New reactivity patterns of copper(I) and other transition metal
NHC complexes: application to ATRC and related reactions

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Abstract
Pre-formed transition metal–NHC complex is shown to be an effective catalyst for Atom Transfer Radical Cyclisation (ATRC) reactions.
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The seminal discovery by Arduengo 1 of stable carbenes possessing the general structure 1 has spurred numerous investigations from physical and theoretical chemists. 2 More recently, the realisation that these intermediates could serve as phosphane surrogates in transition metal chemistry has been extensively explored by many groups, leading to the development of new catalysts which, in many cases, exhibit enhanced levels of stability or reactivity when compared to their phosphane counterparts. 3 Recently the groups of Buchwald 4a and Nolan 4b have shown that the readily available, stable copper(I)–NHC complex 2 serves as a useful catalyst in hydrosilylation, 4b,5a C–H activation 5b and Huisgen dipolar cycloaddition (‘click’) reactions. 5c Homochiral variants of these complexes also prove to be efficient in facilitating enantioselective conjugate addition and displacement reactions. 5d

As a continuation of our interest in the synthetic application of Atom Transfer Radical Cyclisation (ATRC) reactions 6 we recently disclosed 7 that a catalyst system comprising the ligand 3a in conjunction with a suitable source of Cu(I), promotes a novel, regiospecific, synthetically useful, benzannulation reaction of 2-allylphenyl trichloroacetate 4 to chloronaphthalene 6, Scheme 1. We believe that this particular transformation proceeds via the intermediacy of lactone 5, the result of an initial 8-endo trig ATRC reaction. Furthermore, circumstantial evidence

![Scheme 1. ATRC and benzannulation sequences. Reagents and conditions: (a) 3a (5 mol %), CuCl (5 mol %), DCE, µW, 200 °C, 2 h, 84%; (b) 2 (5 mol %), DCE, µW, 200 °C, 2 h, 67%. DCE = 1,2-dichloroethane.]

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prompted us to consider whether the active catalytic species involved in these reactions was in fact the pincer carbene complex rather than a pyridine–Cu(I) σ-complex. Unfortunately all attempts to validate this hypothesis have, to date, met with failure as we have been unable to prepare complex using the standard methodology. Undeterred by these difficulties, the recent reports by Buchwald and Nolan concerning the preparation of stable NHC copper(I) complexes encouraged us to question whether these stable, isolable, complexes would themselves be capable of promoting ATRC reactions. In a preliminary experiment we were pleased to find that the thermolysis of the trichloroacetate in the presence of the pre-formed carbene complex under conditions of microwave irradiation afforded 1-chloronaphthalene in 67% yield after column chromatography. Inspection of the 1H NMR spectrum of the crude reaction mixture revealed that the transformation had proceeded cleanly with total consumption of the starting material and no apparent sign of the intermediate lactone. Lowering the reaction temperature to 150 °C during irradiation resulted in a slower conversion solely to the final aromatic product.

Given the ability of 2 to catalyze the conversion of 4 into we decided to compare its efficiency to that already established for the [CuCl3a] combination. Consequently a number of aryl trichloroacetates, 8a–k, were subjected to our standard benzannulation conditions (2, 5 mol%; DCE; µW; 200 °C, 2 h). We were pleased to find that in most cases the adoption of such a regimen cleanly afforded the respective substituted naphthalene derivatives in yields which are comparable to, if not better than those obtained using the [CuCl3a] catalyst system (Scheme 2).

From a practical standpoint we note that the present reaction conditions appear to be cleaner than those reported previously and that, except for 8b, the yields of the isolated products are higher than with the [CuCl3a] catalyst system. When [CuCl3a] is employed as catalyst an intractable granular precipitate is usually deposited during the course of the reaction; a situation which is to be compared with those reactions catalysed with 2 where reaction mixtures remain homogeneous throughout. The beneficial effects of microwave heating are again apparent in this transformation given that simple thermolysis of a solution of 4 and catalyst 2 (5 mol%) in refluxing DCE initially leads to the formation of lactone (over 48 h), which is then slowly converted to product 6 (ca. 50% conversion after 7 days). We also conclude that in situ generation of 2 appears possible as addition of CuCl (5 mol%) and salt 3b (5 mol%) to a solution of 4 in DCE immediately prior to irradiation affords the desired naphthalene, albeit in a slightly reduced isolated yield of 57% (Scheme 2).

Given the ability of carbene complex 2 to promote cyclisation of 4 into 6 its efficiency in other ATRC reactions was examined. In the case of the transformation of 4 into 6 performing the reaction at lower temperatures (refluxing DCE, 48 h) interrupted the benzannulation reaction sequence enabling lactone 5 to be isolated in ca. 90% yield. Under these conditions the formation of the naphthalene was kept to a minimum (ca. 5%), Scheme 3. Attempts to extend this regime to other substrates initially proved disappointing as the exposure of 10, 12, 14 or 16 to 2 (5 mol%) in either DCE or toluene at reflux afforded the desired cyclised products in only low to moderate yields (Scheme 3). Fortunately the outcome of these reactions improved dramatically when conducted in a microwave reactor (2, 5 mol%; DCE; µW; 110 °C), and afforded the desired products 11, 13, 15 and 17 in good
yields after simple purification by filtration of the reaction mixture through silica, Scheme 3.

Apparently, irradiation in DCE at 110 °C in the microwave reactor proves to be optimal for these particular ATRC reactions: at lower temperatures the reaction appears to be sluggish, whilst temperatures in excess of 150 °C result in much reduced isolated yields. Reduction of the catalyst loading appears to have little effect on the overall yield of these ATRC reactions as cyclisation of 16 in the presence of 2 (1 mol %) afforded 17 in 63% isolated yield after a reaction time of 17 h. Notably, examination of the 1H NMR spectrum of the crude reaction mixture from the ATRC reaction of amide 14 clearly established that the carbene complex 2 was still present and had suffered little decomposition during the course of the reaction.

Having demonstrated that the copper(I) NHC complex 2 can catalyse ATRC reactions we have briefly screened the use of other transition metal–NHC complexes in our benzannulation reaction, Table 1. Replacing 2 by the related copper complex 20 has little overall effect upon the benzannulation reactions of 4, 8e and 8h under our standard benzannulation reaction conditions (200 °C; µW; DCE; 2 h) whereas the nickel complex 21 is noticeably less efficient in catalysing these reactions. The recently described PEPPS™ palladium complex 22 also effects the benzannulation of 8h into 9h, but reaction times have to be extended in this instance to achieve noticeable levels of conversion. Previously we had shown that the Grubbs catalyst 23 proves to be efficient in promoting ATRC reactions, an observation which has now been extended to our newly discovered benzannulation reaction. Hence, exposure of 4, 8e and 8h to complex 23 under our usual

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Table 1

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(5 mol %); DCE, µW, 200 °C, 2 h. Figures in brackets refer to isolated yields of products.

a Reaction time of 8 h at 200 °C.

b Catalyst comprising 5 mol % of 20 and 5 mol % 23 employed in this case.
benzannulation conditions afforded 6, 9e and 9h in 46–55% isolated yields. Interestingly we note that exchanging the phosphine ligand of 23 by a NHC ligand, as in the 2nd generation Grubbs catalyst 24, apparently has a negative effect upon both the rate and isolated yields of representative benzannulation reactions (Table 1). Use of catalysts possessing a hemilabile ligand, as in the case of the Hoveyda–Grubbs catalyst 25, also proves to be detrimental whilst a mixed catalyst system comprising of 2 and 23 has little effect on the overall efficiency of the benzannulation reaction leading to 9h.

In conclusion we have discovered that readily available NHC-transition metal carbene complexes promote a variety of ATRC reactions. This study also demonstrates the potential benefits of microwave irradiation on metal-catalysed ATRC reactions. That several of these ATRC reactions do proceed more effectively under microwave heating may be indicative of a ‘microwave effect’ an observation which is currently under scrutiny. The fact that the carbene complex 2 appears to be intact at the end of the reaction also suggests that recycling of the catalyst in these reactions is a distinct possibility. We anticipate that tuning (both electronic and steric) of the catalyst system will enable these reactions to proceed under milder conditions, a goal which is also the focus of current investigations. In addition we have yet to compare the reactivity of these pre-formed carbene complexes with the newly developed, and highly reactive, ruthenium and copper catalysts under our microwave conditions.

Acknowledgement

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References and notes

8. Conducting these reactions with, for example, bipy-based ligand systems rapidly generates green-coloured solutions, presumably due to the generation of Cu(II) complexes. In the case of (pro)ligand 3a this is not the case thereby leading us to speculate as to the nature of the catalytic species in this particular case.
12. Complex 2 is now commercially available from Streem Chemicals.
16. Isolated as a single diastereoisomer which is identical to that reported in Ref. 6a.
17. Representative experimental procedures: Benzannulation reactions: A solution of ester 8h (500 mg, 1.6 mmol) and the catalyst 2 (3.8 mg, 0.08 mmol) in dry DCE (6 mL) was heated in a microwave reactor 18 at 200 °C under nitrogen for 2 h. Upon cooling to ambient temperature the solvent was removed in vacuo and the crude product purified by flash column chromatography (eluant petrol) to afford 1,8-dichloronaphthalene 9h. Yield 240.0 mg (76%). IR νmax (film): 1597, 1553, 1501, 1399, 1234, 1195, 977, 887 cm−1; 1H NMR (500 MHz, CDCl3) δ 8.20 (2H, dd, J = 8, 1 Hz), 7.83 (2H, dd, J = 7.5, 1 Hz), 7.37 (2H, dd, J = 8, 7.5 Hz) ppm; 13C NMR (125.75 MHz, CDCl3): 137.2, 130.4, 128.5, 125.2, 122.6 ppm; δ9H/(EuCl3): 195/197/199, 161/163, 125. Accurate mass: C19H16O1Cl2 (M+) requires 195.9841; found 195.9844. ATRC reactions: A solution of N-allyl-2,2,2-trichloro-N-phenylacetamide, 16 (500 mg, 1.9 mmol) and catalyst 2 (45.5 mg, 0.09 mmol) in DCE (6 mL) was heated in a microwave reactor 18 at 110 °C under nitrogen for 3 h. Upon cooling to ambient temperature the solvent was removed in vacuo and the
crude product purified by flash column chromatography (eluent: petrol-AcOEt 9:1 v/v) to afford lactam 19. Yield 409.3 mg (82%), mp 138–139 °C. IR ν_max (film): 3061, 1709, 1495, 1410, 1305, 817, 762 cm⁻¹; ¹H NMR (500 MHz, CDCl₃) δ 7.55 (2H, br d, J = 8 Hz, ArH), 7.34 (2H, app. tr., J = 8 Hz), 7.18 (1H, app. tr., J = 10 Hz), 3.97–4.03 (2H, m), 3.75 (1H, app. tr., J = 10 Hz), 3.64 (1H, dd, J = 10, 9 Hz), 3.13–3.20 (1H, m) ppm; ¹³C NMR (125.75 MHz, CDCl₃): 164.4, 137.9, 129.6, 126.2, 120.2, 84.1, 49.1, 41.0 ppm, m/z (EI/CI): 277/278/279/280/281/282. Accurate mass: C₁₁H₁₁O₃5Cl₃N (M+1) + requires 277.9901; found 277.9909.

