### Hearing in middle age: a population snapshot of 40-69 year olds in the UK

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Piers Dawes<sup>1</sup>, Heather Fortnum<sup>2</sup>, David R. Moore<sup>2,3</sup>, Richard Emsley<sup>4</sup>, Paul Norman<sup>5</sup>, Karen
 Cruickshanks<sup>6</sup>, Adrian Davis<sup>7</sup>, Mark Edmondson-Jones<sup>2, 8</sup>, Abby McCormack<sup>2</sup>, Mark Lutman<sup>9</sup>,
 Kevin Munro<sup>1,10</sup>

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<sup>1</sup>School of Psychological Sciences, University of Manchester, <sup>2</sup>NIHR Nottingham Hearing 7 Biomedical Research Unit, University of Nottingham, <sup>3</sup>Cincinnati Children's Hospital Medical 8 Center, <sup>4</sup> Centre for Biostatistics, Institute of Population Health, University of Manchester, <sup>5</sup> 9 School of Geography, University of Leeds, <sup>6</sup> Population Health Sciences and Ophthalmology 10 and Visual Sciences, School of Medicine and Public Health, University of Wisconsin, <sup>7</sup> Roval 11 Free Hampstead NHS Trust, <sup>8</sup>School of Medicine, University of Nottingham, <sup>9</sup>The Institute of 12 Sound and Vibration Research, University of Southampton, <sup>10</sup>Central Manchester University 13 14 Hospitals NHS Foundation Trust, Manchester Academic Health Science Centre, Oxford Road, 15 Manchester, UK

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Objective: To report population-based prevalence of hearing impairment based on speech recognition in noise testing in a large and inclusive sample of UK adults aged 40 to 69 years. The present study is the first to report such data. Prevalence of tinnitus and use of hearing aids is also reported.

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23 Design: The research was conducted using the UK Biobank resource. The better-ear unaided

24 speech reception threshold was measured adaptively using the Digit Triplet Test (n =

25 164,770). Self-report data on tinnitus, hearing aid use, noise exposure as well as

26 demographic variables were collected.

27

28 **Results:** Overall, 10.7% of adults (95%Cl 10.5-10.9%) had significant hearing impairment.

29 Prevalence of tinnitus was 16.9% (95%Cl 16.6-17.1%) and hearing aid use was 2.0% (95%Cl

30 1.9-2.1%). Odds of hearing impairment increased with age, with a history of work- and

31	music-related noise exposure, for lower socioeconomic background and for ethnic minority
32	backgrounds. Males were at no higher risk of hearing impairment than females.
33	
34	Conclusion: Around 1 in 10 adults aged 40 to 69 years have substantial hearing impairment.
35	The reasons for excess risk of hearing impairment particularly for those from low
36	socioeconomic and ethnic minority backgrounds require identification, as this represents a
37	serious health inequality. The underutilization of hearing aids has altered little since the
38	1980s, and is a major cause for concern.
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41	INTRODUCTION
42	Hearing loss represents a substantial burden on society (Mathers et al. 2006) and on
43	individuals in terms of reduced emotional, social and physical well-being (Arlinger 2003;
44	Chia et al. 2007; Dalton et al. 2003; Gopinath, Wang, et al. 2009; Mulrow, Aguilar, Endicott,
45	Velez, et al. 1990; Strawbridge et al. 2000). Good hearing across the life course is vital in
46	terms of people's ability to carry out everyday activities at home, at work and at leisure. To
47	date, the epidemiology of hearing has primarily focused on hearing loss, or sensitivity
48	measured by detection of very quiet pure tones of varying frequencies (Agrawal et al. 2008;
49	Cruickshanks et al. 1998; Davis 1989; Gates et al. 1990; Mościcki et al. 1985; D. H. Wilson et
50	al. 1999). Measures of hearing loss, however, are poor predictors of hearing disability (i.e.
51	the impact of hearing difficulties in daily life), with correlations between measures of
52	disability and loss ranging between 0.3 and 0.6 depending on the type of disability measure
53	and range of hearing loss (Anderson et al. 1995; Koike et al. 1994; Lutman et al. 1987;
54	Meijer et al. 2003; Newman et al. 1990).
55	
56	In order to better index hearing problems that impact on daily life, use of speech
57	recognition tests as a supplement to tests of hearing sensitivity has been advocated in
58	clinical audiology (Arlinger et al. 2009; Kramer et al. 1996). In the present paper, we refer to
59	poor performance on tests of speech recognition as 'hearing impairment'. As listening in
60	noise is a key function of hearing, and difficulty hearing in noise is the most common
61	complaint by people with hearing loss, speech recognition testing in noise arguably provides

62 a more ecologically valid measure of hearing than detection of tones in a quiet environment

(Arlinger et al. 2009). The present study provides estimates of the prevalence of hearing
impairment in the general UK population based on speech-in-noise testing using the Digit
Triplet Test (DTT; Smits, Kapetyn & Houtgast, 2004). Because the DTT correlates with
measures of hearing sensitivity (PTA; r = 0.77; Smits et al. 2004) and with other speech
recognition measures (such as with Plomp and Mimpen's (1979) Sentences in Noise; r =
0.85; Smits et al. 2004), it may be regarded as being both an indirect index of hearing loss
and a measure of hearing impairment.

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71 There has been a surge of interest in speech recognition testing in large-scale screening for 72 clinical audiological services in the UK and Europe, Australia and the US (Meyers et al. 2011; 73 Vlaming et al. 2011; Watson et al. 2012). Despite this interest and an extensive body of lab-74 based research in speech recognition, very little population-based research has been 75 reported. We identified only three studies. The first included male participants aged 20 to 76 64 years recruited from an engineering firm, and older male and female participants up to 77 89 years recruited from nursing homes, with a total sample size of 212 (Plomp et al. 1979). 78 The second study did not report any demographic information other than the age of the 75 79 participants in the study, which ranged between 20 to 79 years (R. H. Wilson et al. 2002). 80 The third included 1086 adults aged over 60 years in the Netherlands (Smits et al. 2006). The 81 levels of self-reported hearing problems in the study sample were similar to those in the 82 population-based sample from which the study sample was drawn. However, no other 83 information on the comparability of the study sample to the general Dutch population was 84 reported. All three studies suggested worse speech recognition in noise with age, 85 particularly after the age of 50-60 years. For all studies, the generalizability of the results is 86 uncertain, and only limited descriptions of the prevalence of hearing impairment according 87 to demographic variables were possible. 88

The study utilised the UK Biobank resource (Collins 2012), in which 164,770 participants completed the DTT. To our knowledge no previous study has reported prevalence data for hearing impairment with a sample of this large size and wide coverage. The primary aim of the study was to provide an objective current estimate of the burden associated with

- hearing difficulties among UK adults aged 40 to 69 years. Secondary aims were to document
   associated demographics as well as prevalence of tinnitus and hearing aid use.

#### PARTICIPANTS AND METHODS

UK Biobank was established for investigations of the genetic, environmental and lifestyle causes of diseases of middle and older age. Recruitment was carried out via the UK National Health Service and aimed to be as inclusive and representative as possible of the population. Stratification and over-sampling were employed to maintain comparability with demographic statistics based on the 2001 UK Census (Office for National Statistics 2005). Overall, 9.2 million invitations were sent to recruit 503,325 participants over the course of 2006-2010, giving a response rate of 5.47%. Table 1 shows sex, ethnicity and Townsend deprivation index score (a proxy measure of socioeconomic status; see below) for the UK Biobank sample aged 40 to 69 years and for the corresponding section of the UK population as reported in the 2001 UK Census. The UK Biobank contains a slightly higher proportion of females, people of White ethnicity and people living in less deprived areas than the general population. As data collection proceeded, additional measures were included for a subset of participants. Data were obtained from 164,770 participants for the hearing measure (Digit Triplet Test). Different numbers of participants completed self-report questions (dependent on when the question was included in the measurement protocol and contingent on responses to earlier questions), and the size of each sub-sample for each question is reported in Appendix A. 

123 Table 1. Participants in the UK Biobank versus 2001 UK Census data for sex, age, ethnicity

124 and socio-economic status. Sex and ethnicity are shown as percentages while socio-economic

125 status is reported as average Townsend deprivation index score (with standard deviation).

		UK Biobank	UK Census 2001
Sex	Male	45.6	49.2
Age group (years)	40-44	10.4	20.1
	45-49	13.2	18.0
	50-54	15.3	19.3
	55-59	18.2	16.3
	60-64	24.3	13.8
	65-69	18.7	12.5
Ethnicity	White	94.1	91.3
	Mixed	0.6	1.3
	Asian or Asian British	2.0	4.4
	Black or Black British	1.6	2.2
	Chinese	0.3	0.4
	Other ethnic group	0.9	0.4
	Prefer not to answer	0.3	-
	Missing data	0.2	-
Socioeconomic status	Mean Townsend score* (SD)	-1.3 (3.1)	0.7 (4.2)

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129 Volunteers attended an assessment centre and gave informed consent. They completed an

130 assessment of approximately 90 minutes duration which included a computerised

131 questionnaire (on lifestyle, environment and medical history) and physical measures

132 including hearing testing. Information on the procedure and the additional data collected

133 can be found elsewhere (<u>http://www.ukbiobank.ac.uk/</u>).

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135 Data on sex and ethnicity (2001 UK Census categories) and the area of residence translated

136 to Townsend deprivation score were collected for each participant. The Townsend

137 deprivation scheme is widely used in health studies as a proxy for socioeconomic status, and

- 138 is applicable across the UK's constituent countries (Norman 2010). It comprises four input
- 139 variables on unemployment, non-car ownership, non-home ownership and household
- 140 overcrowding which are used to allocate a score to a small area geography<sup>1</sup>. Each variable is
- 141 expressed as a z-score relative to the national level which are then summed, equally
- 142 weighted, to give a single deprivation score for each area. Lower Townsend scores represent

<sup>&</sup>lt;sup>1</sup> Electoral wards in England, Wales and Northern Ireland, postal sectors in Scotland

- 143 areas associated with less deprived socioeconomic status. Self-report questions on tinnitus,
- 144 hearing aid use, amount of music- and work-related noise exposure are tabled in Table 2.
- 145 Tinnitus identification was based on self-report of ringing or buzzing in the head or one or
- 146 both ears that lasts for more than five minutes at a time and is currently experienced at
- 147 least some of the time.
- 148
- 149 Table 2. Self-report questions and the size of subsample for each question. Response
- 150 options are shown in brackets.
- 151

Question	Number of respondents in the subsample (n)
Do you get or have you had noises (such as ringing or buzzing) in your head or in one or both ears that lasts for more than five minutes at a time? (Yes, now most or all of the time; Yes, now a lot of the time; Yes, now some of the time; Yes, not now, but have in the past; No, never; Do not know; Prefer not to answer)	171,736
Do you use a hearing aid most of the time? (Yes; No; Prefer not to answer)	164,770
Have you ever worked in a noisy place where you had to shout to be heard? (Yes, for more than 5 years; Yes, for around 1-5 years; Yes, for less than a year; No; Do not know; Prefer not to answer)	171,736
Have you ever listened to music for more than 3 hours per week at a volume which you would need to shout to be heard or, if wearing headphones, someone else would need to shout for you to hear them?	171,736
(Yes, for more than 5 years; Yes, for around 1-5 years; Yes, for less than a year; No; Do not know; Prefer not to answer)	

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### 154 Digit Triplet Test

- 155 The Digit Triplet Test (DTT) is a speech-in-noise test originally developed in Dutch (Smits et
- al. 2004) for reliable large scale hearing screening (Vlaming et al. 2011). Telephone and
- 157 internet-based versions of the DTT for adult hearing screening have been implemented in
- 158 the Netherlands, United Kingdom, Australia, Poland, Switzerland, Germany, France and the
- 159 USA (Watson et al. 2012). The English speech materials for the UK Biobank DTT were
- 160 developed at the University of Southampton (Hall 2006). The DTT is described elsewhere

161 (http://biobank.ctsu.ox.ac.uk/crystal/label.cgi?id=100049). Briefly, fifteen sets of three 162 monosyllabic digits (e.g. 1-5-8) were presented via circumaural headphones 163 (Sennheiser HD-25). Each ear was tested separately with the order of testing randomised 164 across participants. Participants first set the volume of the stimuli to a comfortable level. 165 Digit triplets were then presented in a background of noise shaped to match the spectrum 166 of the speech stimuli. Noise levels varied adaptively after each triplet to estimate the SNR 167 for 50% correct recognition of the three digits via touchscreen response. The recognition 168 threshold was taken as the mean SNR for the last eight triplets. Testing of each ear took 169 around 4 minutes. Lower (more negative) scores correspond to better performance. In the 170 present study, hearing disability was based on 'better ear' performance (i.e. the ear with the 171 lower recognition threshold) categorised with reference to a group consisted of 20 172 volunteers with normal hearing aged 18 to 29 years who performed the UK Biobank version 173 of the DTT tested by the first author. Normal hearing was defined as pure tone audiometric 174 thresholds <25 dB HL between 250 Hz and 8,000 Hz bilaterally. For the normative group, 175 mean speech reception threshold in the better ear was -8.00 dB SNR, SD = 1.24. 176 Performance categories were based on those used by the UK telephone hearing screening 177 version of the DTT (http://www.actiononhearingloss.org.uk/). Cut-off scores were thus 'Normal'; SRT < -5.5 dB, 'Insufficient'; -5.5 dB to -3.5 dB and 'Poor'; SRT > -3.5  $dB^2$ . 178 179

### 180 Data analysis

181 All analyses were performed in Stata version 12.1. Within each subsample, iterative 182 proportional fitting was used (IPF, or raking; *ipfweight* command in Stata) in each age 183 category to adjust the subsample margins to known population margins of sex, ethnicity and 184 socioeconomic status from the 2001 UK Census. For the overall age category (40-69 year-185 olds), age was included as an additional weighting variable. With respect to socioeconomic 186 status, deciles of deprivation weighted for each five year age-group using 2001 UK Census 187 data were linked to each participant. This allowed for the Biobank sample being selective of 188 people living in slightly less deprived circumstances and that the distribution of people

<sup>&</sup>lt;sup>2</sup> To facilitate comparability, the category names ('insufficient' and 'poor') are the same as those used in previous publications concerning the DTT (Hall 2006; Smits et al. 2004; Vlaming et al. 2011). The cut-off for the 'insufficient' category is performance lower than -2 standard deviations with respect to the normative sample while the 'poor' category is defined by a further 2 dB step, which corresponds to an increase of hearing threshold level of around 10 dB (Smits et al. 2004; Vlaming et al. 2011).

189 across differently deprived areas varies by age. As different subsets of participants 190 completed each measure, the weights were calculated separately within subsamples based 191 on whether the respective outcome variable was observed. This assumes that missing data 192 may be ignored because the reason for missing data is not systematically related to the 193 outcome variable. Missing data were primarily accounted for by the inclusion of measures 194 at different points over the course of data collection, and this was unrelated to the hearing 195 status of participants. The IPF procedure performs a stepwise adjustment of survey 196 sampling weights until the difference between the observed subsample margins and the 197 known population margins across sex, ethnicity and socioeconomic status is less than a 198 specified tolerance, which was set at 0.2%. Convergence of the IPF procedure was achieved 199 within 10 iterations for all subsamples and age categories. The subsamples were weighted 200 and the crosstabulations performed to generate the population prevalence estimates. 201 Multinomial logistic regression was used to model the effects of age, sex, socioeconomic 202 status, work- and music-related noise exposure and ethnicity on hearing difficulties. 203 204 RESULTS 205 Prevalence data are presented graphically. For numerical values, see the Supplementary

Data files. Figure 1 shows that the prevalence of hearing difficulties increases with age, with an acceleration in prevalence beginning in the 55-59 year-old age group. The proportional increase in hearing difficulties between the youngest and the oldest age group was 3.9-fold.

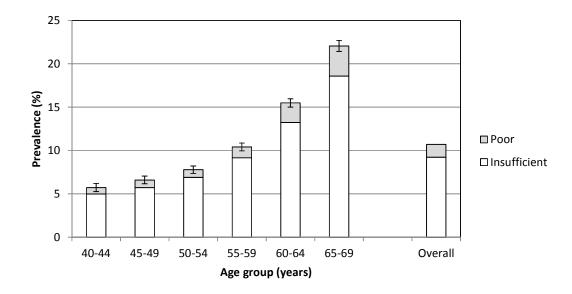
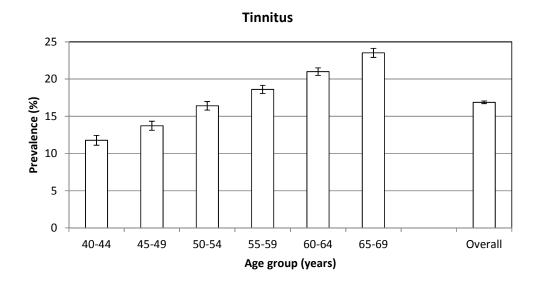


Fig. 1. Prevalence (%) of hearing disability based on Digit Triplet Test performance in the better ear by age group. Error bars show the 95% confidence interval for performance outside the normal range (insufficient/poor).

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216 Tinnitus shows a pattern of increase with age (Figure 2), although this follows a more

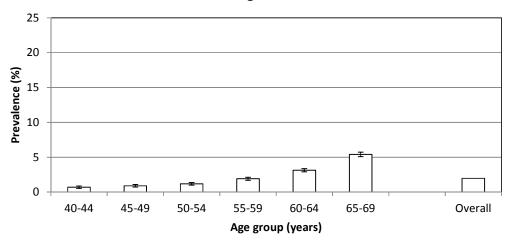
- 217 gradual linear pattern than for DTT performance. The proportional increase in tinnitus
- 218 between the youngest and oldest age groups was 2-fold. Hearing aid use (Figure 3) was
- 219 2.0% overall, and usage accelerated with age (a 7.4-fold increase between youngest and
- 220 oldest age groups). Among the 'poor' category of hearing, only 21.5% reported using a
- 221 hearing aid and those with hearing aids had significantly lower (less deprived) Townsend
- 222 levels than those without (-0.63 versus 0.15; t(3150) = 5.42, p < 0.001).
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Fig. 2. Prevalence (%) of self-reported tinnitus by age group. Tinnitus identification was based on self-report of ringing or buzzing in the head or one or both ears that lasts for more than five minutes at a time and is currently experienced at least some of the time. Error bars show the 95% confidence interval.

#### Hearing aid use



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231
232 Fig. 3. Prevalence (%) of self-reported hearing aid use by age group. Error bars show the
233 95% confidence interval.
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235 Table 3 shows odds ratios derived from multivariable logistic regression modelling of the 236 main effects for the prevalence of hearing difficulties on the Digit Triplet Test. The main 237 effects of six factors were tested including age, sex, socioeconomic status, work- and music-238 related noise exposure and ethnicity. Increasing age was associated with higher risk of 239 hearing difficulties. Those from a low socioeconomic background and those with a history of 240 work-related noise exposure were also more likely to have hearing difficulties. Music-241 related noise exposure showed an inconsistent pattern; exposure for more than 5 years was 242 associated with a small but significant increased risk of hearing impairment, exposure 243 between 1 and 5 years was not associated with increased risk, but shorter duration 244 exposure (<1 year) was. Female sex was associated with small increased odds for 245 'insufficient' speech reception threshold, while sex was not a significant factor for 'poor' 246 performance. Comparison of mean performance between males and females suggested no 247 significant difference the speech reception threshold in younger age groups (40-44 year-248 olds: males -7.82 dB, females -6.76 dB; t(17136) = -2.3 p = 0.29) while females tended to 249 have slightly better mean performance in the oldest age groups (65-69 year-olds: males -250 6.65 dB, females -6.79 dB; t(32242) = 6.0 p < 0.001). Non-white ethnicity was associated with 251 increased risk. Logistic models were re-run to provide odds ratios for ethnic sub-groups 252 compared to White British for hearing difficulties (insufficient or poor; see Supplemental

- 253 Tables). Ethnicities at highest risk were Bangladeshi, Black African, Pakistani, Black Other
- 254 and Asian Other (ORs 5.0 to 7.1, p < 0.001).

Table 3. The odds ratios from the multivariable logistic models fitted to the prevalence of 

better-ear hearing disability based on Digit Triplet Test performance.

Factor		Odds ratio (95% confidence interval)		
		Insufficient	Poor	
Age	40-44	-	-	
	45-49	1.2*** (1.1 - 1.3)	1.2 (1.0 – 1.5)	
	50-54	1.5*** (1.4 - 1.7)	1.5*** (1.2 – 1.8	
	55-59	2.2*** (2.0 - 2.4)	2.4*** (1.9 – 2.9	
	60-64	3.3*** (3.1 - 3.6)	3.9*** (2.3 – 4.7	
	65-69	5.2*** (4.9 – 5.7)	7.5*** (6.2 – 9.0	
Sex	Female	-	-	
	Male	0.9*** (0.8 - 0.9)	1.0 (0.9-1.1)	
Ethnicity	White	-	-	
	Non-white	3.2***(3.1-3.4)	5.4** (4.9–5.9	
Socio-economic	Medium-high socioeconomic status			
status	(>-1SD) Low socioeconomic status (<-1SD) <sup>†</sup>	- 1.5*** (1.4 - 1.6)	2.0*** (1.8 – 2.2	
Work noise				
exposure	No exposure	-	-	
	Yes, for more than 5 years	1.5*** (1.4 - 1.6)	2.4*** (2.1 – 2.6	
	Yes, for around 1-5 years	1.3*** (1.1 - 1.4)	•	
	Yes, for less than a year	1.1 (1.0 - 1.1)	•	
Music noise				
exposure	No exposure	-	-	
	Yes, for more than 5 years	1.1* (1.0-1.2)	1.2* (1.0 – 1.4)	
	Yes, for around 1-5 years	1.0 (1.0 – 1.1)	1.2 (1.0 – 1.4)	
	Yes, for less than a year	1.0 (0.9 -1.1)	1.4*** (1.2 – 1.7	
*** p < 0.001				
** p < 0.01				
* p < 0.05				

olds; i.e. the most deprived 15% of the population.

270 Overall 10.7% of adults had a hearing impairment based on speech recognition in noise 271 measured with the DTT. This impairment may be expected to impact on both home and 272 work life. Prevalence increased with age particularly after the mid-50s, consistent with 273 earlier studies (Plomp and Mimpen 1979; Smits et al. 2006; R. H. Wilson and Strouse 2002). 274 The proportion of adults who reported tinnitus (16.9%) was comparable to a previous 275 estimate which used a somewhat similar measure (15.1% of those aged 41 to 70 years; 276 Davis 1995). Prevalence of tinnitus also increased with age, although the proportional 277 increase in tinnitus was smaller than for hearing impairment. 278

DISCUSSION

In the present study and in numerous previous ones, increasing age was strongly associated with hearing loss, although recent observations suggest that hearing loss may be delayed and/or the severity of hearing loss with age may be moderated (Hoffman et al. 2012; Zhan et al. 2009). Alterations in environmental, lifestyle or other modifiable risks may explain a lower prevalence of hearing loss in younger birth cohorts (Zhan et al. 2011). Given the substantial burden of hearing loss with aging, the possibility of preventing or postponing hearing loss is extremely appealing.

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287 The association between socioeconomic status and hearing has been observed in several 288 studies in addition to the present one (Davis 1989; Sixt et al. 1997). Low socioeconomic 289 status is associated with a range of modifiable lifestyle factors such as smoking, poor diet, 290 insufficient exercise and excessive alcohol intake (Poortinga 2007). All of these factors have 291 been independently associated with higher risk of hearing loss (Cruickshanks et al. 2010), 292 and this may explain the association of low socioeconomic status with hearing loss. Noise 293 exposure is a particular risk for hearing loss, and low socioeconomic status is also associated 294 with occupations involving high levels of noise exposure (Lutman et al. 1994; Lutman et al. 295 1991). Interestingly, in a study by Davis and colleagues (Davis et al. 2008), after controlling 296 for occupation-related noise exposure, smoking and drinking, the effect of current 297 socioeconomic status on hearing still accounted for up to 64% of variance in hearing 298 thresholds. Further, socioeconomic status during childhood accounted for an even higher 299 proportion. The authors concluded that adult susceptibility to hearing impairment is likely to 300 be determined by socioeconomic status-mediated experiences in childhood. Early childhood

301 and pre-natal experiences have been associated with a range of adult health outcomes,

302 particularly cardio-vascular ones (Barker 2004). Several studies also suggest an association

303 between early childhood experiences (such as birth weight, weight gain and parental

304 smoking) with risk of adult hearing loss (Barrenäs et al. 2005; Power et al. 2007; Sayer et al.

- 305 1998). Understanding and moderating the risk associated with low socioeconomic status
- and adult hearing loss may involve attention to the experiences of childhood.
- 307

308 In the present study, work-related noise exposure was associated with poor hearing, in line 309 with previous research (Cruickshanks et al. 2010). Music-related noise exposure was 310 inconsistently associated with poor hearing; exposure over 5 years or less than 1 year's 311 duration were associated with poor hearing, but exposure between 1 to 5 years was not. If 312 this is a reliable finding, one possible explanation may be that respondents reporting 313 exposure of less than 1 year's duration had few, but highly damaging exposures over a short 314 period (for example, one or two very loud rock concerts). Reliable measurement of music-315 related noise exposure is a challenge, although these data suggest that music-related 316 exposure poses a risk to hearing similar to established risks for occupational noise.

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318 Male sex was associated with slightly reduced risk of 'insufficient' category of hearing 319 difficulties, with no significant association between sex and the 'poor' category of hearing 320 difficulties. This was unexpected. Previous studies indicated that males are at increased risk 321 of hearing impairment (Agrawal et al. 2008; Cruickshanks et al. 1998; Gopinath, Rochtchina, 322 et al. 2009), although in the UK National Study of Hearing, males had only slightly increased 323 odds of mild to moderate hearing impairment, and sex was not significantly associated with 324 severe hearing impairment (Davis 1989). The present study included participants up to the 325 age of 69 years only. However, it is unlikely that the exclusion of older adults may account 326 for the lack of more substantial sex differences in hearing because in previous studies, as 327 these are already apparent by middle age. These contradictory findings might perhaps be 328 due to differences in un-modelled confounding factors associated with male sex in the 329 different populations across studies. That male sex is not a consistent risk factor might 330 suggest that the excess risks to hearing associated with male sex are modifiable 331 (Cruickshanks et al. 2012). Evidence for the modifiability of excess risk associated with male 332 sex include the observation that in the US Health Aging and Body Composition Study, sex

333 differences disappeared after multivariable adjustment which included lifestyle factors 334 (such as smoking and work-related noise exposure) (Helzner et al. 2005). There are also 335 reports of reduced sex differences in hearing loss in younger age cohorts (in the US National 336 Health and Nutrition Examination Survey; Hoffman et al. 2012, and in studies of successive 337 generations of participants in the Beaver Dam studies; Zhan et al. 2009). Previous studies 338 that utilized older age cohorts may therefore have over-estimated the magnitude of sex 339 differences in hearing, due to cohort-specific experiences of males (for example, noise 340 exposure associated with military service in the Second World War and employment in 341 'traditional' manufacturing and farming industries with high levels of work-related noise 342 exposure). Alternatively, the lack of sex differences in the present study may be due to a 343 particular characteristic of the speech-in-noise measure. The high redundancy of the speech 344 signal may mean that, as a test of speech recognition, the DTT is not sensitive to mild levels 345 of hearing loss because recognition remains unaffected. This may result in men with 346 typically mild losses not being differentiated from women with typically normal hearing. 347 However, this does not explain why there remains no excess risk for male sex for more 348 severe levels of hearing impairment. For further examination of male-female performance 349 differences on the DTT, see Moore et al. (submitted).

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351 Non-White ethnicity was associated with hearing impairment. Examination of risks 352 associated with ethnic subgroups suggested that this association is driven by ethnic 353 subgroups that are at very high risk for hearing difficulties; Bangladeshi, Black African, Black 354 Other and Pakistani in particular. This was a surprising result, as previous research in the US 355 suggested that non-White ethnicity is associated with reduced risk of hearing loss (Agrawal 356 et al. 2008). This was suspected to be due to the protective effects of melanin against 357 hearing loss in the cochlea (Barrenäs et al. 1991). The finding of higher risk for hearing loss 358 in the present study does accord with findings of poorer general health within ethnic 359 minorities in the UK, however (Department of Health 2001). The particular ethnic minorities 360 associated with the poorest general health indices tended to be the same as those in the 361 present study associated with poor hearing. Suggested reasons for the general health 362 inequality of ethnic minorities centre on culture and lifestyle, socioeconomic factors, 363 reduced uptake of services and biological susceptibility (Smith et al. 2000). In the case of 364 hearing, it may be that in the UK, other risk factors outweigh the biological resilience of non-

White ethnicity. Elucidation of the reasons for the disproportionate risk of hearing
impairment associated with ethnic subgroups would be a first step towards redressing this
particular health inequality.

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369 In the current study, 2.0% of 40 to 69 year-olds were regular hearing aid users. Hearing aid 370 ownership among 41 to 70 year-olds in the early 1980s was estimated at 2.8% (Davis 1995). 371 This represented a significant underutilization; 9.4% of 41 to 70 year-olds had a hearing loss 372 severe enough to benefit from a hearing aid (better ear average  $\geq$  35 dB HL over 0.5, 1, 2, 373 and 4 kHz). It is striking that despite significant advances in hearing aid technology and 374 improvements in provision by the National Health Service, hearing aids remain significantly 375 underutilized. Hearing loss is responsible for a substantial burden on society (Mathers and 376 Loncar 2006), impacting on emotional, social and physical well-being (Arlinger 2003; Chia et 377 al. 2007; Dalton et al. 2003; Gopinath, Wang, et al. 2009; Mulrow, Aguilar, Endicott, Velez, 378 et al. 1990; Strawbridge et al. 2000). Hearing aids ameliorate these adverse outcomes 379 (Appollonio et al. 1996; Chisolm et al. 2007; Kochkin et al. 2000; Mulrow, Aguilar, Endicott, 380 Tuley, et al. 1990) and are currently the primary treatment for hearing loss. Continued 381 underutilization of hearing aids is therefore a major public health problem. Both uptake and 382 use of hearing aids is problematic; only around 10-30% of those with hearing loss obtain 383 hearing aids and up to a quarter of hearing aid owners never use them (Chia et al. 2007; 384 Davis 1989; Hartley et al. 2010; Popelka et al. 1998).

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386 There is a large body of research into factors underlying poor hearing aid uptake and use 387 (see McCormack and Fortnum 2013 and Vestergaard Knudsen et al. 2010 for reviews). Some 388 studies have suggested that cost may be a barrier to hearing aid uptake (Chien et al. 2012), 389 although this is unlikely to be a significant barrier in the UK where hearing aids are provided 390 in a socialised health care setting where they are free at point of delivery. In the present 391 study, for those with 'poor' speech recognition, hearing aid users were from less deprived 392 areas than nonusers on average. As cost is not likely to be a strong factor, perhaps another 393 factor associated with deprivation such as awareness of options for hearing rehabilitation 394 may be an explanation. Additional factors that have been researched include motivation, 395 expectation, attitude to hearing aids, hearing sensitivity, age, gender and the effect of 396 counselling (McCormack and Fortnum 2013; Vestergaard Knudsen et al. 2010. The evidence

397 for the importance of most of these factors is mixed. One reason may be that while some 398 factors are associated with one aspect of obtaining and using hearing aids, they may not be 399 associated with others. For example, external motivation is associated with initial help-400 seeking and uptake, but not with continued use and satisfaction. Self-recognition of hearing 401 problems is the factor most consistently related with both hearing aid uptake and use 402 (Vestergaard Knudsen et al. 2010), and self-reported disability tends to be a more reliable 403 predictor of hearing aid use than audiometric threshold. However, self-report of significant 404 hearing difficulties was common in the UK National Study of Hearing (Davis 1989), so this 405 does not support low levels of self-identification of hearing difficulties as underpinning low 406 hearing aid uptake and use generally. McCormack and Fortnum (2013) report insufficient 407 hearing aid value (i.e. the hearing aid providing limited benefit) and uncomfortable fit as 408 being most commonly reported reasons for low hearing aid use.

409

410 The association of specific factors with particular steps in the process of acquiring,

411 acclimatizing to and using hearing aids suggests that strategies aimed at improving uptake 412 should focus on the desired outcome (i.e. satisfaction and use), while being prepared to 413 address likely barriers at each stage of the process (Vestergaard Knudsen et al. 2010). For 414 example, Davis and colleagues tested the acceptability of adult hearing screening in those 415 aged 55-74 years (Davis et al. 2007). Only around a quarter of those identified with hearing 416 loss used hearing aids at the time of screening. Of those who did not use hearing aids but 417 had significant hearing loss, hearing aids were accepted by ~70%. However, long-term use 418 was generally low. This suggests that the model of hearing screening in this study was 419 effective in boosting hearing aid uptake, but less good at ensuring continued use. 420 Encouragingly, there is evidence that appropriate strategies may be employed to ensure 421 high use and satisfaction in the long term. Bertoli et al (2009) reported relatively high rates 422 of long-term hearing aid use and satisfaction in Switzerland (where only 3% of hearing aid 423 owners were non-users). Bertoli et al ascribed this to the Swiss model of hearing aid 424 provision, in which candidacy is based on the degree of social and emotional handicap due 425 to hearing loss in addition to audiometric thresholds. The dispensing process also allows 426 fitting and trial of different types of devices and provides on-going counselling after fitting. 427 State health insurance covers most or all of the cost. A comprehensive strategy to boost 428 initial help-seeking and uptake as well as long-term use and satisfaction may need to

429 address particular barriers at each stage of the process of hearing aid adoption. Models of 430 this process have been proposed (e.g. Kochkin 2007), although they remain to be empirically 431 investigated. Clinical fitting and counselling are under-researched but potentially critical 432 aspects of the adoption process (Vestergaard Knudsen et al. 2010), and this may be 433 particularly relevant given recent moves in England to open hearing aid provision to 434 commercial competition (the 'any qualified provider' scheme). In addition to the above 435 suggestions, hearing aid use and uptake may be facilitated by i) making hearing care a 436 'lifestyle choice'. Currently in the UK, one must obtain a referral from a GP to attend a 437 hospital-based audiology clinic, and this may contribute to the stigmatisation of hearing loss 438 by an association with illness and infirmity. Removing the need for GP consultation and 439 increasing accessibility of good quality audiology services may reduce the stigma associated 440 with hearing aid use. (ii) Undertaking good quality trials of adult hearing screening and early 441 hearing intervention that are based on models of hearing aid uptake and which include tests 442 of the effectiveness of methods of improving hearing aid uptake and long-term use. 443 Empirical data could then be used to address barriers to uptake and use. iii) Improving 444 hearing aid technology to the level that it will significantly improve speech understanding in 445 noise. If hearing aids provided near- or even super-normal listening performance, this may 446 both remove the stigma associated with hearing aids and do away with dissatisfaction with 447 performance, a major reason for non-use (Dillon 2013).

448

449 The most significant limitation of the current study is that, despite the large number of 450 participants, the low response rate of 5.47% may have introduced unknown biases into 451 prevalence estimates that may not be accounted for by the statistical weighting procedures 452 used in this study. Representatives of the UK Biobank argued that despite the low response 453 rate, the size and coverage of the sample allows generalizable associations between 454 relevant risk factors and health outcomes (Allen et al. 2012). The size and coverage of the 455 UK Biobank sample may also give confidence in the reliability of prevalence estimates 456 reported here. Further, because the recruitment was for a general health study rather than 457 a hearing study, it is unlikely that knowledge or concerns about hearing were important 458 factors in the decision to participate. In the present study, recruitment bias was in favour of 459 ethnically White, female and more affluent participants – all of which are associated with 460 lower levels of hearing problems. One might expect that any residual or unknown bias might

also result in under-estimates of the prevalence of hearing problems. The prevalence
statistics reported in the present paper should therefore be regarded as being conservative
estimates. Finally, the present paper was primarily concerned with examining patterns of
association with hearing impairment and key demographic variables. Future work with this
data set will involve detailed analysis of associations between life-style and health-related
risk and protective factors and hearing impairment.

467 468

### CONCLUSIONS

469 This is the first study to describe the prevalence of difficulties understanding speech in 470 background noise in a large inclusive sample of UK adults aged 40 to 69 years. Older age, 471 low socioeconomic background and ethnic minority backgrounds were associated with 472 hearing difficulties, as was work- and music-related noise exposure. Hearing aids remain 473 significantly underutilised despite improvements in technology and provision, and a high 474 proportion of those who would benefit from treatment may not receive effective 475 intervention. Possible reasons for low hearing aid uptake and use may include lack of 476 recognition of difficulties, lack of awareness of treatment options, stigma associated with 477 hearing aid use, insufficient hearing aid value (i.e. the hearing aid providing limited benefit) 478 and uncomfortable fit. 479 480 ACKNOWLEDGEMENTS 481 The Nottingham Hearing Biomedical Research Unit is funded by the National Institute for 482 Health Research. DRM was supported by the Intramural Programme of the Medical

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	Digit Triplet Test (Better ear)						
Age group	Normal		Insufficient		Poor		
	Prevalence	95% CI	Prevalence	95% CI	Prevalence	95% CI	
40-44	94.28	93.82-94.75	4.98	4.54-5.41	0.74	0.57-0.92	
45-49	93.40	92.96-93.85	5.72	5.31-6.13	0.88	0.69-1.07	
50-54	92.22	91.79-92.64	6.91	6.51-7.31	0.87	0.72-1.03	
55-59	89.59	89.13-90.04	9.15	8.72-9.58	1.26	1.09-1.43	
60-64	84.52	84.03-85.00	13.24	12.79-13.69	2.24	2.01-2.47	
65-69	77.95	77.32-78.58	18.58	17.99-19.17	3.47	3.19-3.75	
Overall	89.28	89.09-89.48	9.23	9.05-9.42	1.48	1.40-1.56	

**Supplemental tables** Table 1. Prevalence (%) of hearing disability in the better ear by age group. 

	Hearing aid user		Tinnitus		
Age group	Prevalence 95% CI		Prevalence	95% CI	
40-44	0.69	0.51 - 0.88	11.78	11.13 - 12.44	
45-49	0.90	0.71 - 1.08	13.72	13.12 - 14.33	
50-54	1.18	1.00 - 1.35	16.40	15.82 - 16.97	
55-59	1.90	1.69 - 2.11	18.61	18.06 -19.16	
60-64	3.13	2.90 - 3.36	20.99	20.49 - 21.50	
65-69	5.40	5.07 - 5.73	23.52	22.92 - 24.12	
Overall	1.97	1.88 - 2.05	16.88	16.64 - 17.12	

651 <u>Table 2. Prevalence (%) of self-reported tinnitus and hearing aid use</u> by age group. Hearing aid user <u>Tinnitus</u>

Table 3. The odds ratios from the logistic models fitted to the prevalence of better-ear hearing

disability (insufficient or poor) based on Digit Triplet Test performance for ethnic sub-

groups.

	Odds	95% CI	n
Ethnic category	Ratio		
White British	-		136581
Bangladeshi	7.1***	4.2 <sup>-</sup> 12.0	68
Black African	7.0***	6.3 <sup>-</sup> 7.9	1538
Pakistani	5.4***	4.5 <sup>-</sup> 6.4	633
Black other	5.3***	2.9 <sup>-</sup> 9.6	54
Asian other	5.0***	4.3 <sup>-</sup> 5.8	884
Other ethnicity	4.5***	4.0 <sup>-</sup> 5.0	1903
Indian	4.0***	3.7 <sup>-</sup> 4.4	3251
Don't know	3.5***	2.0 <sup>-</sup> 6.4	60
Chinese	3.2***	2.6 <sup>-</sup> 3.9	589
Black Caribbean	2.7***	2.4 <sup>-</sup> 3.0	2498
White other	2.3***	2.1 <sup>-</sup> 2.4	6027
Mixed other	1.7***	1.3 <sup>-</sup> 2.3	415
Prefer not to say	1.7***	1.3 <sup>-</sup> 2.1	560
Mixed Black African	1.6*	1.0 <sup>-</sup> 2.7	154
Mixed Asian	1.4*	1.0 - 2.0	353
White Irish	1.4***	1.3 <sup>-</sup> 1.5	4656
Mixed Caribbean	1.4	0.9 <sup>-</sup> 2.0	269

\*\*\* p < 0.001 \*\* p < 0.01 

\* p < 0.05