Piggins, 2007; Colwell, 2011), thereby enabling synchronisation among clock cells. Through timed synaptic and/or paracrine release of neurochemicals such as arginine vasopressin (AVP) and prokineticin 2 (PK2), the SCN then orchestrates circadian variation in the brain and corresponding physiological outputs (Hastings et al., 2018). However, accumulating evidence challenges this uniclock model (Guilding & Piggins, 2007; Albrecht, 2012). Using SCN-less tissue explants from rodents bearing bioluminescence reporters of the molecular clock, such as PER2::LUC mice (Yoo et al., 2004), highly autonomous or semi-autonomous rhythms of clock gene expression were observed in extra-SCN brain sites and tissues (Abe *et al.*, 2002). This raises the possibility that daily control in physiology and behaviour is in part locally attributed to such structures.

To date, autonomous and semi-autonomous oscillators have been described predominantly in forebrain structures such as the olfactory bulb (Granados-Fuentes *et al.*, 2004), choroid plexus (Myung *et al.*, 2018) and mediobasal hypothalamic nuclei (Guilding *et al.*, 2009). In this study, we explore the endogenous clock properties of caudal hindbrain structures; the area postrema (AP) and nucleus of the solitary tract (NTS). These brainstem nuclei are implicated in the regulation of ingestive activities and autonomic control of the heart and vasculature, but it is unclear whether they are capable of intrinsic circadian timekeeping. The AP is a sensory circumventricular organ, lacking a blood brain barrier (BBB), while the NTS, located immediately subjacent to the AP, acts as a key relay structure for metabolic signals of peripheral and central origin (Fan *et al.*, 2004; Zhan *et al.*, 2013).

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The NTS contains the first central neurons involved in processing vagal and humoral metabolic signals after ingestion (Grill & Hayes, 2009). Furthermore, a specialised diffusion barrier exists between the AP and NTS, composed of vimentin- and glial fibrillary acidic protein (GFAP)-positive glial cells connected by tight junction proteins (primarily claudin-5 and zona occludens-1), which prevent the entry of blood borne substances into the brain parenchyma (Wang *et al.*, 2008; Maolood & Meister, 2009; Morita & Miyata, 2012; Langlet *et al.*, 2013). Together, the AP and NTS form a complex that acts a powerful gateway for processing and regulating homeostatic signals through the brainstem.

Here, we reveal the surprisingly robust properties and dynamics of the single cell and whole area molecular circadian rhythms in the AP and NTS, using PER2::LUC bioluminescence imaging. Short- and long-term recordings of spontaneous multi-unit activity (MUA) in the AP/NTS complex show clear circadian rhythms in electrical activity, peaking late in the day. Further, in the NTS we observe overt day-night variation in the MUA response to metabolic signals accompanied by 24 h variation in the gene expression of receptors for some of these metabolic cues. Intriguingly, we found a robust nocturnal increase in permeability of the glial barrier between the AP and NTS to intravascular administration of Evans Blue dye that was coincident with the nadir in gene expression of tight junction proteins. These findings reveal the AP/NTS to possess an endogenous clock, regulating responses to peripheral metabolic signals as well as circadian control of permeability to blood borne molecules in the brain.

4.3 Materials and Methods

4.3.1 Animals

All mice were kept under standard lighting conditions of 12:12 h light - dark (LD) cycles, unless stated otherwise, with *ad libitum* availability of food and water. C57BL6J mice were provided by Charles River, Kent UK, all other genotypes (details below) were bred in house by the University of Manchester Biological Services Facility. All procedures and experiments were carried out with approval by the Research Ethics committee of the University of Manchester and in keeping with the UK Animal (Scientific Procedures) Act 1986. Experimental procedures were designed to minimise the number of animals used. For any procedures carried out during the dark phase or constant darkness (DD), night vision goggles were used to prevent animal exposure to light.

4.3.2 Bioluminescence imaging

4.3.2.1 Tissue preparation and data acquisition

Adult male *mPer2Luc* knock-in (PER2::LUC) mice (Yoo *et al.*, 2004) between the ages of 10 -20 weeks old were used for all bioluminescent imaging experiments. 250 µm thick coronal brain slices -7.20 mm to -7.26 mm from bregma (Paxinos & Franklin, 2001) were cut in ice cold Hank's Balanced Salt Solution (HBSS; Sigma, Germany) supplemented with 1 mg/ml penicillin-streptomycin (Gibco Invitrogen Ltd, UK) and 0.01 M HEPES (Sigma) using a vibroslicer (Camden Instruments, UK). The AP/NTS complex was dissected using a scalpel and prepared for culture. The explant tissue was then transferred to 30 mm Millicell cell culture inserts (Merck, Germany) in glass-coverslip sealed Fluorodish culture dishes (World Precision Instruments Ltd., USA) with sterile culture medium (Dulbecco's Modified Eagle's Medium; DMEM, Sigma) supplemented with 0.1 mM luciferin (Promega, USA), B27 (Gibco Invitrogen Ltd, USA), 1 mg/ml penicillin-streptomycin (Gibco Invitrogen Ltd), 10 mM HEPES (Sigma) and 3.5 g/L D-glucose (Sigma). Images were taken using the Olympus Luminoview LV200 (Olympus, Japan) fitted with a cooled Hamamatsu ImageEM C900-13 EM-CCD camera and a 20 x 0.4 NA Plan Apo objective (Olympus, Japan) on a heated stage kept at 37 °C. Exposure time was 60 minutes and gain was kept constant throughout. 10 μ M forskolin (Sigma) treatments were performed as a fresh media change.

4.3.2.2 Image analysis

Images were primarily analysed in ImageJ, using a region of interest (ROI) tool to select putative single cells or whole brain areas (AP, NTS, and 4th ventricle ependymal cells) for assessing relative bioluminescence over time. The first 12 h of all recordings were excluded and raw data were subject to a 3 h running average smooth before further analysis. Peaks and troughs of individual bioluminescence traces of whole structures and putative single cells were determined manually. An average of three peak to peak measurements were used to measure period. Damping rate was determined as relative to the amplitude of the peak on day 2. The OriginPro 9.1 (OriginLab, USA) software was used to create a spatiotemporal amplitude heatmap for the whole AP/NTS complex. Rayleigh plots of peak phase and subsequent *r* values were created using El Temps (University of Barcelona, Spain).

4.3.2.3 Statistics

Statistical analyses were performed using Prism 7 (GraphPad Software, USA). To compare periods, standard deviation of periods (SD period) and time of peaks, paired t-test and repeated measures (RM) one-way ANOVA followed by Tukey's multiple comparison were used. To assess the differences in values measured in the 3-4 subsequent days (times of peaks, SD of single cell lags, Rayleigh *r*), RM two-way ANOVA followed by Sidak's multiple comparison test was used. The interaction in RM two-way ANOVA was used to compare the shape of frequency histograms of single cell lags to the whole structure peak. Linear regression model was used to measure the desynchronisation rates. All data are presented as mean ± standard error of mean (SEM).

4.3.3 Quantitative Real-Time PCR

4.3.3.1 Tissue preparation

19 male C57BL6J mice, aged 10 weeks old, were placed into constant darkness for 36-48 h before being culled at four time points across the circadian cycle: CT0, CT6, CT12, CT18 (n=4-5). Brains were excised and flash frozen in dry ice before being stored at -80 °C for up to 4 weeks. 20 µm sections were cut using a cryostat (Leica CM3050 S), onto PEN-membrane slides (Leica Biosystems, Germany) and then stored at -80 °C for up to two weeks. For laser-capture microdissection, slides were first defrosted and then stained with 1% cresyl violet (Sigma) in 70% ethanol before regions of interest were extracted using laser-capture microscope (LCM) system (Leica DM6000 B) and stored in lysis buffer (Promega) prior to RNA extraction (see below). LCM performed on the cresyl violet-stained tissue enabled precise extraction of the desired areas (i.e. those which demonstrated the strongest bioluminescent signal measured in the PER2::LUC mice) such that for the AP samples we collected the AP with the surrounding glial border and for the NTS samples, the bilateral medial NTS adjacent to the AP, excluding the DMV was collected. (**SFig 4.1**).

4.3.3.2 RNA extraction and RT-qPCR

The dissected tissue was then immediately processed for RNA extraction using the ReliaPrep RNA Tissue Miniprep System (Promega, USA) and subsequently stored at -80 °C. Reverse-transcription was performed using the High-Capacity RNA-to-cDNA Kit (Applied Biosystems, USA) after which quantitative RT-PCR was performed using Power SYBR Green Master Mix and measured using a StepOnePlus Real Time PCR system (Life Technologies, USA). Transcripts were amplified by QuantiTect primer assay (Qiagen, Germany) for all genes (*Avpr1, Cckbr, Cldn5, Ghsr, Hcrtr1, Hcrtr2, Lepr, Prokr2, Tbp* <housekeeping gene> and *Tjp1*) except the following primers for *Per2* (5' to 3': forward GCCTTCAGACTCATGATGACAGA, reverse TTTGTGTGCCTCAGCTTTGG) and *Bmal1* (5' to 3': forward CCAAGAAAGTATGGACACAGACAAA, reverse GCATTCTTGATCCTTCCTTGGT).

4.3.3.3 Data analysis and statistics

The $\Delta\Delta$ CT method was used for data analysis with *Tbp* as the house keeping gene and CTO values for relative target gene expression. Data were tested for normality using the Shapiro-Wilk normality test and one-way ANOVA or Kruskal-Wallis test was performed using Prism 7 (GraphPad Software) for statistical analysis accordingly. Data are presented as mean ± SEM.

4.3.4 Immunohistochemistry

4.3.4.1 Tissue preparation

20 male C57BL6J mice, aged 10 weeks old, 11 of which were placed in constant darkness (DD) for 36-48 h prior to cull. 4 groups of mice were subjected to transcardial perfusions: n=5 at ZT1, n=4 at ZT13, n=5 at CT1 and n=6 at CT13. Darkness was ensured during procedures on mice at CT1, CT13 and ZT13 using infra-red night vision goggles (Cobra, UK). After terminal injection of pentobarbital (80 mg/kg), transcardial perfusions were performed with ice-cold 0.1 M phosphate-buffered saline (PBS) (flow rate: 7 ml/min, volume: 35 ml) followed by a cocktail of 1% Evans Blue dye (EB, Sigma) and 4% PFA in PBS (flow rate: 7 ml/min, volume: 50 ml). Brains were subsequently excised and immersed in 4% PFA in PBS to post-fix overnight in 4 °C, after which they were immersed in 30% w/v sucrose solution for 2-3 days. The fixed brain tissue was mounted on a freezing sledge microtome (Bright Instruments, UK) and cut into 35 µm thick coronal slices.

4.3.4.2 Immunohistochemical staining

The desired brain sections were initially washed free-floating in PBS. Next, brain tissue was permeabilised with 0.1% Triton X100 (Sigma) for 20 minutes at room temperature (RT), following further washing in PBS. Slices were then blocked in 5% normal donkey serum (NDS; Sigma) and 0.05% Triton X100 at RT for 30 minutes. Following, slices were incubated for 48 h at 4 °C in a PBS solution containing 0.05% Triton X100, 0.5% NDS and the primary antibodies; mouse anti-GFAP (1:400; Sigma, CAT# G6171) and chicken anti-vimentin (1:4000; Abcam CAT# ab24525). After the primary incubation, slices were washed in PBS and incubated for 24 h at 4 °C in a PBS solution of secondary antibodies; donkey anti-mouse

Cy3 (1:800, Jackson ImmunoResearch, CAT# 715-165-150) and donkey anti-chicken Alexa 488 (1:800, Jackson ImmunoResearch, CAT# 703-545-155). The secondary sera were washed off in PBS and slices were mounted on gelatine-coated glass slides using VectaShield medium with DAPI (Vector Laboratories, USA). Slices were scanned on the confocal microscope (Leica SP5 upright) under the 20x magnifying objective. Z-stacks were collected with 3 μ m steps and analysed as maximal intensity projections. Florescence emitted by EB was detected at 650 nm.

4.3.4.3 Data collection, analysis and statistics

Per mouse, 3 brainstem sections (caudal AP, middle AP, rostral AP), 1 middle SFO and 1 middle OVLT section were imaged on the confocal microscope (Leica SP5 upright) under the 20x objective. Z-stacks were collected with 3 µm steps. Further analysis was performed in ImageJ. As no EB signal was observed outside the SFO or OVLT in any of the experimental groups (**SFig 4.5**), detailed image analysis was performed for the brainstem sections only. Maximal intensity projections were created for each stack to analyse the intensity of EB-derived signal in the NTS. ROI were anatomically distinguished using vimentin-immuno reactivity (ir) to delineate the border between the AP and NTS. GFAP-ir was used to establish ventral border of the DMV and the lateral border of the NTS. Subsequently, the NTS (*whole*) was divided into two ROIs: *medial* and *lateral*, by halving the ventral and dorsal border of the NTS with the linear vector (Fig. 5A). The intensity of each ROI (mean grey value) was normalised by the background value, collected with a 40 x 40 pixel oval at the area ventral to DMV (which lacked EB staining).

The intensity values for 3 ROIs were compared between corresponding slices in ZT/CT1 vs ZT/CT13, using unpaired t-tests or Mann-Whitney tests in Prism 7 (GraphPad). Data were presented as mean ± SEM.

4.3.5 Multi-electrode array recordings

4.3.5.1 Tissue preparation

In total, 27 adult C57BL6J mice were culled at ZTO (for long-term and acute early day recordings) or ZT11 (for acute late day recordings). The brain was carefully excised and

immersed in the pre-oxygenated with carbogen (95% oxygen, 5% CO₂), ice-cold incubation artificial cerebrospinal fluid (ACSF) composed of (in mM): NaCl 95, KCl 1.8, KH₂PO₄ 1.2, CaCl₂ 0.5, MgSO₄ 7, NaHCO₃ 26, glucose 15, sucrose 50 and Phenol Red 0.005 mg/l. The brainstem was then separated and sliced using a vibroslicer (Camden Instruments) into 250 μ m (for acute set up) or 300 μ m thick (for long-term recordings) coronal slices (at the corresponding stereotactic coordinates to those prepared from PER2::LUC mice). Slices were then incubated in cold ACSF perfused with carbogen. After 30 minutes, slices were transferred to the oxygenated pre-incubation chamber filled with recording ACSF warmed up to 32 °C, composed of (in mM): NaCl 127, KCl 1.8, KH₂PO₄ 1.2, CaCl₂ 2.4, MgSO₄ 1.3, NaHCO₃ 26, glucose 5, sucrose 10 and Phenol Red 0.005 mg/l.

4.3.5.2 Recording and data acquisition

Slices containing the AP/NTS complex were then transferred to the recording wells (at projected ZT 1 for the early day and long-term recordings, or ZT 13 for early night recordings) of the MEA2100-System (Multichannel Systems GmbH, Germany) and placed onto the 6 x 10 perforated multi-electrode arrays (MEAs; 60pMEA100/30iR-Ti, Multichannel Systems). Slices were perfused with fresh recording ACSF, preheated to 32 °C with a perfusion cannula (Multichannel Systems) throughout the whole experiment. The tissue was allowed to settle for 1 h following set up before starting recording. For long term recordings lasting more than 24 h, suction was set to minimum and ACSF was enriched with 1% Penicillin-Streptomycin (Sigma) to maximise the survival of the tissue. In order to verify the tissue condition after over 24 h of recording, 100 μ M NMDA (Tocris Bioscience, UK) was applied to provide evidence of tissue responsiveness. After short-term recordings, 1 μ M tetrodotoxin (TTx; Tocris) was applied to verify that the registered signal comprised of TTx-sensitive action potentials and to assess the signal-to-noise ratio.

The raw signal was sampled at 25 kHz and acquired using MC Rack 4.6.2 Software (Multichannel Systems). For spike detection, MC DataTool 2.6.15 (Mutlichannel Systems) and MC Rack were used. Data were first high pass filtered in forward and reverse directions (300 Hz) after which, spikes were classified as the signal exceeding the threshold of -17.5 μ V (twice as high as determined to be a noise level after TTx application). Spontaneous

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multi-unit activity (sMUA) was further analysed only from recording spots that were indified to be localised in the AP or NTS.

4.3.5.3 Drugs

All drugs: prokineticin 2 (PK2, 200 nM; Bachem, UK), arginine-vasopressin (AVP, 40 μ M; Bachem) orexin A (OXA, 200 nM; Tocris), ghrelin (200 nM, Tocris), leptin (100 nM, Sigma) and cholecystokinin (CCK; 1 μ M, Tocris) were delivered by bath perfusion, diluted in fresh recording ACSF prior to the application. To expose studied structures to elevated glucose, 10 mM of sucrose in the recording ACSF was exchanged to equimolar concentration of glucose (final concentration at 15 mM).

4.3.5.4 Data analysis and statistics

For short-term recordings, baseline sMUA was averaged from 1800 s intervals in NeuroExplorer 5 (Nex Technologies, USA). Recording locations were classified as "active" if the sMUA exceeded 0.005 Hz. The proportion of "silent" sides in each structure between early day and early night was compared using Fisher's exact test and the sMUA frequency with t-tests. For long-term recordings, daily sMUA profiles were calculated in 600 s bins. The time of peak activity was manually assessed for sMUAs for each recording electrode localised in the AP and NTS and further analysed in El Temps for phase clustering before comparison with unpaired t-tests. All statistical tests were performed in Prism 7 (GraphPad). Activity heatmaps were plotted in OriginPro 9.1 (OriginLab) from the averaged baseline sMUA value.

Drug responses were analysed on 1 s binned MUA histograms in Spike2 8.11 (Cambridge Electronic Design Ltd., UK) and further in MatLab R2013a (MathWorks, USA) with custom made scripts. The change in MUA was classified as a response if it exceeded 3 standard deviations (SDs) from the baseline. The maximal amplitudes of the responses were compared between day and night using unpaired t-tests, and the relative proportion of responsive spots with Fisher's exact tests. Statistical analyses were performed in Prism 7 (GraphPad) and all data were presented as mean ± SEM.

4.4 Results

4.4.1 Brainstem nuclei exhibit robust oscillations of PER2::LUC

Previous studies in the intact rodent indicate daily variation in clock gene expression in the NTS (Kaneko et al., 2009), but it is unclear whether such patterns of gene activity arise endogenously or are driven by exogenous signals. Therefore, we cultured coronal brainstem slices containing the dorsal vagal complex that do not contain SCN and imaged PER2::LUC expression over 7 days. Bioluminescence rhythms were evident in the AP (n=8), NTS (n=8) and in the ependymal cell layer lining the 4th ventricle (4thVep; n=5) (**Fig 4.1**) and were typically sustained for 5-6 days in culture (Fig 4.2A). Additionally, PER2::LUC expression was transient in the dorsal motor nucleus of the vagus (DMV; detected for only one day in culture) and undetectable in NTS explants rostral or caudal to the AP (SFig 4.2). The AP, NTS and 4thVep were found to be three independent oscillators, each with a distinct spatiotemporal sequence of PER2::LUC expression. Crosscorrelation analysis revealed that the peak of the AP bioluminescence proceeds the NTS by $\sim 2 h$ (p<0.0001), followed by the 4thVep after a further ~8 h (p<0.001), such that it is almost in antiphase with the AP (Fig **4.2B,C**). The period of these bioluminescence rhythms were similar in the AP and NTS (~24.7 h and ~24.3 h respectively), yet significantly shorter in the 4thVep (~22.9 h; compared with AP, p<0.05) (Fig 4.2D). All three brainstem oscillators damped in relative amplitude at a similar rate (Fig 4.2E).



Figure 4.1 The AP and NTS display rhythms in PER2::LUC bioluminescence.

Top panel; heatmap representing the maximal PER2::LUC expression over 24 h in one representative live coronal brainstem slice with structures overlayed: AP - area postrema; NTS - nucleus of the solitary tract; DMV - dorsal motor vagus; 4th V ep - fourth ventricle ependymal cell layer. Bottom panel; raw images showing the change in PER2::LUC bioluminescence expression every 3 h.

Damping of the whole area PER2::LUC rhythms in the brainstem can arise through reduced clock gene expression in single cells, progressive desynchrony among these single cells or a combination of the two. Putative single cell oscillators could be reliably discriminated in the AP and NTS (but not in the 4thVep), allowing individual assessment of their PER2::LUC expression (**Fig 4.2F**). On day 2, the average phase of the oscillating AP cells was CT 9.4 \pm 0.2 h, while those of the NTS occurred ~1.5 h later at CT 10.91 \pm 0.6 h (p<0.05). The single cell oscillators desynchronized visibly throughout the recording (**Fig 4.2G**), coincident with the damping of the whole structure rhythms. Initially on day 2, single cells in the AP were more synchronized than those in the NTS (Rayleigh *r*: 0.93 \pm 0.01 vs 0.75 \pm 0.04; p<0.01; SD

lag: 1.5 \pm 0.01 h vs 3.11 \pm 0.3 h, p<0.0001), but the desynchronization of cells in both structures progressed at a similar rate (R slope: -0.17 \pm 0.03 vs -0.16 \pm 0.03). By day 5, cells in both structures were highly desynchronized, but significantly more so in the NTS (Rayleigh *r*: 0.44 \pm 0.07 vs 0.3 \pm 0.05; p>0.05; SD lag: 5.16 \pm 0.4 h vs 7.02 \pm 0.4 h, p<0.0001) (**Fig 4.2H**). This observation was strengthened by the different distribution of phase differences between structures (**Fig 4.2I**). The average periods of the individual oscillating AP cells were longer than those in the NTS (24.8 \pm 0.3 h vs 24.19 \pm 0.4 h; p<0.05), but also these individual cellular periods were less variable in the NTS (SD period single cells: 1.89 \pm 0.1 h vs 2.49 \pm 0.2 h), which potentially accounts for the lower synchrony in the NTS (**Fig 4.2I**).

4.4.2 Cyclic Clock Gene Expression in vivo

Since clock gene expression is not widely reported in the brainstem (18, 19), we next assessed the *in vivo Per2* and *Bmal1* transcript expression in the AP and NTS sampled at 6 h intervals over the circadian cycle, beginning at the onset of the circadian day (CTO). Both *Per2* and *Bmal1* expression in the AP and NTS varied significantly over these time points (AP: p<0.01 and p<0.0001; NTS: p<0.01 and p<0.05). In the NTS, the nadir in *Per2* occurred around CT6 and the maximal level at CT18, while the highest expression of *Bmal1* occurred at CT0 and the lowest at CT18. In the AP, the maximal expression of *Per2* was present at CT12 and the lowest at CT0 with *Bmal1* expression oppositely phased. Thus, in the mouse NTS and AP, molecular clock components vary in expression at 4 time points over 24 h *in vivo*, and consistent with PER2::LUC rhythms *ex vivo*, *Per2* expression the AP is phase advanced with respect to that of the NTS (**Fig 4.2K**).



Figure 4.2 The AP and NTS display rhythms in PER2::LUC bioluminescence.

A Example traces of PER2::LUC expression over 7 days in culture at the whole tissue level in the AP, NTS and 4th V ep from one brainstem slice. **B** Cross-correlograms showing the ~2 h lag of the NTS to the AP (left panel; n=8) and close to antiphasic relationship of the 4th V ep to the AP (right panel; n=5) over 72 h. C Scatterplot demonstrating the differing times of peak PER2::LUC bioluminescence (AP vs NTS ****p<0.0001, n=8, T-test; 4th V ep vs AP and NTS ###p<0.001, n=5, Tukey's test) and (**D**) the whole structure periods of the brainstem centres (#p<0.05, n=5, Tukey's test). E Relative damping rate to the amplitude of the peak on day 2 does not vary between the brainstem structures. F Putative single cell oscillator traces of PER2::LUC over 7 days in culture. G Rayleigh plots depicting phase clustering around CT 8.5 in AP and CT 11 in NTS at day 2, and subsequent desynchronisation of single cell oscillators at day 5 in the example traces shown in F. Triangles represent peak bioluminescent phases for individual cells, the arrow indicates the phase vector and its length represents significance with respected to the inner dotted circle of the p=0.05 significance threshold. Variance (SEM) is represented by the black box around arrow heads. H Rayleigh r value of individual cells for peaks 2-5 (left panel) and the SD of individual cell lags relative to the phase of the main structure for peaks 2-5 (right panel) for n=8 cultures. (****p<0.0001, Two-way ANOVA RM). I Frequency histogram of single cell lags relative to the phase of the whole structure (****p<0.0001, Two-way ANOVA Interaction). (J) NTS single cell oscillators are characterised by a shorter but more variable period than those in the AP (SD period; *p<0.05, n=8, T-test). (K) In vivo core clock gene expression of Per2 and Bmal1 in the AP and NTS relative to CT0. (*p<0.05, **p<0.01, ****p<0.0001, One-way ANOVA and ##p<0.01 Kruskal-Wallis test). All data are mean \pm SEM. White bars depict 100 μ m.

4.4.3 Forskolin treatment re-synchronises individual cellular rhythms and transiently synchronises phase in the three oscillators

As forskolin can re-activate PER2::LUC rhythms in hypothalamic explants (Guilding *et al.*, 2009) we subsequently treated brainstem cultures with 10 μ M forskolin to re-initiate the AP, NTS, and 4thVep oscillations. Properties of these rhythms were restored to predamping values and oscillations were sustained for the next 7 to 14 days. Forskolin application transiently synchronised the three oscillators so that, for the first time, the 4thVep was in phase with that of the AP and NTS. However, by 5 days post forskolin treatment the initial spatiotemporal sequence seen during baseline (AP leading the NTS, followed much later by the 4thVep) had resumed (**Fig 4.3A**). Furthermore, treatment with forskolin re-initiated synchronous single cell rhythms in both structures (**Fig 4.3B,C**). These findings indicate that damping of whole tissue rhythms in the AP and NTS is attributable to both damping and desynchronisation of single cell oscillations.





A Crosscorrelograms (as described in **Fig 4.2**) showing the representative phase relationship for the NTS vs AP (red) and the 4thV ep vs AP (green) for baseline (*left panels*), days 1-2 (*middle panels*) and days 5-7 (*right panels*) post 10 μ M forskolin treatment. **B** Bioluminescence traces for whole brain area rhythms for 7 days before and after 10 μ M forskolin treatment, with the dotted lines representing the time at which the corresponding cross-correlograms in **A** were taken. **C** Single cell traces of PER2::LUC over 14 days with 10 μ M forskolin treatment at day 7. **D** Rayleigh plots (as described in **Fig 4.2**) depicting phase clustering for the single cell bioluminescence traces in **C** for baseline day 2 and 5, and day 1 after 10 μ M forskolin treatment.

4.4.4 Daily variation in brainstem neuronal activity in vitro

For effective communication of circadian information, the molecular clock in the SCN tunes its neural network to exhibit pronounced rhythms in spontaneous electrical activity, with higher discharge rates during the day than the night (Belle *et al.*, 2009; Colwell, 2011). It is unknown if brainstem neurons intrinsically vary in their electrical activity from day to night and to assess this, we simultaneously recorded spontaneous multi-unit activity (sMUA) throughout the AP and NTS in coronal brain slices that do not contain the SCN.

To determine if sMUA in the studied brainstem structures varies over 24 h, we recorded continuously for up to 26 h from coronal AP/NTS slices (n=4 slices from 3 mice). Clear 24 h variation was seen in all slices (Fig 4.4A) with the waveform of this electrical profile significantly wider in the AP than the NTS (width at 50% of max amplitude) (Fig 4.4B). The time of peak in sMUA occurred late in the day for both the AP and NTS (ZT 9.6 \pm 0.6 h vs ZT 9.6 ± 0.4 h) (Fig 4.4C). To further assess possible daily variation in AP/NTS neuronal firing, acute recordings of sMUA were made at two opposing time points for day (ZT 3-4, n=22; n=11 mice) and night (ZT 15-16, n=22; n=11 mice). In both the AP and NTS, sMUA was detected on a larger proportion of electrodes during the night than the day (AP: 57% vs 43%; p<0.05; NTS: 69% vs 54.5% of electrodes; p<0.0001) and the frequency of sMUA was also higher at night compared to the day (AP: 7.65 ± 0.91 vs 5.14 ± 0.74 Hz; p<0.01; NTS: 1.2 ± 0.04 vs 1.05 ± 0.09 Hz; p<0.0001) (Fig 4.4D,E,F). No sMUA was detected from recording electrodes positioned in the non-neuronal ependymal cell layer around the 4th ventricle. Collectively, this indicates that PER2::LUC rhythms ex vivo and temporal variation in clock gene expression in vivo are accompanied by day-night variation in AP and NTS neuronal activity.





A Example long-term recording profiles of spontaneous multi-unit activity (sMUA; bin = 600s) in the AP (in blue) and NTS (in red) showing the peak of electrical activity at late projected day / early night. Grey boxes depict the projected dark phase. All recordings were started at ZT2. **B** The width of the 24h sMUA profiles for individual recording sites (n=4 slices from 3 mice) in the AP and NTS taken at 50% of the peak amplitude (****p<0.0001, T-test). **C** The projected ZT of the peak of sMUA of each electrode in the AP and NTS with an average of ~ZT9.5 for both structures (ns, T-test). **D** Mirror heatmaps coding the levels of sMUA in the AP/NTS complex at projected early day (above; ZT3-4) and early night (below; ZT15-16) obtained from short-term recordings. **E** Scatterplot showing the sMUA from single recording electrodes localised in the AP (in blue; n=192) and NTS (in red; n=842); sMUA significantly elevated early night in both structures (**p<0.01, ****p<0.0001, t-test). **F** sMUA was detected at greater proportion of electrodes in the AP and NTS during the projected night (active locations; blue for AP and red for NTS) (*p<0.05, ****p<0.0001, Fisher's test). ns=not significant.

4.4.5 Day-Night Alterations in Responsiveness to Metabolic and Circadian Signals

In the SCN, the molecular circadian clock shapes daily variation in SCN neuronal responses to neurohormonal signals (Belle & Piggins, 2017). To address this, we compared the day and night responsiveness of AP and NTS neurons to a range of neurochemicals.

The AP and NTS can sense blood borne chemicals as well as neural signals that convey key information on energy balance. This includes factors that reduce and/or terminate ingestive behaviours such as raised concentrations of blood glucose, the adipocyte hormone leptin, and the gastrointestinal hormone, cholecystokinin (CCK) (Fan et al., 2004; Hisadome et al., 2014; Roberts et al., 2017). To gain insight into the potential influences of circadian timekeeping in the AP/NTS, we next compared how those anorectic signals may alter MUA in these areas during the day and night (projected ZT4-6 and ZT16-18 respectively). We found that CCK evoked activations in firing activity from the majority of NTS electrodes (day 69%; night 78%) and found that the amplitude of these activations was significantly increased at night ($\Delta 2.9 \pm 0.3$ vs $\Delta 3.71 \pm 0.4$ Hz, p<0.05) (Fig 4.5). In the AP, CCK also evoked excitations with a trend to an increased proportion of activated electrodes at night (25% vs 57%; p=0.059) (SFig 4.3). In both the NTS and AP, leptin induced activations from only a small proportion of electrodes during the day (NTS 15%; AP 20%) and night (NTS 13%; AP 26%), with no obvious time of day differences in the amplitude of evoked responses. Exposure to elevated glucose elicited activations in the NTS and AP MUA from ~20% of recording sites during day and night, with the amplitude of response significantly increased at night in the NTS ($\Delta 1.5 \pm 0.3$ vs $\Delta 2.5 \pm 0.4$ Hz; p<0.05) (Fig 4.5), but not in the AP (SFig 4.3). This indicates increased nocturnal responsiveness of NTS and AP neurons to CCK, with NTS neurons also exhibiting heightened responses to elevated glucose at night.

Neurons in the AP and NTS are also responsive to chemical cues that promote arousal and consummatory behaviour, including the hypothalamic neuropeptide orexin A (OXA) and the gastrointestinal hormone ghrelin (Yang, 2002; Yang *et al.*, 2003; Cabral *et al.*, 2017; Cornejo *et al.*, 2018). Ghrelin application elicited mostly activations in the NTS and AP MUA, both day and night, with the amplitude of the response increased at night in the NTS (Δ 1.3 ± 0.3 vs Δ 2.5 ± 0.4 Hz; p<0.05) (**Fig 4.5**), but not in the AP (p=0.948) (**SFig 4.3**). OXA enhanced MUA in ~70% of NTS recording sites, both day and night, with an increased amplitude in

the nocturnal response ($\Delta 2.4 \pm 0.4$ vs $\Delta 3.8 \pm 0.4$ Hz; p<0.001) (Fig 4.5). In the AP, orexin induced increases in MUA from only a small proportion of electrodes such that day-night changes in response parameters could not be reliably compared (SFig 4.3). Altogether, these data indicate that NTS neurons exhibit enhanced nocturnal activations to orexigenic and anorexigenic signals and suggest that NTS (and to a lesser extent AP) neurons are more readily influenced by metabolic signals during the night.

The SCN communicates circadian phase information through synaptic and paracrine mechanisms to facilitate the organisation of extra-SCN and peripheral tissue clocks. Vasopressin (AVP) and prokineticin 2 (PK2) are two neuropeptides implicated as candidate SCN output factors (Cheng et al., 2002; Kalsbeek et al., 2010). The NTS and SCN are reciprocally connected (Buijs et al., 2014), and receptors for PK2 (PK2R) and AVP (AVP1a) are expressed throughout the AP/NTS complex (Raggenbass et al., 1989; Cheng et al., 2006). To assess if the responsiveness of AP and NTS neurons to SCN output signals varies from day to night, we challenged AP and NTS neurons with PK2 or AVP at ZT4-6 or ZT16-18. During the day, PK2 altered the electrical activity at a larger proportion of NTS electrodes than at night (61% vs 41%; p<0.01) (Fig 4.5). The same trend was observed for the change in the AP MUA frequency in response to PK2 but did not reach significance (48% vs 25%; p=0.135) (SFig 4.3). Application of AVP evoked activations in MUA from a similarly large proportion of electrodes during the day and night (~75%) in both the AP and NTS. In the AP, the amplitude of response to AVP increased at night ($\Delta 5.4 \pm 1.6$ vs $\Delta 15.4 \pm 4.2$ Hz; p<0.05) (SFig 4.3), but no temporal differences in response amplitude were noted in the NTS (p=0.447) (Fig 4.5). These findings indicate that PK2 and AVP can modulate electrical activity of AP and NTS neurons during the day and night, raising the possibility that these brainstem structures are influenced by the SCN-derived signals.

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Figure 4.5 Day-night variation in responsiveness of NTS neurons to metabolic factors.

Results of drug application analysis from multi-electrode array recordings performed during the day (ZT4-6) and night (ZT16-18). Pie charts represent the response proportions of NTS recording locations (activation - orange; inhibition - light green; no response - dark grey; **p<0.01, Fisher's test). Bars represent the amplitude of drug-evoked excitation or inhibition (*p<0.05, ***p<0.001 t-test). Whiskers indicate SEM. Multi-unit activity traces showing representative responses to drug application during the day and night are presented below.

4.4.6 Temporal variation in receptor transcript expression in vivo

The day-night changes in the responsiveness of NTS neurons to circadian, anorexigenic, and orexigenic signals may arise through changing availability of their receptors. To test this, we sampled NTS receptor gene expression at 6 h intervals across the circadian cycle, beginning at CT0. For the putative circadian output factors, PK2 and AVP, expression of *Pk2r* and *Avp1a* in the NTS, varied significantly over the 4 time points sampled (p<0.01 and p<0.05, respectively) (**Fig 4.6**), with highest levels of expression of both at CT0 and lowest at CT6 for *Avp1a*, and CT12 for *Pk2r*. Expression over 24 h for *Hcrtr1* and *Hcrtr2*, receptors for orexin, were significantly elevated during the night, with the highest level at CT18 (p<0.05) (**Fig 4.6**). Additionally, there was a trend for temporal expression of CCK receptor b (*Cckbr*) expression, with the lowest level at CT6, and the highest at CT18 (p=0.055) (**Fig 4.6**). Neither the ghrelin receptor (*Ghsr*) nor the leptin receptor (*Lepr*) varied significantly in expression in the NTS over 24 h (p=0.132 and p=0.691) (**Fig 4.6**). This suggests that temporal changes in receptor availability could contribute to daily alterations in NTS neuronal responsiveness to some signals, but precisely how and the functional significance of this remains to be determined.


Figure 4.6 Circadian variation in receptor gene expression in the NTS. Gene expression relative to CT 0 in metabolic receptors in the NTS collected at CT 0, 6, 12 and 18. Significant temporal variation was seen for the orexin receptors (*Hcrtr1* and *Hcrtr2*), the AVP receptor (*Avpr1*) and the PK2 receptor (*Prokr2*). *p<0.05, **p<0.01, One-way ANOVAs. Data are presented as mean ± SEM.

4.4.7 Daily Variation in AP/NTS glial barrier permeability

The border between the AP and NTS is delineated by prominent vimentin- and GFAPpositive immunostaining (**Fig 4.7A**) and contains tight junctions that function to regulate the diffusion of substances from the AP to the NTS parenchyma. Together these form a highly specialised and unique barrier for this circumventricular organ (Gunzel & Yu, 2013; Haseloff *et al.*, 2015). To assess whether the AP/NTS glial barrier displayed any temporal variation in functionality, we perfused mice with Evans Blue (EB) dye at early day or early night time points under LD and DD conditions (ZT1/CT1 and ZT13/CT13 respectively). During the early day, EB dye was mostly confined to the AP with minimal presence in the subjacent and adjacent NTS. However, the early night perfusions revealed EB dye to be present throughout the AP as well as the medial and, to a lesser extent, the lateral aspects of the NTS (**Fig 4.7B**). This increase in EB permeability was observed across the rostrocaudal axis of the AP/NTS complex, coinciding with the nocturnal decrease in *Tjp1* and *Cdn5* expression. Significant temporal variation in EB staining was seen under both LD and DD conditions, indicating the endogenous circadian regulation of the permeability of the AP-NTS border (**Fig 4.7C**).

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Since our microdissected AP samples contained the AP/NTS glial border (**SFig 4.1**), and because the tight junction components have been observed to be under circadian control (Yamato *et al.*, 2010; Oh-oka *et al.*, 2014), we assessed temporal expression of genes coding for the tight junction components zona occludens-1 (*Tjp1*) and claudin-5 (*Cdn5*). Expression of *Tjp1* (p<0.01) and *Cdn5* (p<0.05) varied over the circadian cycle, with the lowest expression in both transcripts occurring around the onset of circadian night (CT12) (**Fig 4.7D**), coinciding with the increase in permeability to EB.

Examination of the other sensory CVOs, the subfornical organ (SFO) and vascular organ of lamina terminalis (OVLT) (**SFig 4.4**) indicated that EB dye was contained within these CVOs at both early day and early night timepoints. This suggests that the nocturnal increase in permeability to EB is unique to the AP/NTS complex. Taken together, our results suggest a role for the circadian clock in regulating tight junction protein expression to allow for daily changes in permeability to blood borne molecules.





A Representative images of the rostral-caudal AP/NTS complex showing the merge (top row) of anti-vimentin and anti-GFAP staining for identification of the AP/NTS border along with annotations of the ROIs of the NTS for analysis (L- lateral, M – medial). **B** Evans Blue dye (EB) fluorescence imaging with added annotation of the AP/NTS border at ZT 1 vs ZT 13, shows the containment of staining at ZT 1, and ZT 13; note the increased penetration of EB into the adjacent NTS at ZT 13. **C** Intensity of EB staining in the whole, medial and lateral areas of the NTS for each rostral-caudal sample slice during LD (*top row*) and DD (*bottom row*) (*p<0.05, **p<0.01, ***p<0.001, ****p<0.0001, t-tests or #p<0.05 Mann-Whitney tests). **D** Relative gene expression of the tight junction proteins Claudin-5 (*Cldn-5*) and Zona occludens-1 (*Tjp-1*) in the AP with the glial border over 24h, relative to CT0, (Kruskal-Wallis test *p<0.05). White bars depict 100 µm. Data are shown as mean ± SEM.

4.5 Discussion

Here, we provide compelling evidence from *ex vivo* studies that the AP and NTS contain intrinsic circadian clocks that can drive daily variation in neuronal firing rate and day-night alterations in responsiveness to peripheral and central metabolic cues. Further, under constant dark conditions *in vivo*, we find that expression of circadian clock and tight junction genes in these structures varies over 24 h, with increased permeability to blood borne signals during the early night when expression of *Per2* is high and that of *Tjp1* and *Cldn5* low. Daily variation in genes coding for metabolic ligand receptors as well as putative SCN output signals was also observed *in vivo* for these structures. Therefore, our findings reveal circadian change in molecular, cellular, and electrophysiological activities within the brainstem and implicate intrinsic circadian timekeeping as a source of this temporal modulation.

Previous studies have shown that an obese state alters clock gene expression in the rodent NTS (Herichová et al., 2007; Kaneko et al., 2009). In our study, we confirm the daily variation of *Per2* and *Bmal1* expression in the NTS as well as in the AP. Real-time ex vivo bioluminescent imaging of the hindbrain in PER2::LUC mice, revealed the presence of three independent hindbrain oscillators; the AP, NTS and 4thVep. We found that the oscillatory properties of the AP were more robust than those for the NTS, presumably due to the increased synchronisation observed in the single cell oscillators. Initially, PER2::LUC oscillations in all three hindbrain centres were sustained for up to 5-6 days and were reinstated by forskolin to oscillate for up to a further two weeks in culture. Interestingly, similar to findings in the SCN (Yamaguchi et al., 2003; Yan et al., 2007; Doi et al., 2011), our study demonstrated a spatiotemporal wave in *Per2* expression across the hindbrain centres, originating and peaking first in the AP, with peak PER2 lagging by ~2 h in the NTS. In contrast, PER2 in the 4thVep peaked in near antiphase to the AP, with a consistently shorter period. Both the shorter period and antiphasic relationship of ependymal oscillation in *Per2* expression to neuronal rhythms have been observed with the choroid plexus in particular (Myung et al., 2018) and in other central regions (Guilding et al., 2009). Similar to SCN explants, the period of PER2 oscillations in cultured AP and NTS explants is longer than 24 h and thus does not match with the typical mouse endogenous locomotor rhythm (~23.6 h) (Daan & Pittendrigh, 1976). Interestingly, SCN periodicity may be shortened by choroid plexus derived diffusible factors to resemble that of whole animal behaviour (Myung *et al.*, 2018). Therefore, with the proximity of the fourth ventricle, oscillating ependyma and choroid plexus may modulate the properties of the AP and NTS circadian oscillation *in vivo*.

In addition, the circadian properties of the AP/NTS complex are potentially modulated or entrained by the SCN. Anatomically, one study has shown the caudal NTS (but not the AP) and SCN to have sparse reciprocal direct connections (Buijs et al., 2014). It is also likely that the proximity of these structures to the ventricular system provides an additional conduit for SCN-derived diffusible factors such as prokineticin 2 (PK2) or vasopressin (AVP) to transmit circadian phase to the AP/NTS (Earnest & Sladek, 1986; Cheng et al., 2002, 2006; Kalsbeek et al., 2010). Our MUA results demonstrate that the AP and NTS neurons are responsive to AVP and PK2 during the day and night. Further, in the NTS we found a robust rhythm in PK2 receptor expression with the nadir at early night, which is in-phase with the PK2 mRNA rhythm in the SCN (Cheng et al., 2002). This reduction in PK2 receptor gene expression at night is concordant with our observations of reduced nocturnal responsiveness of NTS MUA to PK2. In contrast, while AVP receptor expression varied over 24 h in the NTS in a similar temporal pattern to that of AVP synthesis in the SCN (Kalsbeek et al., 2010), there was no day to night change in the MUA response of AVP application in the NTS. This was not the case for the AP as larger amplitude responses to AVP were recorded during the night. Taken together, our results present the intriguing possibility that these brainstem rhythms could be partly regulated by the SCN in a synaptic and/or paracrine manner. It is however also possible that both AVP and PK2 originating from other brain sites and peripheral tissues can act as anorexigenic agents in the NTS (Meyer et al., 1989; Langhans et al., 1991; Beale et al., 2013).

In addition to the rhythmic expression of clock core genes, SCN timekeeping activity is manifested by the 24 h variation in neuronal membrane excitability resulting in a higher firing rate of clock cells during the subjective day (Belle *et al.*, 2009). These rhythmic changes in the electrical activity are necessary to communicate circadian phase among single cell oscillators, as well as externally (Colwell, 2011). Such neuronal rhythms are present in other putative extra-SCN oscillators such as the lateral habenula, the olfactory

bulb, and some hypothalamic centres (Zhao & Rusak, 2005; Guilding & Piggins, 2007; Sakhi et al., 2014). Our study is the first to show robust rhythms of electrical activity in the AP and NTS ex vivo. Long-term recordings of sMUA showed firing rate peaking late in the day in for both structures, with no obvious spatiotemporal difference corresponding to our observed ~2 h delay in peak PER2::LUC expression. In keeping with the PER2::LUC oscillations, the rhythm in firing rate was more synchronised between recording sites in the AP than in NTS, further supporting the interpretation that the AP contains a better coupled oscillator. However, temporal profiles of the firing rate were narrower in the NTS, suggesting that NTS oscillators are more precise in timekeeping, displaying elevated electrical activity for a shorter time. It is also possible that the relatively quick rise in the NTS firing rate stems from antecedent broad temporal activation of the AP, despite peaking at the same circadian time. Shorter-term recordings from mice culled at early day or early night confirmed that early night neuronal activity is higher than that at early day in both structures. Our results are in keeping with a study that reports an antiphasic relationship in electrical activity between the highly GABAergic SCN and lateral habenula (Sakhi et al., 2014), another extra-SCN oscillator. We hypothesise that the late day/early night high activity of brainstem neurons anticipates the behavioural active phase and thus contributes to the animals' preparedness for the circadian night.

The NTS functions as a brainstem hub and processes a plethora of metabolic state information (Grill & Hayes, 2012). Here we find that responses to both anorexigenic and orexigenic factors were elevated during the night. Anorexigenic CCK evoked larger responses during the dark phase, concordant with elevated nocturnal expression of CCK receptor b. Similarly, a rise in glucose concentration that mimics its postprandial elevation *in vivo* elicited an increased excitatory response in NTS neurons during the projected night. Orexigenic ghrelin and OXA were also more efficient in exciting NTS neurons during the projected night phase, concordant with the highest expression of the orexin receptor 1 and orexin receptor 2. Changes in electrical responsiveness to metabolic signals may stem purely from the general elevation in excitability during the night. However, this does not account for the absence in obvious temporal change in the responsiveness to other substances tested such as leptin or vasopressin in the NTS. Another mechanism could be 24 h variation in receptor gene expression, providing functional receptors available at

different times across the circadian cycle. This could account for day-night change in responsiveness to some but not all factors assessed. Overall, our findings are in keeping with a previous study showing that responses to neurochemicals are shaped in a circadian manner (Belle & Piggins, 2017).

Although neurons are considered to be the major cellular oscillators of the master clock, non-neuronal astrocytes express all core clock genes and their depletion impacts both molecular and behavioural rhythms (Barca-Mayo et al., 2017; Brancaccio et al., 2017). Other non-neuronal tissues such as the choroid plexus (Myung et al., 2018) and ventricular ependymal layers (Guilding et al., 2009) (also shown in our study) exhibit robust circadian oscillation in clock gene expression. Here, we show that PER2::LUC cells were densely distributed in the AP and along its glial border. Emerging evidence from invertebrates (Zhang et al., 2018) and vertebrates (Shimba et al., 2017) implicates circadian processes in regulating daily variation in the permeability of the blood-brain barrier as well as tissue barriers in the cornea (Wiechmann et al., 2014), kidney (Yamato et al., 2010) and large intestine (Oh-oka et al., 2014). Such changes can be accompanied by daily variation in components of tight junctions (Yamato et al., 2010; Oh-oka et al., 2014), gap junctions (Zhang et al., 2018), and functionality of pericytes (Shimba et al., 2017). Our findings of 24 h variation in the expression of ZO-1 and claudin-5, along with increased nocturnal permeability of the AP/NTS border to EB, are concordant with the view that the function of biological barriers are subject to circadian regulation. EB has a molecular weight of 961 Da (Kaya & Ahishali, 2011), therefore we can assume that the AP/NTS border is directly permeable to small circulatory molecules of a similar size. This could arise through direct actions of molecular clock proteins on the promotor regions of tight junction genes (Burek & Förster, 2009; Oh-oka et al., 2014). In other studies conducted during the early day, EB was confined to the AP only and was not detected in the brain parenchyma (Morita & Miyata, 2012; Langlet et al., 2013). The increased night-time permeability at the AP/NTS border is anatomically selective as it was not detected at forebrain circumventricular organ sites (SFO and OVLT) and suggests that there is greater passage of blood-borne signals into the brainstem at night. This could enhance brainstem monitoring of the internal milieu as well as increasing the influence of peripheral physiology on central homeostatic mechanisms. Since NTS neurons recorded in vitro also exhibited elevated nocturnal

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responsiveness to ghrelin and OXA, this strongly suggests that peripheral and central orexigenic signals more readily influence the brainstem during the active phase.

In conclusion, we show for the first time a multi-oscillatory brainstem centre with three independent circadian oscillators of both neuronal and non-neuronal/ependymal nature, composed of the AP, NTS and 4thVep. Not only do the AP and NTS express robust endogenous oscillations in core clock gene expression, but they also exhibit overt circadian variation in neuronal firing. We also found evidence of the clock regulating higher nocturnal responsiveness to metabolic factors and greater permeability of blood borne signals to the brain parenchyma at night. Thus, circadian mechanisms exert an unexpectedly prominent influence on molecular and cellular activity in homeostatic centres of the hindbrain.

4.6 Supplemental Material



Supplemental Figure 4.1 Coronal sections of the mouse brainstem tissue to be used for laser-capturing for qPCR experiments.

Annotations of the ROIs on the Nissl stained tissue show the NTS and AP with the glial border (collected together). Slices are presented from the rostral to caudal extent of the AP/NTS complex. Black bar depicts 100 μ m.



Supplemental Figure 4.2 Absence of PER2:LUC signal in the rostral and caudal NTS.

Bright field photomicrographs (*left*) and corresponding false-coloured bioluminescence images (*right*) with the anatomical representations of brainstem centres: $4V - 4^{th}$ ventricle, CC – central canal, ChP – choroid plexus of the 4^{th} ventricle, NTS – nucleus of the solitary tract. Note the high bioluminescence of ChP and lack of the signal in NTS sections that do not contain area postrema. White bars depict 100 µm.



Supplemental Figure 4.3 Responsiveness of AP neurons to metabolic factors during the day and night.

Results of drug application analysis from multi-electrode array recordings performed during the day (ZT4-6) and night (ZT16-18). Pie charts represent the response proportions of AP recording locations (activation - orange; inhibition - light green; no response - dark grey; **p<0.01, Fisher's test). There was a trend in the increase of responsiveness to cholecystokinin in the dark phase (p<0.06, Fisher's test). Bar graphs represent the amplitude of drug-evoked excitation or inhibition (*p<0.05, t-test). Whiskers indicate SEM.



SFO



Supplemental Figure 4.4 Evans Blue (EB) is contained in the vascular organ of the lamina terminals (OVLT) and subfornical organ (SFO) in the day and night.

Glial markers for circumventricular organs (GFAP - red, vimentin - green) were used to define anatomical borders of the OVLT and SFO for the assessment of EB staining (cyan). Note the lack of EB penetration into brain parenchyma at either time point. White bars depict 100 μ m.

4.6 References

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Chapter 5: General Discussion

5.1 Rhythms Influencing Sleep and Homeostasis

5.1.1 Overview

Organisms have evolved an internal circadian system in order to align themselves with the 24 h nature of the external environment, enabling anticipation of predictive resources and energy conservation at the appropriate times. In addition, we have evolved a robust homeostatic network for a myriad of processes that tightly maintains our physiological systems around a certain set point, despite constant external challenges. In this thesis I set out to determine the extent of the neural circadian system outside of the master clock and its potential impact on three vital homeostatic processes; sleep, fluid and energy balance. In Chapter 2, I discovered that misaligned feeding maintains total sleep homeostasis in mice, despite gross reorganisation of their daily sleep-wake patterning. Furthermore, I demonstrated that sleep drive is lowered during RF, shedding light on a potential evolutionary mechanism in behavioural flexibility which allows animals to more readily wake when food availability is out of sync with typical activity. In Chapter 3, I discovered a previously unknown oscillator present in a brain area primarily involved in fluid homeostasis, the SFO. I then went on to characterise the circadian properties of this oscillator and that of the OVLT, its sister in the central control of fluid balance. My results illustrate that these oscillators are highly robust and display characteristics more similar to those of the SCN than many other previously studied extra-SCN oscillators. In Chapter 4, I demonstrated the oscillatory properties in two hindbrain areas that play key roles in the maintenance of energy balance. I also went one step further and revealed hints at the potential functionality of these clocks, showing day night changes in responsiveness to metabolic signals and changes in the availability of their receptors. Furthermore, I also highlighted a potential role for glial cell involvement in regulating day-night changes in barrier permeability.

In this section I will discuss further interpretations and implications of my research, in addition to possible avenues for future investigations in light of my findings.

5.1.2 Sleep homeostasis and evolutionary adaptions

The finding that sleep drive is reduced during daytime food restriction (Chapter 2) leads to intriguing hypotheses about the advantages of such a phenomenon. In *Drosophila melanogaster*, one group of researchers discovered that when male flies were allowed to interact with females or exposed to female pheromones, this resulted in prolonged sleep deprivation after which there was no subsequent rebound recovery sleep, as seen during standard sleep deprivation (Beckwith *et al.*, 2017). This demonstrates that sleep homeostasis was lost with a reduction in sleep drive, raising questions into where Borbely's two-process model fits into this paradigm. Is it that as well as a process S and process C, there is a third process driven by evolutionary pressures (process E) such as the requirement to mate and to feed, that can override the original two (**Fig 5.1**)? My data from the initial days of food restriction demonstrating increased wake and decreased slow-wave energy, along with the model of fruit flies reducing sleep in order to mate, could be used in the future as paradigms to investigate such evolutionary pressures and their effects on sleep regulation.



Time

Figure 5.1 The evolutionary drive and the "two process model".

A reimagining of the original two process model to accommodate a different types of wakefulness driving be evolutionary pressures "Process E", where homeostatic sleep accumulation is reduced during periods requiring behavioural flexibility in arousal.

Similarly to nocturnal rodents, *Drosophila* also display reduced total sleep during a 24 h fast which is then compensated for by an increased amount of sleep the following recovery day when fed *ad lib* (Keene *et al.*, 2010; Thimgan *et al.*, 2010; Regalado *et al.*, 2017). Interestingly, prolonged wake during starvation in *Drosophila* does not show a rebound in sleep amount when compared to sleep deprivation for a similar length of time (Thimgan *et al.*, 2010). This is a similar observation to the observation of Chapter 2 that SWA is reduced following prolonged wake due to FAA versus matched sleep deprivation. Moreover, sleep in *C. elegans* is crucial during periods of starvation where development is arrested to aid survival (Wu *et al.*, 2018). In blind Mexican cavefish, *Astyanax mexicanus*, which have evolved to dramatically reduce sleep proposed to increase time for foraging behaviour (Elipot *et al.*, 2013), prolonged starvation paradoxically induces sleep in these animals perhaps to preserve energy when food is scarce (Jaggard *et al.*, 2017). Together these studies suggest that the mechanisms for maintaining arousal to seek food may be conserved across species and highlight an important evolutionary role for sleep in regulating metabolic challenges across taxa.

5.1.3 The sCVOs in immune regulation and energy balance

So far, the focus of discussion on the sCVOs has been primarily on their roles in fluid balance. However, due to their unique nature of exposure to circulating substances, the sCVOs provide an access point for the brain in monitoring many substances including immune response factors such as circulating cytokines and endotoxins. All sCVOs contain receptors for interleukin-1 β (IL-1 β) and toll-like receptor (TLR4) (Cunningham *et al.*, 1992; Laflamme & Rivest, 2002), and immune insult challenges through injection of IL-1 β and lipopolysaccharide (LPS) induced c-fos expression in one or more of these areas (Hare *et al.*, 1995; Elmquist *et al.*, 1996). In light of my data from Chapter 3 revealing the SFO to contain temporally distributed genes involved in the immune response regulation, *Nfil3* and *Prf1*, there could indeed be a role for the local clock in sCVOs in regulating their responses in to circulating immune factors.

The AP has well described roles in energy balance due to the action of circulating hormones like amylin and leptin inducing anorectic behavioural responses (Liberini *et al.*, 2016; Smith *et al.*, 2016). In addition, the SFO has been a focal point for discussion in the role of energy balance due to the presence of receptors for metabolic hormones and its efferent

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projections to brain areas involved in feeding control, however its roles in such processes are not well described (McKinley *et al.*, 2019). The SFO expresses the ghrelin receptor, GHSR, with *in vitro* recordings from SFO neurons demonstrating that they are robustly activated by ghrelin application (Pulman *et al.*, 2006). As well as the AP, the SFO also contains receptors for amylin (Sexton *et al.*, 1994) and peripheral administration of this peptide at dark onset induced c-fos activation in the SFO and the AP (Barth *et al.*, 2004). As shown in Chapters 3 and 4, *Per2* expression is highest in the SFO and AP at dark onset, thus local clocks could be gating sCVO responses to metabolic substances such as amylin. Further work is required to determine the physiological significance of these receptors in the SFO and their influence on feeding behaviour. It is definitely an avenue worth considering since this thesis presents strong evidence that the AP and SFO contain local clocks which are conceivably involved in gating responses to circulating metabolic factors.

5.1.4 A network of localised circadian homeostatic control

In my thesis I have focussed separately on specific brain areas and their interactions, mainly the lamina terminalis and the dorsal vagal complex. However, these two areas along with the hypothalamus, an area also containing localised clockwork (Guilding *et al.*, 2009; Orozco-Solis *et al.*, 2016), are involved in a network of complex interactions in regulating homeostatic processes. This hints at a role for localised clock interactions in modulating the circadian output of these networked systems.

The SFO plays well known roles in sodium appetite in mammals (Nation *et al.*, 2016; Ch'ng & Lawrence, 2018), a crucial mediator in fluid balance. Recent evidence has highlighted a small subset of aldosterone sensing neurons in the NTS, whereby aldosterone and ATII act synergy on these neurons to drive sodium appetite (Jarvie & Palmiter, 2017; Resch *et al.*, 2017). Interestingly, these aldosterone sensing neurons are anatomically localised to where we observed the most intense signal of PER2::LUC bioluminescence in the NTS in Chapter 4, strongly indicating that these neurons are part of the oscillatory population. Furthermore, both aldosterone sensing neurons in the NTS and thirst driving neurons in the SFO in response to ATII both project to the BNST (Matsuda *et al.*, 2016; Jarvie & Palmiter, 2017), identifying the BNST as a likely point of convergence in the neural control

of salt appetite. In light of my findings, it is possible that local oscillations in these areas play important roles in this network mediated homeostatic mechanism (**Fig 5.2**).

Mediating the baroreflex is a key role of the DVC. Additionally, the SFO has also been implicated in baroreflex control through direct action of ATII exciting SFO efferents to PVN neurons which then project to the DVC cardiovascular regulatory sites (Bains & Ferguson, 1995; Tanaka *et al.*, 1995) (**Fig 5.2**). The AP also plays a key role in the baroreflex through the opposing actions of ATII and AVP, as ATII weakens this reflex while AVP enhances it (Cox *et al.*, 1990; Xue *et al.*, 2003). Blood pressure displays clear circadian regulation (Millar-Craig *et al.*, 1978), therefore further investigations will be able to elude to the potential role of local clocks in these baroreflex mediatory areas. As with many physiological systems, blood pressure control displays a great deal of redundancy, therefore the removal of the clock in only one of these areas will more than likely not affect overall circadian control on blood pressure. Perhaps when viewed as a network, removing local clocks from all involved extra-SCN oscillators might reveal their overall contribution to this mechanism.

The NTS has also been implicated in the control of brown adipose tissue (BAT) thermogenesis, a phenomenon integral to homeostatic thermoregulation and energy balance (Cao *et al.*, 2004). Typically, BAT is induced through thermosensory information being relayed to the rostral raphe pallidus (rRPA) via the MnPO and the DMH (Morrison *et al.*, 2012). A separate pathway via vagal activation of second order neurons in the NTS results in inhibition of BAT through GABAergic signalling to the rRPA (Madden *et al.*, 2017) (**Fig 5.2**). Thus, the NTS is implicated in a homeostatic response in opposite action to that of the MnPO and DMH, areas also displaying local circadian rhythmicity (Guilding *et al.*, 2009; Moreno *et al.*, 2014). With body temperature displaying clear circadian variation, localised temporal control in these areas could be a contributing factor to these temperature rhythms.



Figure 5.2 Local clock homeostatic networks.

Neural pathways involved in three key homeostatic areas, baroreflex, salt appetite and BAT activation. These pathways use areas which this thesis has demonstrated to contain robust autonomous clocks; the SFO, OVLT, AP and NTS.

5.1.4 The AP/NTS diffusion barrier is under circadian control

The finding that barrier control in the AP/NTS complex might be under circadian regulation has implications for many systems. In our hands, the early night increase in barrier permeability was seen only in the hindbrain and not in the other sCVOs. Furthermore, the extravasation of EB dye was concentrated in the medial areas of the NTS, thus the functionality of this phenomenon must be limited to neurons in that region. Earlier studies have demonstrated that this medial subregion of the NTS contained fenestrated capillaries more similar to the AP than to other brain areas (Gross *et al.*, 1990) and that there was extracellular leakage of immunoglobulins into the NTS from the AP (Broadwell & Sofroniew, 1993). A recent observation by Gasparini *et al.* (2019) noted that there was a diffusion gradient between the AP and NTS when injecting with Fluorogold, with a similar amount of extravasation to our study. After Fluorogold was administered the authors waited for 24 h before tissue collection, therefore a whole circadian cycle had elapsed encompassing the time with increased AP/NTS barrier permeability. Thus, these studies in conjunction with my findings indicate that a diffusion gradient exists between the AP/NTS, which is likely to

be under circadian control. Knowledge of this time of day change in barrier permeability could be therapeutically in useful in cases such as leptin resistance, whereby increased circulating levels of leptin due to obesity become saturated in BBB transport and contribute to the effects of leptin resistance (Gruzdeva *et al.*, 2019). Therefore, timed delivery of substances aimed at controlling energy balance including augmenting satiety signals acting via the NTS could be an avenue for future treatment.

In general, disrupted BBB permeability is observed during certain CNS pathologies such as stroke or Alzheimer's disease and is not associated with healthy states (Sweeney *et al.*, 2019). Other studies have also demonstrated evidence of circadian control over BBB systems. One such study demonstrated in *Drosophila* that BBB permeability was higher at night, and that this was regulated by the molecular clock in glial cells controlling temporal tight junction expression (Zhang *et al.*, 2018). The molecular clock has also been implicated in the control of astrocyte function, where *nestin* lineage *Bmal1* knockdown increased BBB permeability, due to an age dependent loss of pericyte integrity with *nestin* observed to be present in pericytes (Nakazato *et al.*, 2017). *Bmal1* in astrocytes has also been demonstrated in controlling astrocyte activation (Lananna *et al.*, 2018). Thus, the molecular clockwork in astrocytes is likely to be controlling temporal changes in the AP/NTS glial barrier permeability, through regulation of tight junction protein availability and/or through morphological changes.

5.1.5 Local clocks and aging

Many neurological disorders are associated with aging. Age-related decline in circadian rhythmicity has been demonstrated in many systems and is implicated in pathologies such as Alzheimer's disease (Van Erum *et al.*, 2018). A common observation among the aging population is a lack of hunger and thirst, often leading to severe dehydration (Begg, 2017). Aging dampens MUA oscillations in the SCN in middle aged mice (Nakamura *et al.*, 2011), and molecular rhythms of clock genes in the hippocampus were lost in aging hamsters (Duncan *et al.*, 2013). In addition, Bonaconsa *et al.* (2014) demonstrated a lack of rhythms in clock genes in the SCN and peripheral tissues and unpublished work from our group demonstrates PER2::LUC rhythms are damped in the MBH in aged mice. This indicates that other extra-SCN oscillators such as the SFO and OVLT could be damped with age, and

perhaps it is the lack of rhythms in areas crucial for the perception of thirst that could be contributing to age-related problems associated with fluid balance.

5.2 Future Directions and Limitations

5.2.1 Investigating sleep drive and energy balance

As eluded to by my research in Chapter 2, daytime restricted feeding reduces sleep drive but maintains overall vigilance state homeostasis. There is clearly an interaction between the development of stable food entrainment, shown through the appearance of FAA, and sleep homeostatic mechanisms. It is therefore of major interest to the sleep and circadian fields to further elucidate the extent of the interaction of the drive to sleep versus the need to seek food and try to establish where they overlap or override each other in regulating behaviour. One possible experimental outline to investigate this would be to perform increasing amounts of sleep deprivation after food entrainment has been established, ensuring 1 h prior to meal time is left undisturbed to observe when sleep drive overrides the FAA. In this case, sleep homeostasis might be lost and instead reveal allostatic compensation (Kim *et al.*, 2007), whereby sleep is not regained in proportion to accumulated sleep debt but instead total sleep amount could be reduced to maintain arousal and food seeking behaviour.

During classic food entrainment experiments determining the FEO as a true oscillator, animals were allowed to recover with *ad lib* food for 5-7 days before then being fasted for 48 h, whereby food anticipation should occur at the time in phase with the previous feeding zeitgeber (Mistlberger, 2011). One way to elucidate the role of reduced sleep drive with FAA would be to perform a 48 h fast in naïve animals, allow recovery and then undergo a classic restricted feeding paradigm. Following recovery from this, a further 48 h fast should be performed to observe the re-emergence of FAA. Acute fasting increases activity (Gallardo *et al.*, 2014) and decreases total sleep (Mieda *et al.*, 2004) in mice, therefore it would be interesting to observe and compare the sleep homeostatic responses during the above experiment. One hypothesis would be that SWA compensation is increased in the

naïve fasted vs RF conditions, but is perhaps reduced during the post-RF fast, engaging the compensatory mechanisms that occurred during RF.

In addition to chronic EEG recordings, the ability to simultaneously record local field potentials (LFPs) from individual neurons provides an extra information regarding the neural processes relating to the regulation of sleep homeostasis (Fisher *et al.*, 2016; McKillop *et al.*, 2018). Repeating the RF paradigm with LFP cortical recordings could reveal interesting insights at the level of cortical neuronal control during adaption and subsequent entrainment to daytime food restriction. This would enable the discovery of potential regulatory areas that demonstrate up or down states during FAA, leading to a better understanding of what drives this phenomenon.

5.2.2 Localised circadian control in extra-SCN oscillators

Vasopressin neuronal connections from the SCN to the OVLT play a role in the generation of circadian drinking rhythms (Gizowski *et al.*, 2016). However, my discoveries of endogenous clocks in the SFO and OVLT in Chapter 3 raise the intriguing possibility into the local control of rhythmic drinking behaviour by sCVOs. By using *cre/lox* technology and localised injections targeting the SFO and OVLT, it is possible to knockdown the local molecular clock in these areas (e.g. *Bmal1*-flox and adeno-associated virus-*cre* injections). Removing the clock in the SFO and OVLT individually, as well as together, would enable the assessment of the individual and collaborative roles of localised circadian control. Observations of drinking rhythms over 24 h would determine their role in the circadian patterning of drinking behaviour, and to observe whether the anticipatory period of drinking is attenuated when one or both of these local clocks are removed. Furthermore, performing water or sodium depletion challenges at different times of day might provide information on the role of the clock in the response to acute thirst driving challenges.

As well as fluid homeostasis, the SFO has also been demonstrated to be responsive to metabolic and immune signals, highlighting its potential role in a myriad of other process (Ferguson, 2014). So far, my research into the contribution of the clock in the SFO has been hypothesis directed through specific investigation into known circadian regulated genes and core clock gene involvement. It would therefore be prudent to investigate the SFO

from a "bottom up" perspective using *in vivo* RNA sequencing of the SFO at multiple time points across the circadian cycle in attempt to elucidate which processes the local clock is controlling. Experiments such as these have been performed to good effect in other local clocks (e.g. the mammary gland (Yang *et al.*, 2017)) enabling the discovery of tissue specific process that are under circadian control.

5.2.3 Identification of oscillator neuron type in the NTS

The NTS is a contains a heterogenous population expressing a wide variety of neuropeptides and neuromodulators (Rinaman, 2010; Garfield *et al.*, 2012). As we only observed bioluminescence in a specific area of the NTS in Chapter 4, it would be of great interest to identify which neuronal populations are oscillating and, of perhaps even greater interest, to locate where in the brain these neurons project to. This would help elucidate which pathways and processes the local NTS is clock is controlling, however implementing these aims would be complex. Using *cre/lox* technology it would be possible to locally remove the clock in (for example) NPY or GLP-1 expressing neurons. Following, a battery of metabolic profiling and behavioural tests should be performed in order to observe any effects of clock control, such as 24 h glucose sampling and behavioural response to fasting e.g. meal size. These should be followed by *ex vivo* assessment of rhythms using MUA or PER2::LUC bioluminescent recordings. If successful, the identified oscillatory population (for example medial NTS NPY neurons) could be used as targets for efferent projection tracing utilising synaptophysin viral tracing techniques, as successfully demonstrated by Jarvie and Palmiter (2017) to identify the projections of NTS aldosterone neurons.

It was recently demonstrated using a combination of fluorescent reporters and single cell transcriptome profiling that agouti-related peptide (AgRP) neurones in the Arc (comprehensively studied for their role in energy balance) displayed morning/evening differences in the transcription of pathways involved in neuropeptide signalling and metabolism. These authors also demonstrated that leptin induced transcriptional responses are mediated by clocks in these AgRP neurons (Cedernaes *et al.*, 2019). A similar approach could be used in the NTS to assess exactly which metabolic pathways are under temporal control in previously identified oscillatory neuron populations.

5.2.4 Temporal barrier control

The observation that there is a time of day permeability change to blood borne molecules in the AP glial barrier necessitates further experiments in order to determine mechanism and *in vivo* functionality. Firstly, more investigations into the extent of this permeability must be carried out to gain a better understanding of which molecules are passing through. In Chapter 4 I demonstrated changes in barrier permeability through perfusion of EB, possessing a molecular weight of 961 Da. The next step would be to intravenously administer a bolus of EB (binds albumin at 69,000 Da) and horseradish peroxidase (40,000 Da) (Kaya & Ahishali, 2011) before tissue collection at early day and early night timepoints and observe the extent to which these are permeable at the AP/NTS border in comparison to my previous results.

Determining the role of the astrocyte clock could be achieved through using the Aldh1L1-Cre (an astrocyte specific marker) and locally injecting an AAV with short guide RNAs against BMAL1, as successfully demonstrated in the SCN by (Tso *et al.*, 2017). Time of day barrier permeability tests should then be implemented to assess whether it is the local astrocyte clock regulating the temporal changes in permeability. Further implications of functional significance of the barrier clock could be achieved through time of day intravenous administration of leptin or CCK with subsequent monitoring of food intake.

5.2.5 Limitations

As with all scientific research, there were some limitations in my methods and experimental design which I shall now briefly discuss here.

In Chapter 2, one limitation of our study was that we did not weigh the daily amount of food eaten; this was due to the nature of the cage set up and the desire to minimise disturbance of the mice during the light period so that any observed changes in wake state could be attributed to changes in food availability. As previously described, bouts of torpor were observed sporadically, thus one representative day of RF in which no torpor bouts were observed was chosen for subsequent analysis. Additionally, we only performed recordings in male mice and as there are some sex differences in food anticipation (Li *et al.*, 2015), we cannot necessarily generalise these results to female mice.

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In Chapter 3, only the SFO and not the OVLT was screened for *in vivo* circadian regulated gene expression. This was due to difficulty in the precise dissection of the OVLT for which the anatomical boundaries and landmarks are less clear than for the SFO. Further, since the bioluminescence signal from the OVLT was limited to a specific area, we were uncertain as to whether we could limit our laser-captured and dissected of OVLT to the area displaying the more robust PER2::LUC signal. A further limitation of our studies in Chapters 3 and 4 was slightly coarse temporal (every 6h) sampling for the qPCR experiments. This makes it difficult to precisely delineate the peak and trough of expression and increasing the temporal resolution would have addressed this. As described above, we used males only for these studies of novel extra-SCN oscillators and inclusion of a cohort of female mice would have been an appropriate addition. However, due to the long-term nature of these experiments and time constraints on equipment usage, it was not feasible to include female mice in these studies. Along a similar line of reasoning and to maintain consistency, the time of cull/culture procedure was kept constant throughout and as such, we are not able to conclusively say that these extra-SCN oscillators were not reset by the culture procedure as is the case with other extra-SCN oscillators (Guilding et al., 2009; Ono et al., 2015).

In Chapter 4, the sampling of the acute MUA recordings were performed 12 h apart (at ZT3-4 and ZT15-16) which is not quite in-line with the highest and lowest sMUA values displayed during our 24 h recordings (~ZT9-10 peak activity). These recording windows were selected in order to best mimic the times of day when some metabolic signals are predictably at their lowest and highest *in vivo* across the circadian cycle, i.e. before and after the majority of food consumption at the start of the active phase (Acosta-Rodriguez *et al.*, 2017). Moreover, our selected sampling times were designed to ensure that mice were not culled during the dark phase, which can potentially reset the phasing of brain slice electrical activity (unpublished data from Belle *et al.*). One further limitation in Chapter 4 was performing EB permeability studies at only two opposing timepoints, and thus we might have missed the peak time of increased permeability. However, as these times were chosen in accordance with the nadir of tight junction protein expression it is unlikely that peak permeability is far from what we have shown.

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