



Assessing the sustainability of Best Available Techniques (BAT): methodology and application in the ceramic tiles industry



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ABSTRACT

This paper presents a methodology for identifying sustainable and most appropriate BAT for a given industrial installation and sector. The methodology involves identification of environmental hot spots from an installation by using life cycle assessment (LCA) to guide the selection of candidate BAT options for targeting the hot spots. The selected BAT options are then assessed on sustainability using relevant environmental, economic, technical and social indicators. This enables benchmarking of different options and selection of the most appropriate alternative(s) for the system of interest. The application of the approach is illustrated by a case study of ceramic tiles produced in Spain. The results indicate that firing and drying are the hot spots for most sustainability impacts considered. To target these, 11 BAT options used in 13 alternative configurations of the manufacturing process have been considered and assessed on sustainability. The results suggest that the most sustainable BAT options for the ceramic tiles industry, both environmentally and economically, include heat recovery from the flue gas and its clean-up with CaCO_3 and/or Ca(OH)_2 . Depending on the configuration, cost savings of up to 30% and environmental improvements of over 95% can be achieved with these BAT options.

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1. Introduction

On 6 January 2011, the EU Directive on Industrial Emissions (IED) came into force (EC, 2010), amalgamating and replacing the following seven directives on:

- integrated pollution prevention and control;
- large combustion plants;
- waste incineration;
- solvent emissions; and
- titanium dioxide (three directives related to disposal, monitoring & surveillance and programs for pollution reduction).

Among the main modifications aimed at reducing the environmental impacts caused by industrial activities, the Directive strengthens the application of Best Available Techniques (BAT) in the EU across a range of sectors, also establishing Emission Limit Values (ELV) for different polluting substances.

BAT span both the type of technology used and the way in which an installation is designed, built, maintained, operated and decommissioned (EC, 2010). The term 'available' refers to whether the BAT is reasonably accessible to the operator and its implementation is economically and technically feasible. Finally, the term 'best' means 'providing a high level of protection of the environment as a whole'. Thus BAT play a key role in improving the industrial sustainability through higher energy efficiency, reduced pollution and related environmental and economic benefits. The main criteria for choosing a BAT include the consumption and nature of raw materials, energy efficiency, the use of low-waste technology and less hazardous substances as well as the cost of its implementation.

To help companies identify BAT, the European Commission has drawn up so called 'reference documents' for different industrial sectors; these are known as BREFs. However, whilst helpful, the BREFs often include a myriad of BAT options, making it difficult to choose amongst the alternatives as different factors determine the viability of a BAT for different companies. These include internal drivers such as economic and technical as well as external aspect such as social or legal requirements. Therefore, there is a need for methodologies and tools to help companies select BAT that are appropriate for their conditions while at the same time complying

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with the Directive. This has also been recognised by other authors (e.g. Nicholas et al., 2000; Geldermann and Rentz, 2001; Kocaoglu et al., 2001; Derden et al., 2002; Doukas et al., 2006; Guo et al., 2006; Shehabuddeen et al., 2006; Mavrotas et al., 2007; Georgopoulou et al., 2008; Schollenberger et al., 2008; Gómez-López et al., 2009; Karavanas et al., 2009; Pilavachi et al., 2009; Lin and Shen, 2010; García and Caballero, 2011; Giner-Santonja et al., 2012; Liu and Wen, 2012).

Although BAT must protect the environment as a 'whole', the IED does not require the use of full life cycle assessment (LCA) to assess their environmental performance from 'cradle to grave'. This means that, while BAT aim to reduce certain direct and indirect emissions related to an installation, the full life cycle emissions and impacts are not considered. Therefore, without the use of LCA it is not possible to identify environmentally most sustainable option(s) among different BAT alternatives as some impacts could either be missed out or underestimated (Nicholas et al., 2000).

This paper proposes a methodology for identifying sustainable BAT by considering in a systematic way a range of environmental, economic and social requirements specified in the IED and the BREFs. 'Sustainable BAT' is defined here as BAT with the lowest environmental, economic and social impacts compared to alternatives. It also shows how LCA can be integrated within such a methodology to ensure that the environment is protected as a 'whole' as required by the Directive, preventing the shifting of environmental burdens upstream or downstream from the installation. The application of the methodology is illustrated by a case study of ceramic tiles produced in Spain with the aim of providing a practical guidance for improving the level of sustainability of the tiles manufacturing process through the selection of most appropriate BAT for this sector. The methodology is detailed in the next section, followed by the case study in Section 3. The conclusions are drawn in Section 4.

2. Methodology

As illustrated in Fig. 1, the methodology for identifying sustainable BAT developed in this work consists of four stages:

1. definition of the baseline system without application of BAT and estimation of life cycle environmental impacts to identify the need for different BAT (baseline scenario);

2. identification of candidate BAT and possible system configurations with the application of BAT (alternative scenarios);
3. selection of environmental, economic, technical and social indicators followed by sustainability assessment and benchmarking of candidate BAT options and alternative scenarios;
4. selection of most sustainable BAT.

These stages are described below.

2.1. Definition of baseline scenario and estimation of life cycle environmental impacts

In the first stage, the baseline system configuration without application of BAT is defined, taking into account inputs into and outputs from the system. This is followed by the estimation of both the direct emissions of the 'polluting substances' from the installation as required by the IED (EC, 2010) as well as the life cycle environmental impacts using LCA. This information is used to establish the baseline environmental performance of the system and to identify the 'hot spots' that contribute most to the impacts so that the candidate BAT can be identified in the next stage of the methodology.

2.2. Candidate BAT and alternative scenarios

For any system, there may be a wide range of candidate BAT. Their initial choice will be guided by the IED requirements (see Section 1), targeting the environmental hot spots identified in the previous stage. In addition to the environmental impacts, the choice will depend on other factors such as costs, accessibility, etc.

Once the candidate BAT have been chosen, the next step is to consider how they could be integrated into the manufacturing process. There could be numerous alternative ways to incorporate BAT into the system, depending on the specific characteristics of the installation. For example, applying a BAT to increase the energy efficiency of the system by recovering heat from flue gases before the clean-up may affect the performance of the clean-up BAT by reducing the dew point of acid gases (e.g. SO₂), thus damaging the equipment due to the formation of acid. Therefore, the type and location of each BAT are important and must be assessed carefully. To enable this, a range of alternative scenarios is defined in this stage, considering different combinations of BAT options and their optimum placement within the installation.

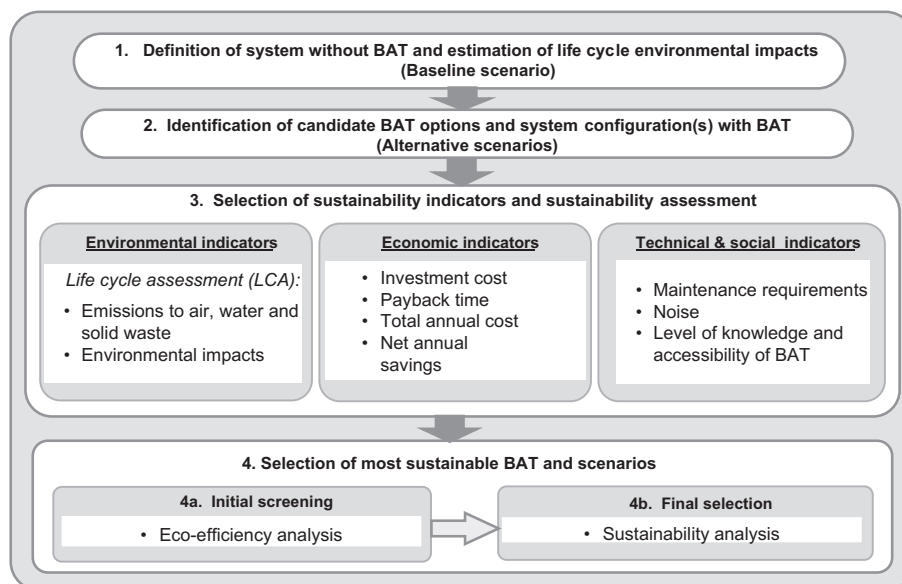


Fig. 1. Methodology for identifying sustainable BAT.

2.3. Selection of sustainability indicators and sustainability assessment

The chosen BAT options and the alternative scenarios are assessed on sustainability in this stage, using relevant environmental, economic, technical and social indicators. This will enable benchmarking of different options amongst each other as well as against the baseline scenario, helping to identify the most sustainable options.

2.3.1. Environmental indicators

As in the baseline scenario, LCA is used to assess the environmental performance of different BAT options and alternative scenarios. Therefore, the environmental indicators are those typically used in LCA and include, but are not limited to, the depletion of abiotic resources, global warming, ozone layer depletion, acidification, eutrophication and human toxicity (for definitions, see e.g. Guinée (2002)).

2.3.2. Economic indicators

A number of different indicators can be used to assess the economic sustainability of BAT. Following the guidelines in the BREF on Economics and Cross-Media Effects (EC, 2006a), four economic indicators are considered here for each BAT and scenario: investment costs, pay-back times, total annual costs and net annual savings. The pay-back time is defined as the period of time needed to recover the initial investment. According to the BAT costing methodology in EC (2006a), an investment is considered profitable when the pay-back time is equal to or shorter than three years.

Total annual cost (TAC) of a BAT comprises the annual capital, operating and maintenance costs and it can be calculated as follows (EC, 2006a):

$$TAC = C_0 \left[\frac{r(1+r)^n}{(1+r)^n - 1} \right] + OMC \quad (\text{€/yr}) \quad (1)$$

where:

C_0 – investment cost in the base year (€/yr)
 r – discount (interest) rate (–)
 n – lifetime of equipment/plant (years)
 OMC – operating and maintenance costs (€/yr)

The net annual savings (NAS) represent the difference between the avoided costs (AC) and TAC:

$$NAS = AC - TAC \quad (\text{€/yr}) \quad (2)$$

The AC represent cost savings in raw materials, energy, labour, etc. owing to the implementation of BAT and are estimated as:

$$AC = \sum_{i=1}^R [(RC_{i0} - RC_i) \times MP_i] \quad \forall (RC_{i0} - RC_i) \geq 0 \quad (\text{€/yr}) \quad (3)$$

where:

R – total number of resources (energy, water, raw material, etc.)
 RC_{i0} – annual consumption of resource i before the application of BAT (baseline scenario)
 RC_i – annual consumption of resource i after the application of BAT (alternative scenario)
 MP_i – market price of resource i

2.3.3. Technical and social indicators

Based on the BAT guidelines (EC, 2006a, 2010), the following technical and social indicators are considered here:

- maintenance requirements;
- noise; and
- level of knowledge about and accessibility of BAT.

The first indicator considers the requirements for maintenance, including the frequency and complexity involved as well as the related staff skills and training needed. As there is no agreed methodology on how to calculate this indicator, a qualitative scoring method is proposed here, with the scores ranging from 1, indicating low maintenance needs, to 4, signifying very high requirements (see Table 1). A similar approach is proposed for noise: the scores range from –1 for noise reduction by a BAT to 0 for a small or no change (<3 dBA) to 1 for increased noise levels due to the application of BAT. If more than one BAT is considered within a scenario, then the overall score for each of the two indicators is calculated as the sum of the scores for the individual BAT options.

In addition to these, it is also important that the operator has a certain level of knowledge about the BAT and that the BAT is reasonably accessible and/or applied relatively widely in industry (EC, 2010). Similar to the other two criteria, it is also proposed to measure this indicator by using a qualitative scoring method. As shown in Table 1, the scores range from 1 for no knowledge/application to 4 for high levels of knowledge and wide-spread application in industry. In this case, if there are two or more BAT in a scenario, the overall score is calculated as the average of the scores for all the BAT options that make up that scenario.

2.4. Selection of most sustainable BAT and scenarios

Based on the sustainability assessment carried out in the previous stage, this step of the methodology involves identification and selection of the most sustainable BAT option(s) and scenario(s). To aid the selection process, an initial screening is carried out by comparing the scenarios on their environmental and economic performance or 'eco-efficiency'. As a result, less efficient options are discarded and the remaining options are then compared on all the sustainability criteria considered within this methodology. This is described briefly below.

2.4.1. Initial screening: eco-efficiency analysis

For the purposes of initial screening, the alternative scenarios are compared for the net annual savings (NAS) and their emission reduction potentials (ERP) to the baseline scenario. NAS is chosen for consideration because it encapsulates all other economic criteria considered here. The ERP represent the potential of the alternative scenarios to reduce the emissions compared to the baseline scenario and are considered for all the emissions and related impacts assessed by LCA. Both NAS and ERP are expressed relative to the baseline scenario.

Table 1

Scores for different maintenance requirements, noise and level of knowledge/accessibility of BAT.

Maintenance	Score	Noise	Score	Knowledge/accessibility	Score
Low	1	Reduction	–1	No application/no knowledge	1
Medium	2	Small or no change (<3 dBA)	0	Some application/some knowledge	2
High	3	Increase	1	Reasonable application/general knowledge	3
Very high	4			Wide-spread application/extensive knowledge	4

Fig. 2 shows an example eco-efficiency graph used for the screening purposes, with the x-axis representing NAS scores and the y-axis the ERP. The NAS scores are determined on a case by case basis, depending on the level of savings and could range from -1 denoting that the costs are higher than the savings, to 5 , which would correspond to the highest NAS. As shown, the graph is divided into four parts, each indicating different levels of environmental and economic efficiency. For example, the top right-hand square represents the maximum eco-efficiency with high ERP and NAS while the diagonally opposite space corresponds to the minimum eco-efficiency. For an option to be considered further, in the approach adopted here, it has to fall in the maximum eco-efficiency square for at least one of the environmental emissions or impacts. Otherwise, it is discarded as eco-inefficient. The lines that divide the eco-efficiency space into four parts have to be defined for each case separately. For NAS, the vertical line is drawn through the middle of the scale, which in the example shown in Fig. 2 is equal to 2 . The horizontal line is positioned relative to the scenario with the highest ERP compared to the baseline scenario. The approach adopted here is based on the 50% cut-off rule, which means that the minimum acceptable ERP for all other options is 50% relative to the top-performing option. For example, as shown in Fig. 2, if scenario A achieves a 70% reduction of an emission or impact relative to the baseline scenario, then all other options must achieve at least 50% of that, i.e. 35% relative to the baseline scenario. Therefore, the ERP dividing line is set at the level of 35% on the y-axis.

2.4.2. Sustainability analysis

Once the less eco-efficient scenarios have been screened out, the remaining options are compared for all the sustainability criteria considered. Owing to the disparate nature of the indicators as well as the different units in which they are expressed, they first need to be normalised to enable cross-comparisons. The following approach has been adopted for these purposes (Afgan and Carvalho, 2002; Sadiq et al., 2005; Pilavachi et al., 2006; Wang et al., 2008):

$$\text{If a higher value of indicator is better : } z_{ij} = \frac{x_{ij} - x_{imin}}{x_{imax} - x_{imin}} \quad (4a)$$

$$\text{If a lower value of indicator is better : } z_{ij} = \frac{x_{imax} - x_{ij}}{x_{imax} - x_{imin}} \quad (4b)$$

where:

$i = 1, 2, \dots, n$ – number of sustainability indicators

$j = 1, 2, \dots, m$ – number of alternative scenarios

z_{ij} = normalised value of i th indicator for the j th scenario

x_{ij} = value of i th indicator for the j th scenario

$x_{imin} = \min(x_{i1}, x_{i2}, \dots, x_{im})$ and $x_{imax} = \max(x_{i1}, x_{i2}, \dots, x_{im})$ – minimum and maximum values of i th indicator for all scenarios.

The alternatives are then compared for the normalised values for each indicator. Since there are a number of different criteria, choosing the 'best' option will often be difficult. As an aid in this process, the results can be plotted on a 'spider' graph showing all the indicators at the same time and indicating the 'sustainability footprint' of each alternative – the smaller the footprint, the better the alternative. This approach is helpful if all sustainability criteria are considered to be of equal importance. However, this will rarely be the case as some indicators will be more important than the others. In such cases, multi-criteria decision analysis can be used to take into account different preferences for different sustainability criteria (see e.g. Azapagic and Perdan, 2005a,b).

The above methodology has been applied to a case study of ceramic tiles with the aim of demonstrating its application but also to help identify the most sustainable BAT for this sector. This is discussed in the rest of the paper.

3. Case study: the ceramic tiles sector

The manufacture of ceramic tiles is subject to the IED (EC, 2010) for installations producing over 75 tonnes per day and/or with a kiln capacity exceeding 4 m^3 and with a setting density per kiln exceeding 300 kg/m^3 . The case study considered here is based on 20 manufacturing sites in Spain, all of which are subject to the IED. Further detail on the manufacturing sites can be found in Ibáñez-Forés et al. (2011). Here, we provide an overview of the manufacturing process and the data used for the case study.

As shown in Fig. 3, the tile manufacturing process consists of seven stages: clay preparation, pressing, drying, glazing, firing, packing and palletising, and storage. The clay preparation stage involves loading of clay into silos and its transport to the pressing line. There, the atomised clay is mechanically compressed by hydraulic presses into a desired shape. The shaped clay is then dried to reduce its moisture content before the glazing stage, where the tiles are decorated by applying glazes on the surface. This is followed by firing in single-deck roller-kilns to set the tiles. The temperature and duration of firing determines the properties of the tiles such as hardness and impact resistance. The tiles are then sorted into different quality categories before being packed, palletised and stored in a warehouse.

The baseline (without BAT) and alternative scenarios (with BAT) are described in the following sections. In all cases, the analysis is based on the functional unit defined as '1 m^2 of tiles' and the system boundary is from 'cradle to gate'.

3.1. Definition of baseline scenario and estimation of life cycle environmental impacts

The baseline scenario corresponds to the system shown in Fig. 3 (without any BAT installed). The inventory data used for

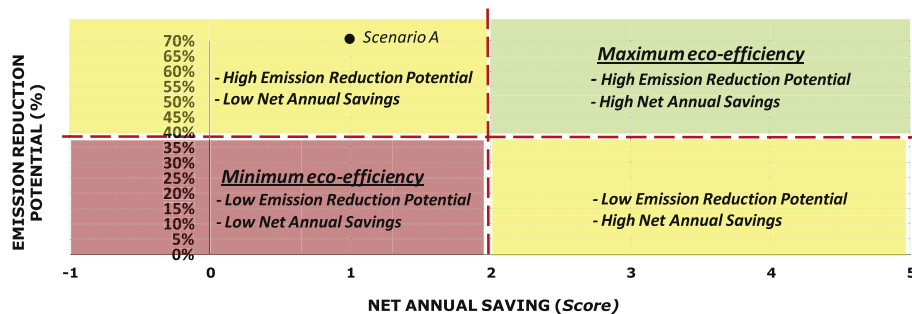


Fig. 2. Eco-efficiency analysis: an example.

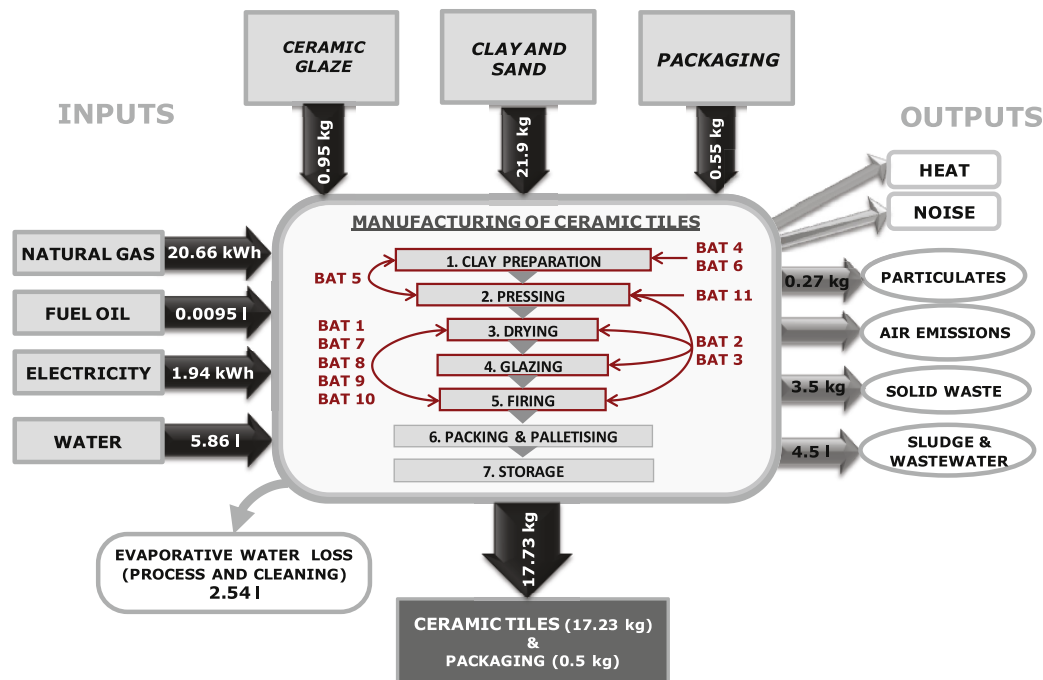


Fig. 3. Life cycle diagram for the manufacture of ceramic tiles, also indicating the location of different BAT options in the manufacturing process. [All flows expressed per 1 m² of tiles. The life cycles of all inputs and their impacts are included in the analysis.].

the estimation of the life cycle environmental impacts are summarised in Table 2 and represent the average data for 20 manufacturing sites in Spain (for details on the sites, see Ibáñez-Forés et al., 2011).

Following the recommendations by ISO 14025 (ISO, 2006) on environmental product declarations (EPDs) and relevance of different environmental impacts to the system considered (Ibáñez-Forés et al., 2011), the categories considered here are: abiotic

Table 2
Baseline scenario: inventory data for the production of ceramic tiles.

	Clay preparation	Pressing	Drying	Glazing	Firing	Packing & palletising	Storage	Other activities ^a	Total
Inputs									
Electricity (kWh/m ²)	0.12	0.48	0.12	0.33	0.41	0.07	0.08	0.33	1.94
Fuel oil (l/m ²)	0	0	0	0	0	0	0.0095	0	0.0095
Natural gas (kWh/m ²)	0	0	6.70	0	14.00	0	0	0	20.66
Water (l/m ²)	0.76	0.07	0	4.29	0	0	0	0.74	5.86
Clay and sand (kg/m ²)	21.90	0	0	0	0	0	0	0	21.90
Ceramic glaze (kg/m ²)	0	0	0	0.95	0	0	0	0	0.95
Machine oil (kg/m ²)	0	0.0004	0	0	0	0.0004	0.0004	0	0.0012
Cardboard boxes (kg/m ²)	0	0	0	0	0	0.11	0	0	0.11
Pallets (kg/m ²)	0	0	0	0	0	0.31	0	0	0.31
Plastic bags (LDPE ^b) (kg/m ²)	0	0	0	0	0	0.01	0	0	0.01
Plastic packaging (PP ^c) (kg/m ²)	0	0	0	0	0	0.07	0	0	0.07
Plastic strips (kg/m ²)	0	0	0	0	0	0.004	0	0	0.004
Adhesives (kg/m ²)	0	0	0	0	0	0.05	0	0	0.05
Outputs									
PM10 (kg/m ²)	0.11	0.15	0.0010	0.0007	0.005	0	0	0	0.27
NO _x (kg/m ²)	0	0	0.001	0	0.008	0	0	0	0.01
SO _x (kg/m ²)	0	0	0.001	0	0.02	0	0	0	0.02
CO ₂ (kg/m ²)	0	0	1.29	0	3.14	0	0	0	4.43
CO (kg/m ²)	0	0	0.005	0	0.0009	0	0	0	0.005
HF (kg/m ²)	0	0	0	0	0.003	0	0	0	0.003
HCl (kg/m ²)	0	0	0	0	0.009	0	0	0	0.009
B (kg/m ²)	0	0	0	0	0.00005	0	0	0	0.00005
Pb (kg/m ²)	0	0	0	0	0.00002	0	0	0	0.00002
Waste water (l/m ²)	0	0	0	3.89	0	0	0	0.61	4.50
Hazardous waste (kg/m ²)	0.000003	0.001	0.000003	0.0010	0	0	0.0009	0.0003	0.0033
Non-hazardous waste (kg/m ²)	0.0004	0.25	0.0004	2.08	0.06	0.03	0.04	1.03	3.49
Additional data									
Noise (dBA)	79.2	86.8	80	79.9	78.3	74.5	68.1	58.9	—
Temperature (°C)	—	30	190	30	300	—	—	—	—
Flue gas (Nm ³ /h)	—	70,877	42,448	3069	68,977	—	—	—	185,371

^a Includes maintenance, cleaning, operation of premises, etc.

^b Low density polyethylene.

^c Polypropylene.

resource depletion, acidification, eutrophication, global warming, ozone layer depletion, photochemical oxidants and human toxicity. The LCA software SimaPro v7.3.2 (PRe Consultants, 2011) and the CML 2001 method (Guinée, 2002) have been used to estimate the life cycle impacts. The life cycle inventory data for the inputs shown in Fig. 3 have been sourced from Ecoinvent (2010).

The results are shown in Fig. 4, indicating that firing and drying are the hot spots in the system for most environmental impacts considered. This is due to the high energy demand in these stages as well as the emissions of acid gases. Furthermore, clay preparation and pressing are also significant for human toxicity, mainly due to the emissions of particulates (as also shown in Table 2). These results are congruent with the data shown in Table 2, which indicate that the use of resources and direct emissions of different substances are highest in these stages. Note that the life cycle impacts associated with the fuels and raw materials have been allocated to the unit operations in the manufacturing process in proportion to their respective usage. The impacts from the emissions and wastes are either directly measured or estimated in

proportion to the operating time of each unit. For more detail, see Bovea et al. (2010).

It can also be observed from Table 2, that the noise levels are highest in the pressing stage. Although strictly speaking noise is not an environmental but rather a social issue, it is necessary to identify at this stage all significant impacts that should be targeted for improvements, so that an appropriate range of possible BAT options can be identified in the next stage.

Therefore, as the results suggest, BAT options should target the firing and drying stages to reduce most environmental impacts, clay preparation and pressing to reduce the emissions of particulates (and human toxicity) as well as the pressing process to reduce noise. This is discussed in the following section.

3.2. Alternative BAT and scenarios

As shown in Table 3, 11 BAT options have been identified from the BREF for the ceramic industry (EC, 2007) to target the hot spots in the tile manufacturing process identified in the previous step.

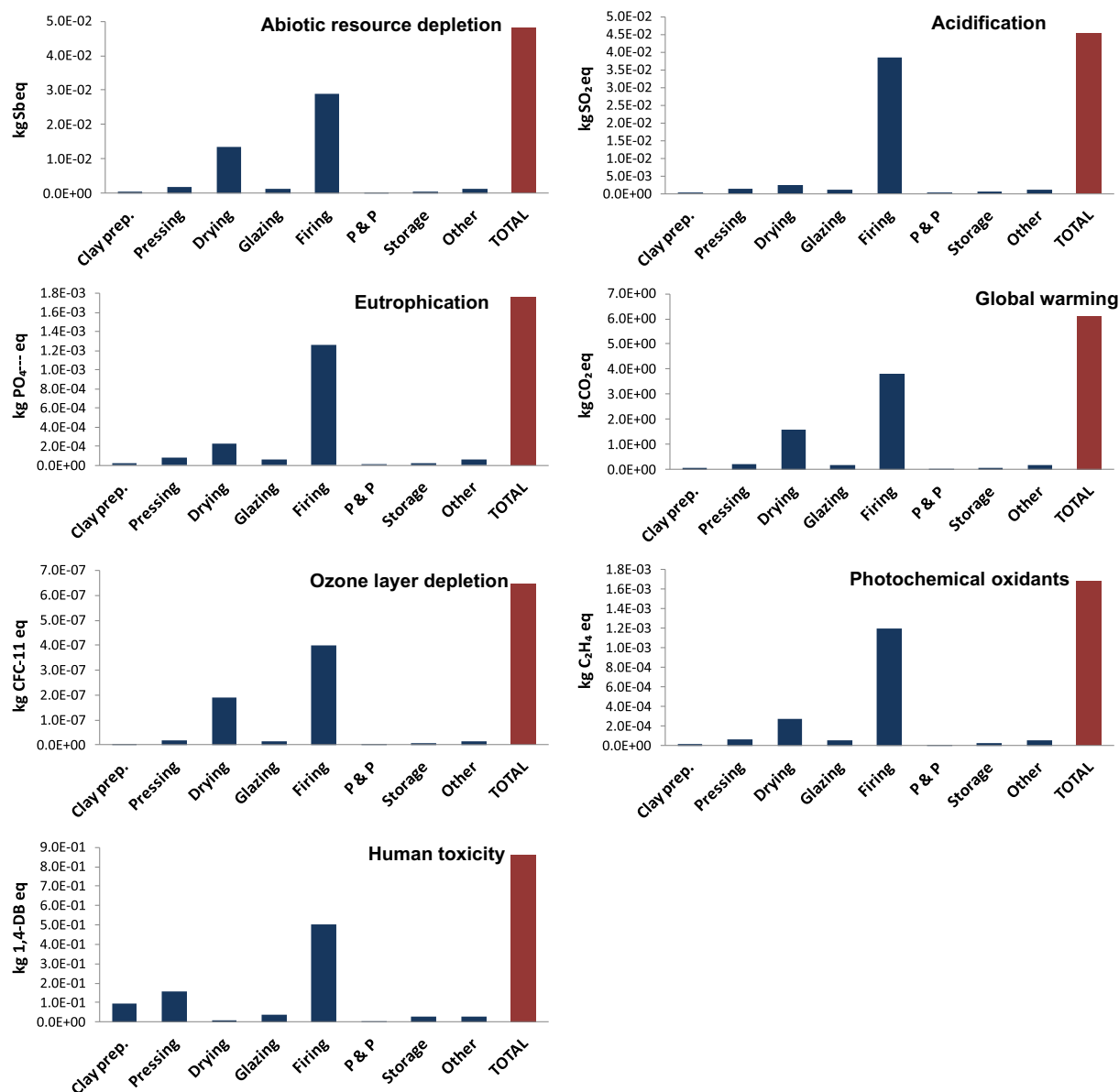


Fig. 4. Life cycle impacts for the baseline scenario (expressed per functional unit of 1 m² of tiles). [P&P - Packaging and palletising.]

Table 3

BAT options selected for targeting the hot spots in the baseline scenario (EC, 2007).

Hot spot	BAT option	Type	Description
Energy efficiency	1	1a	Heat recovery from dirty flue gasses
		1b	Heat recovery from clean flue gasses
Particulates (stack emissions)	2	2a	Traditional bag filters with pressure-pulse regeneration
		2b	High-temperature synthetic filter with pressure-pulse regeneration
Particulates (diffuse emissions)	3		Electrostatic precipitator
	4		Full enclosure of bulk storage areas
	5		Dust valves with suction and bag filter in bulk storage areas
	6		Water spraying
Acid gases	7	7a	Cascade-type packed-bed adsorber with CaCO_3
		7b	Cascade-type packed-bed adsorber with CaCO_3 and Ca(OH)_2
	8		Module adsorber with Ca(OH)_2
	9	9a	Dry flue gas cleaning with Ca(OH)_2
		9b	Dry flue gas cleaning with NaHCO_3
	10	10a	Wet flue gas cleaning with Ca(OH)_2 or CaCO_3
		10b	Wet flue gas cleaning with Na(OH)_2
Noise	11		Sound insulation

Among these, two BAT options are aimed at improving energy efficiency, six at the abatement of particulate matter and seven at acid gas emissions (HF, HCl, NO_x and SO_x). The final BAT option is for noise reduction in the clay pressing process.

The location of different BAT within the manufacturing process is indicated in Fig. 3. Using different combinations of the BAT options, 13 alternative scenarios have been created for consideration here. These are specified in Table 4, along with the sources of environmental and cost data for different BAT and scenarios.

3.3. Selection of sustainability indicators and sustainability assessment

Based on the data given in the previous section, the alternative scenarios have been assessed on the environmental, economic and social sustainability using the indicators discussed in Section 2.3. These results are then used to compare the alternative scenarios with the baseline as discussed below.

3.3.1. Environmental sustainability

The results of the environmental sustainability assessment are shown in Fig. 5 and the impact reduction potentials relative to the baseline are given in Table 6. As can be seen, most scenarios lead to a reduction of environmental impacts compared to the baseline. The greatest reduction potential is found for acidification (70.3% for scenario 10), photochemical oxidants (47.3% for scenario 10) and human toxicity (51.6% for scenario 5). The lowest reduction potential is for global warming and abiotic resource depletion: up to 14% for scenarios 4, 5 and 10. Therefore, it is not clear at this stage which alternatives are most sustainable – this may be easier to determine once the economic sustainability has been assessed, which is the subject of the next section.

As can also be noticed from the results, some scenarios have negative reduction potentials (Table 6), in effect having higher impacts than the baseline case. This is because some BAT options, such as filters or adsorption units, reduce emissions at the expense of raw materials and energy consumption as well as additional emissions along the life cycle.

Furthermore, as mentioned in the Introduction, without the use of LCA some impacts could either be missed out or underestimated. In this case, the impacts would be underestimated across all the scenarios, ranging from 28% for acidification to 35% for photochemical oxidants (see Table 5). Moreover, abiotic resource and ozone depletion would be missed out completely, as the impacts of energy consumption on the former and CFC emissions on the latter would remain unaccounted for if only direct impacts from the system were considered.

3.3.2. Economic sustainability

As outlined in Section 2.3.2, the economic indicators considered here are the investment and total annual costs, net annual savings and the pay-back period. To estimate these, the discount rate of 5% (Spackman, 2008) and the plant lifetime of 20 years have been assumed. The costs used for the raw materials, utilities and energy are given in Table 7. The investment, operational and maintenance costs are as given in Table 4. The avoided costs are listed in Table 8, together with the estimated TAC and NAS.

As can be seen, the costs range widely so for the ease of comparison of the different alternatives, a scoring system has been developed as indicated in Table 9. The scores range from 1 to 5 for the investment costs and TAC, with the lowest score given to the least expensive and the highest to the most expensive alternative. The scores for NAS range from –1 where costs exceed the savings, to 5 which corresponds to the highest savings.

The scores assigned to each scenario for the different economic indicators are compared in Fig. 6. As indicated, scenarios 1, 3, 4, 5 and 13 appear to be the most profitable since they combine the least expensive investments with the highest annual savings. This is why they also have the shortest pay-back periods (<3 years) and are considered to be profitable (EC, 2006a). At the other extreme, scenarios 9 and 10 have a pay-back period longer than their lifetime and hence they cannot be considered economically feasible.

As also illustrated in Fig. 6, scenarios 2, 11 and 12 have higher costs than the benefits of implementing them. As a result, they have a negative score of –1 for NAS and hence their pay-back period cannot be calculated since their investment will not be recovered.

Table 4

Alternative scenarios considered in the study.

Alternative scenarios and location of BAT		Environmental benefits	Other effects	Investment (€) and O&M costs (€/year)	References
1	Pressing, drying, glazing & firing BAT: 1a & 2a	∇ ^a 16.2% of natural gas ∇ 98.5% of PM	Δ ^b 19% of electricity Δ Noise	Investment: 350,000–450,000 O&M: 250,000–300,000	BAT 1a: Ganapathy (1989), TUD (2004), EC (2007), Martí et al. (2010), ZareNezhad and Aminian (2010), Pecomark (2011), Mezquita et al. (2012). BAT 2a: Blasco et al. (1992), Ergiidenler et al. (1997), Xunta de Galicia (2005), EC (2007), Mukhopadhyay (2010), ITC (2010).
2	Pressing, drying, glazing & firing BAT: 1a & 3	∇ 17.2% of natural gas ∇ 99.95% of PM	Δ 25.2% of electricity	Investment: 2,500,000–3,000,000 O&M: 300,000–400,000	BAT 1a: see above. BAT 3: US EPA (2002), EC (2007).
3	Storage & clay preparation BAT: 1a, 4, 5 & 6	∇ 17.2% of natural gas ∇ 99.9% of PM	Δ 8.35% of electricity Δ 0.12 l of water/m ² Δ Noise	Investment: 300,000–400,000 O&M: 50,000–150,000	BAT 1a: see above. BAT 4, 5 & 6: EC (2006), EC (2007), ITC (2010), Monfort et al. (2011).
4	Drying & firing BAT: 1b & 7a	∇ 18.1% of natural gas ∇ 99% of PM ∇ 14% of SO _x ∇ 94.5% of HF ∇ 50% of HCl	Δ 9.27% of electricity Δ 0.085 kg CaCO ₃ /m ² Δ Noise	Investment: 400,000–500,000 O&M: 100,000–200,000	BAT 1b: Ganapathy (1989), TUD (2004), EC (2007), Martí (2010), ZareNezhad and Aminian (2010), Pecomark (2011), Mezquita et al. (2012). BAT 7a: EC (2007), ITC (2010).
5	Drying & firing BAT: 1b & 7b	∇ 18.5% of natural gas ∇ 99% of PM ∇ 64% of SO _x ∇ 99% of HF ∇ 50% of HCl	Δ 9.65% of electricity Δ 0.11 kg CaCO ₃ /m ² ^c Δ Noise	Investment: 400,000–500,000 O&M: 150,000–250,000	BAT 1b: see above BAT 7b: EC (2007), ITC (2010).
6	Drying & firing BAT: 1a & 8	∇ 17.2% of natural gas ∇ 70% of PM ∇ 33.3% of NO _x ∇ 63.78% of HF	Δ 6.66% of electricity Δ 0.43 kg Ca(OH) ₂ /m ²	Investment: 700,000–800,000 O&M: 350,000–450,000	BAT 1a: see above BAT 8: EC (2007), Saanilahti (2008), ITC (2010).
7	Drying & firing BAT: 1a, 2a & 9a	∇ 16.2% of natural gas ∇ 94.5% of PM ∇ 45% of SO _x ∇ 93.5% of HF ∇ 47.5% of HCl	Δ 17.29% of electricity Δ 0.073 kg Ca(OH) ₂ /m ² Δ Noise	Investment: 650,000–750,000 O&M: 250,000–350,000	BAT 1a: see above BAT 2a: see above. BAT 9a: EC (2007), Saanilahti (2008), ITC (2010).
8	Drying & firing BAT: 1b, 2b & 9a	∇ 18.5% of natural gas ∇ 99.97% of PM ∇ 45% of SO _x ∇ 93.5% of HF ∇ 47.5% of HCl	Δ 17.29% of electricity Δ 0.073 kg Ca(OH) ₂ /m ² Δ Noise	Investment: 650,000–750,000 O&M: 300,000–400,000	BAT 1b & 9a: see above BAT 2b: Blasco et al. (1992), Ergiidenler et al. (1997), Xunta de Galicia (2005), EC (2007), Mukhopadhyay (2010), ITC (2010).
9	Drying & firing BAT: 1a, 2a & 9b	∇ 16.2% of natural gas ∇ 99% of PM ∇ 98.5% of SO _x ∇ 89% of HF ∇ 95% of HCl	Δ 17.29% of electricity Δ 0.073 kg NaHCO ₃ /m ² Δ Noise	Investment: 650,000–750,000 O&M: 250,000–350,000	BAT 1a & 2a: see above BAT 9b: EC (2007), Saanilahti (2008), ITC (2010).
10	Drying & firing BAT: 1b, 2b & 9b	∇ 19.5% of natural gas ∇ 99.97% of PM ∇ 98.5% of SO _x ∇ 89% of HF ∇ 95% of HCl	Δ 17.29% of electricity Δ 0.073 kg NaHCO ₃ /m ² Δ Noise	Investment: 650,000–750,000 O&M: 350,000–450,000	BAT 1b, 2b & 9b: see above
11	Drying & firing BAT: 1a & 10a	∇ 17.2% of natural gas ∇ 100% of PM ∇ 59% of SO _x ∇ 72.5% of HF ∇ 99% of HCl	Δ 19.09% of electricity Δ 0.066 kg Ca(OH) ₂ /m ² Δ 10.96 l of water/m ² High corrosion	Investment: 1,000,000–1,500,000 O&M: 350,000–450,000	BAT 1a: see above. BAT 10a: EC (2007).
12	Drying & firing BAT: 1a & 10b	∇ 17.2% of natural gas ∇ 100% of PM ∇ 94% of SO _x ∇ 94% of HF ∇ 98% of HCl	Δ 19.09% of electricity Δ 0.066 kg Na(OH) ₂ /m ² Δ 10.96 l of water/m ² High corrosion	Investment: 1,000,000–1,500,000 O&M: 350,000–450,000	BAT 1a: see above. BAT 10b: EC (2007).
13	Pressing BAT: 1a & 11	∇ 17.2% of natural gas ∇ Noise (55 dB(A))	Δ 6.66% of electricity	Investment: 100,000–200,000 O&M: 50,000–100,000	BAT 1a: see above. BAT 11: EC (2007), CTE (2008), Bedmar (2011)

^a ∇ – denotes a decrease.^b Δ – denotes an increase.^c Mixture of CaCO₃ and Ca(OH)₂.

3.3.3. Technical and social sustainability

As discussed in Section 2.3.3, three technical and social indicators are considered here:

- maintenance requirements;
- noise; and
- level of knowledge about and accessibility of BAT.

The results for the maintenance requirements and noise for the different alternatives, estimated using the data from EC (2007) and the methodology discussed in Section 2.3.3, are shown in Table 10. Note that the scenarios with high maintenance requirements, such as periodic replacement of parts, continuous replacement of absorbents or adsorbents, periodic corrosion controls, manual cleaning of the surfaces, extraction or manual collection of waste,

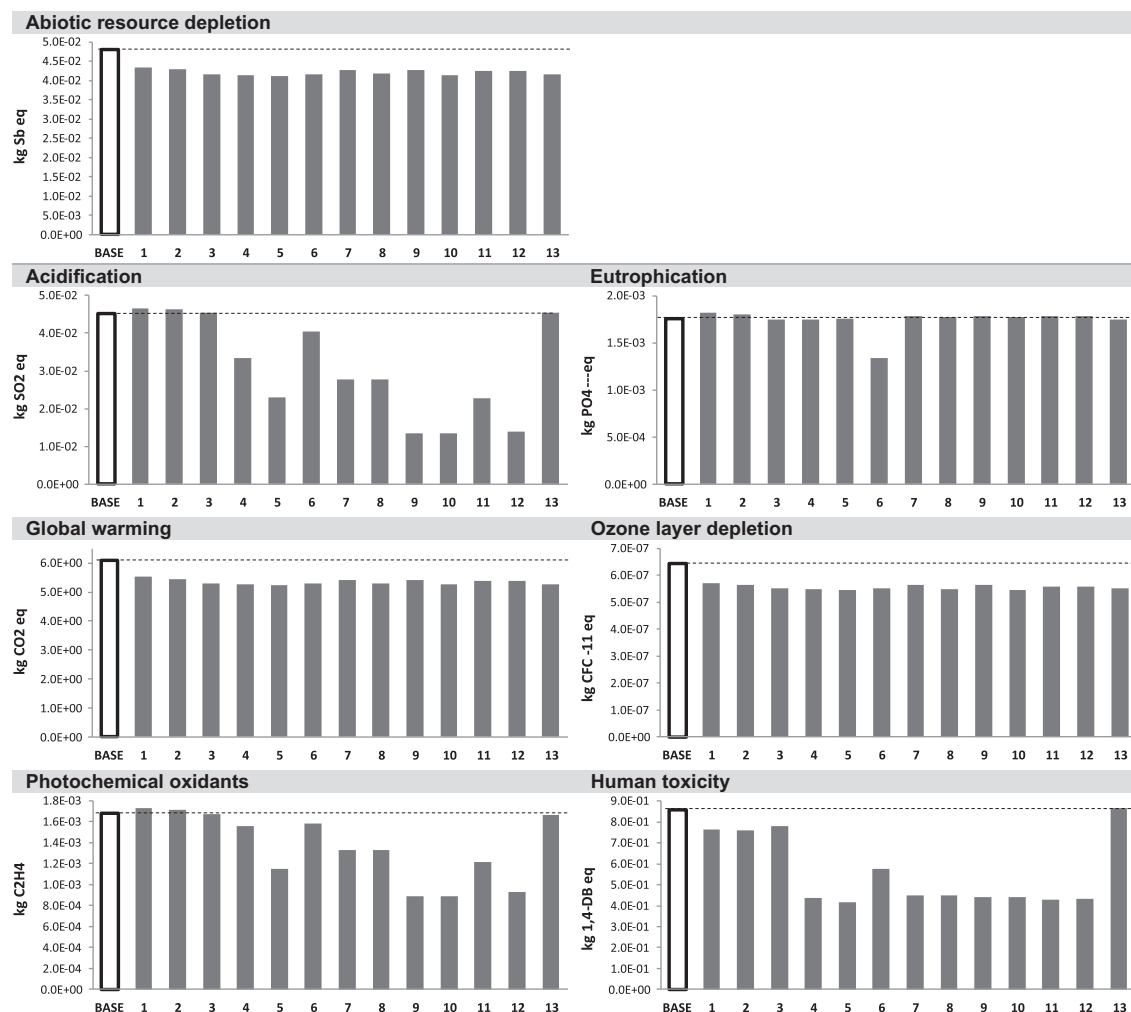


Fig. 5. Life cycle environmental sustainability assessment and comparison of the alternative scenarios with the baseline (all impacts are per functional unit of 1 m² of ceramic tiles). [Assumptions: 5% of SO₂ from the flue gas is oxidised to SO₃ (Ganapathy, 1989). Operational temperature of filters has to be 10–15 °C higher than the dew point of the flue gas (Mukhopadhyay, 2010). For every 20 °C recovered from the flue gas, 2% of natural gas is saved (see the Appendix). The models developed by ZareNezhad and Aminian (2010) and TUD (2004) were used to estimate the dew points taking into account the conditions of flue gases for each alternative. The background data for estimating the life cycle impacts are from the Ecoinvent database (Ecoinvent, 2010)].

etc. have been assigned the highest score of 4. By contrast, scenarios where maintenance comprises only annual visual inspections have been assigned the lowest score of 1.

For noise, the score of 1 indicates an increase on the baseline scenario, 0 no or little change (<3 dBA) and –1 noise decrease. For the noise data for the baseline and alternative scenarios, see Table 2 and Table 4, respectively.

As can be seen from Table 10, the best options for maintenance are scenarios 3 and 13, scoring in total 1, followed by 2, 4 and 5, with the total score of 2. Scenario 13 is the only one that reduces noise (see Table 3) whilst 2, 6, 11 and 12 maintain the same noise levels as the baseline scenario.

The data on the level of knowledge about different BAT options and their application or accessibility have been obtained by consulting three groups of stakeholders, notably:

- sector experts (5) who prepare and manage the IPCC permit applications for ceramic tiles companies;
- public organisations (7) related to the ceramic industry; and
- tile manufacturers (8), including operators, managers and environmental experts from the companies.

In total, 20 stakeholders were surveyed, asking them to score the 11 BAT options listed in Table 3 based on the criteria and scores

Table 5

Percentage by which the impacts would be underestimated if only direct impacts were considered without applying the life cycle approach.

	Baseline	1	2	3	4	5	6	7	8	9	10	11	12	13	Average
Abiotic depletion	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Acidification	14%	17%	16%	15%	20%	30%	17%	26%	26%	54%	54%	32%	53%	15%	28%
Eutrophication	30%	32%	32%	30%	30%	30%	39%	31%	31%	31%	31%	31%	31%	29%	31%
Global warming	27%	33%	32%	31%	31%	31%	30%	31%	32%	31%	32%	32%	32%	30%	31%
Ozone layer depletion	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Photochemical oxidation	27%	29%	28%	27%	29%	39%	28%	35%	35%	53%	52%	39%	51%	26%	35%
Human toxicity potential	19%	25%	25%	22%	40%	42%	30%	41%	41%	41%	41%	44%	44%	20%	34%

Table 6

Emission reduction potential of different scenarios relative to the baseline (%).

Scenario	Abiotic resource depletion	Acidification	Eutrophication	Global warming	Ozone layer depletion	Photochemical oxidation	Human toxicity
1	9.61	−3.00	−3.68	9.39	11.40	−2.77	10.86
2	10.92	−2.62	−2.98	10.70	12.61	−2.18	11.49
3	13.33	−0.71	0.11	13.16	14.33	0.38	9.21
4	14.00	25.99	0.08	13.82	15.06	7.20	49.26
5	14.27	49.16	0.05	14.09	15.36	31.54	51.64
6	13.55	10.74	23.78	13.36	14.44	5.83	33.09
7	11.23	38.53	−1.59	11.04	12.56	20.89	47.72
8	13.18	38.57	−1.36	12.97	14.59	21.12	47.77
9	11.23	70.28	−1.60	11.04	12.56	46.97	48.86
10	14.02	70.34	−1.26	13.81	15.47	47.30	48.88
11	11.82	49.78	−1.83	11.61	13.26	27.54	50.10
12	11.82	69.31	−1.84	11.61	13.26	44.60	49.74
13	13.63	−0.47	0.50	13.46	14.56	0.71	−0.78

Table 7

Average prices of raw materials, utilities and energy.

	Cost	Unit		Cost	Unit
Natural gas ^a	0.32	€/m ³	Calcium carbonate (CaCO ₃) with calcium hydroxide (Ca(OH) ₂) ^c	99	€/t
Electricity ^a	0.15	€/kWh	Calcium hydroxide (Ca(OH) ₂) ^d	100–130 ^e	€/t
Water ^b	1.53	€/m ³	Sodium bicarbonate (NaHCO ₃) ^d	220	€/t
Calcium carbonate (CaCO ₃) ^c	59.00	€/t	Caustic soda (NaOH) ₂ ^c	102.5	€/t

^a Department of industry, energy and tourism (2012).^b Primary data collected in this study from industry.^c EC (2007).^d Saanilahti (2008).^e Costs range depending on the density.

given in Table 1. The results of the survey displayed in Fig. 7 indicate that the greatest level of knowledge across the three groups of stakeholders is related to BAT options 4, 5, 6 and 11, scoring between 3.8 and 3.9 out of 4. These are related to the traditional techniques for removal of particulates and sound proofing (see Table 3). By contrast, the least well-known techniques are BAT 8, 10a & 10b and 2b, scoring 1.3, 2.1 and 2.2, respectively. These are mostly related to acid gas removal and high-temperature filters for particulates. Perhaps unsurprisingly, the tile manufacturers had overall the highest level of knowledge about different BAT options compared to the other two expert groups.

Applying these results to each scenario, using the methodology outlined in Section 2.3.3, yields the results in Fig. 8. Overall, scenarios 1, 3 and 13 are the best for both criteria since they represent a combination of high-scoring individual BAT, including 2a, 4, 5, 6 and 11 (see Table 4).

Table 8Summary of costs and net annual savings for different scenarios.^a

Scenario	Total annual costs (€/yr)	Avoided costs (€/yr)	Net annual savings (€/yr)
1	318,000	460,000	142,000
2	620,000	488,000	−132,000
3	157,000	488,000	331,000
4	212,000	514,000	302,000
5	246,000	525,000	279,000
6	443,000	488,000	45,000
7	378,000	460,000	82,000
8	474,000	525,000	51,000
9	419,000	460,000	41,000
10	515,000	554,000	39,000
11	548,000	488,000	−60,000
12	553,000	488,000	−65,000
13	119,000	488,000	369,000

^a For investment costs, see Table 4.

3.4. Selection of most sustainable BAT and scenarios

Based on the above sustainability assessment, the next step involves identification and selection of the most sustainable scenario(s). As outlined in Section 2.4, to aid the selection process, an initial screening is carried out by comparing the scenarios on their environmental and economic performance or eco-efficiency. This is discussed next.

3.4.1. Initial screening: eco-efficiency analysis

The eco-efficiency analysis given in Fig. 9 compares the environmental impact reduction potentials and the net annual savings for each scenario. As shown, scenarios 1, 3, 4, 5, 7, 8 and 13 are the only ones which appear in the maximum eco-efficiency area (upper right-hand square in the figure) for at least one category. As a result, only these scenarios are considered eco-efficient and hence can

Table 9

Scoring criteria for the economic indicators.

Economic indicators	Range	Score
Investment cost (€)	<350,000	1
	350,000–700,000	2
	700,000–1,050,000	3
	1,050,000–1,400,000	4
	>1,400,000	5
Total annual cost (€/year)	<200,000	1
	200,000–300,000	2
	300,000–400,000	3
	400,000–500,000	4
	>500,000	5
Net annual savings (€/year)	<0	−1
	0–50,000	1
	50,000–100,000	2
	100,000–200,000	3
	200,000–300,000	4
	>300,000	5

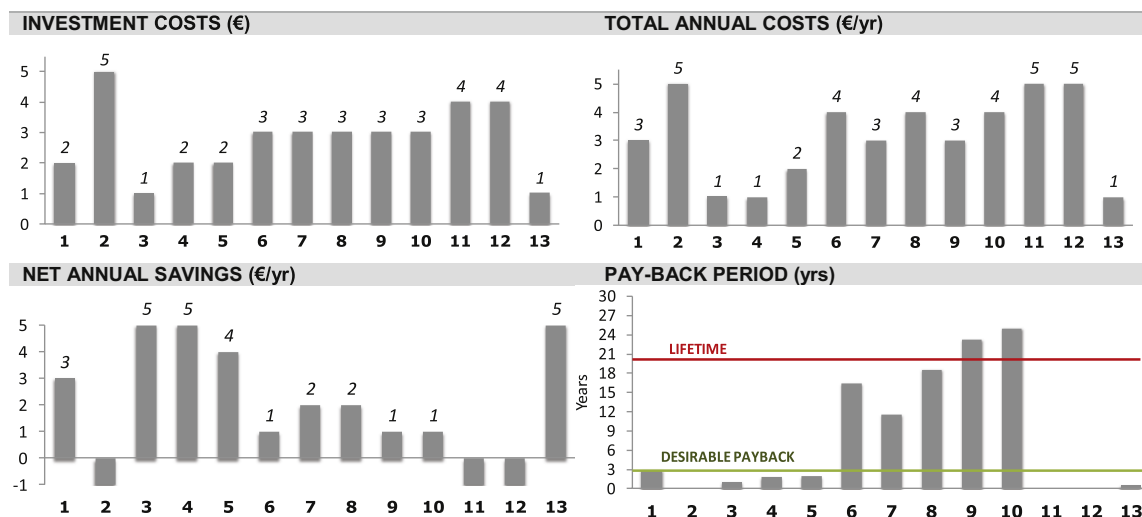


Fig. 6. Economic sustainability assessment based on the scoring method given in Table 9.

Table 10

Total scores for maintenance requirements and noise for different scenarios.

Scenarios	1	2	3	4	5	6	7	8	9	10	11	12	13
Maintenance	3	2	1	2	2	3	3	3	3	3	4	4	1
Noise	1	0	1	1	1	0	1	1	1	1	0	0	-1

pass to the next stage of sustainability assessment. It is also interesting to observe that the most expensive scenario does not necessarily lead to the best environmental performance. This is the case for scenario 2, which has both the lowest net savings and environmental improvements of all the scenarios considered.

3.4.2. Sustainability analysis

Applying the normalisation process outlined in Section 2.4.2 to the eco-efficient alternatives identified above gives the 'sustainability footprint' in Fig. 10. As shown, scenario 5 is the best option for all environmental indicators apart from eutrophication for which scenario 13 is best. On the other hand, scenario 13 has high photochemical oxidants and human toxicity potentials. Options 3 and 13 are best economically as well as for the maintenance and level of knowledge/accessibility of their BAT options. Scenario 13 is also best for the noise levels.

Therefore, if all the criteria are considered to be equally important, it could be argued that of the scenarios considered in this work, 5 and 13 represent the most sustainable BAT for the

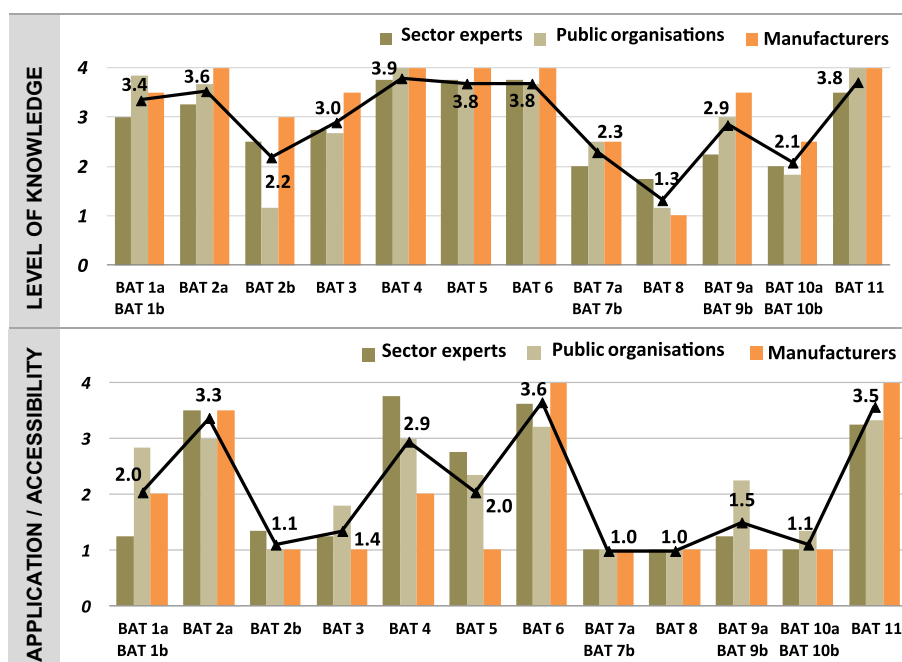


Fig. 7. Level of knowledge and application/accessibility of BAT based on the stakeholder survey (higher scores indicate a better option) [The values above the bars show the average score for the three stakeholder groups].

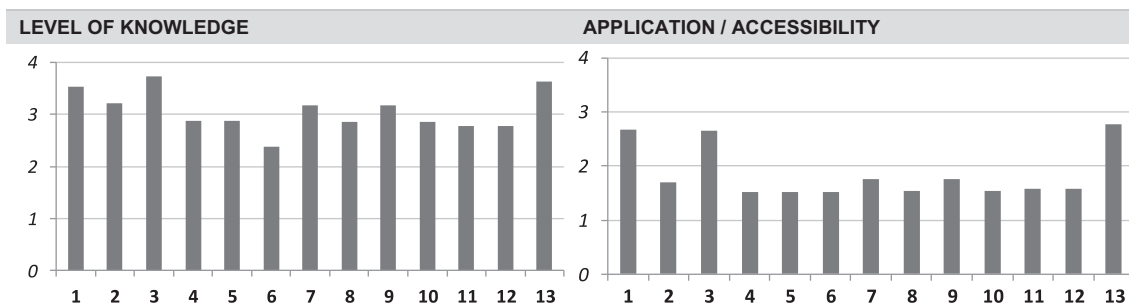


Fig. 8. The scores for the level of knowledge and application of BAT for different scenarios (higher scores indicates a better option).



Fig. 9. Eco-efficiency analysis: environmental impact reduction potential (%) vs net annual savings (score). [The broken lines dividing the graphs into four squares represent the eco-efficiency lines as defined in Fig. 2 and Section 2.4.1. The options in the top right-hand corner are considered eco-efficient.].

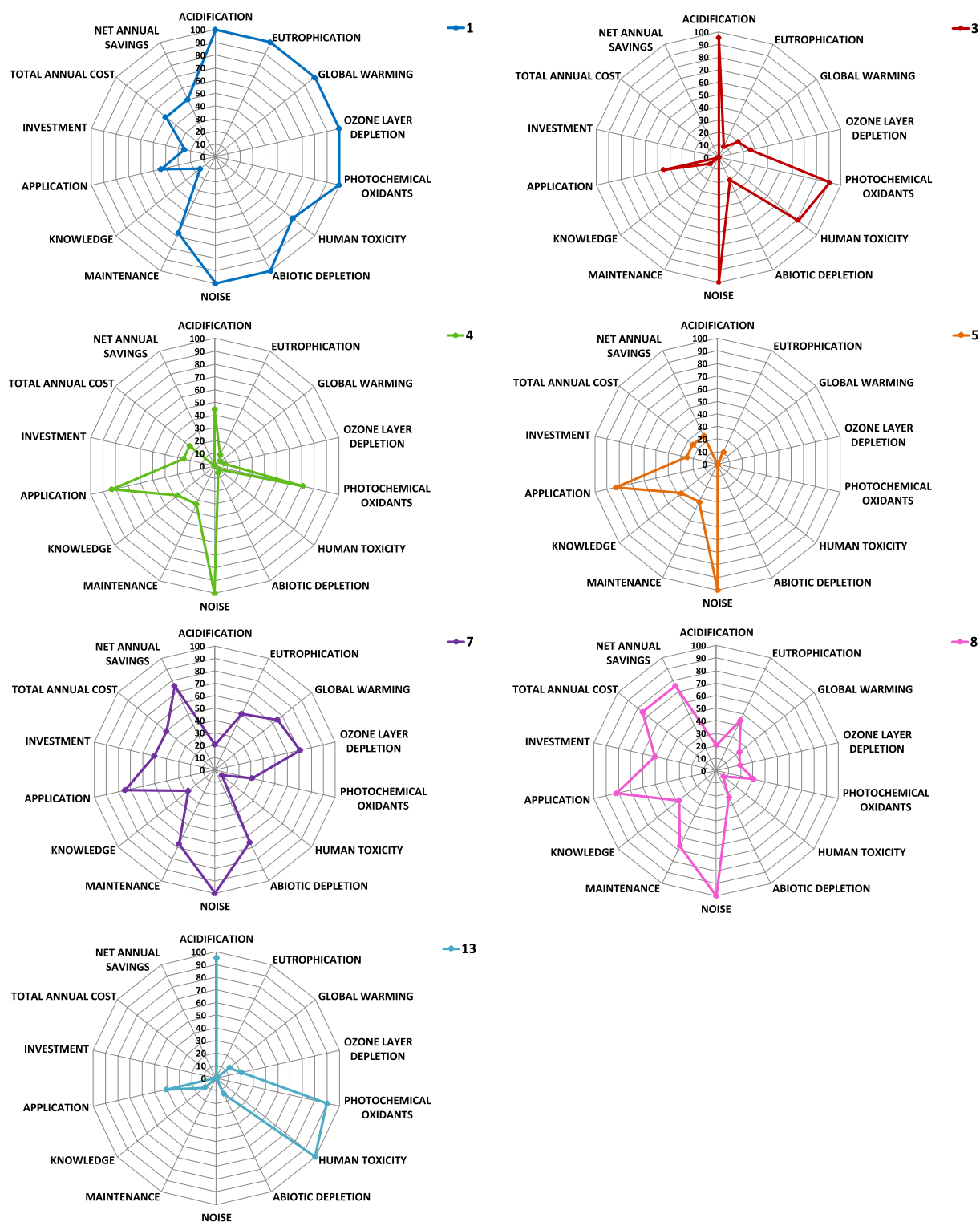


Fig. 10. Sustainability footprint of different scenarios. [The numbers shown in the top right-hand corner of each chart represent the number of scenario. Lower values are better for all indicators so that the smaller area bounded by the connecting lines on the diagram indicates a better scenario.].

ceramic manufacturing industry. However, if some criteria are considered to be more important than the others, then the choice of the best alternative(s) could change. This can only be determined in a real decision-making context, based on decision-makers' preferences. Consideration of these is outside the scope of this paper and is therefore not considered here.

4. Conclusions

This paper has proposed a methodology for identifying most appropriate and sustainable BAT options by considering a range of environmental, economic and social requirements specified in the Industrial Emissions Directive and the BREFs. It has also shown how

LCA can be integrated within such a methodology to ensure that the environment is protected as a 'whole' as required by the Directive to prevent shifting the environmental burdens upstream or downstream of the industrial installation considered. The methodology involves identification of the environmental hot spots in the manufacturing process using LCA, which then guides the selection of candidate BAT options targeting the hot spots. The BAT options are then assessed on sustainability using relevant environmental, economic, technical and social indicators. This enables benchmarking of different options and selection of the most appropriate alternative(s) for the system of interest.

The application of the methodology has been illustrated by a case study of ceramic tiles produced in Spain with the aim of providing a practical guidance for improving the sustainability of the manufacturing process through the selection of most appropriate BAT for this sector. The results indicate that firing and drying are the hot spots for most environmental impacts considered due to high energy requirements and emissions of acid gases. Furthermore, clay preparation and pressing represent hot spots for the emissions of particulates; clay pressing also generates high noise levels. To target these, 11 BAT options used in 13 alternative configurations in the manufacturing process have been considered and assessed on sustainability. Applying the methodology developed in this work, it has been found that of the options considered here the most sustainable BAT for the ceramic tiles industry include heat recovery from flue gas and its clean-up of with CaCO_3 and/or Ca(OH)_2 . Depending on the scenario, cost savings of up to 30% can be achieved with these BAT options and up to 97% reduction in some of the life cycle environmental impacts. However, it should be noted that these results are based on the assumption that all the sustainability indicators are of equal importance – the outcomes of the sustainability assessment could change depending on the preferences that decision-makers would have for different sustainability aspects.

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Appendix A. Calculation of energy savings by heat recovery from flue gases

It has been assumed here that for every 20 °C recovered from the flue gas, 2% of natural gas is saved. The calculations for this are shown below.

The amount of heat that can be recovered from flue gases can be calculated as follows:

$$\bar{Q} = \bar{m} \times C_p \times (T_0 - T_1) \quad (\text{kJ/s})$$

where:

- \bar{m} – mass flow of flue gas (kg/s)
- C_p – heat capacity of flue gas (kJ/kg °C)
- T_0 – outlet temperature of flue gas
- T_1 – inlet temperature of flue gas

The volume of hot flue gases from the kilns is 69,000 Nm^3/h (Table 2) with a density of 0.5895 kg/Nm^3 and a heat capacity of 1.195 $\text{kJ}/\text{kg} \text{ °C}$ (Villafior et al., 2008). Assuming the temperature difference between the inlet and outlet temperatures of the flue gas of 20 °C and using the above equation, the heat that could be recovered from the hot gases is equivalent to 270 kJ/s for every 20 °C.

For the average production of tiles of 11,000 kg/h (the average for the 20 manufacturing sites considered), the dryers and kilns consume 1400 and 3000 kJ/kg of natural gas, respectively (EC, 2007) or around 13,450 kJ/s in total. Therefore, the estimated amount of heat of 270 kJ/s that can be recovered from the hot flue gasses for every 20 °C represents 2% of the total natural gas demand in the tiles manufacturing process.

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